# CITY OF DAWSONVILLE MAIN STREET PARK LANDSCAPE PHASE I SPECIFICATIONS

for



# CITY OF DAWSONVILLE 415 HIGHWAY 53 EAST, SUITE 100 DAWSONVILLE, GA 30534

Date:

April 26, 2019



**DES Project:** 2018-146.1

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#### **SUMMARY OF WORK**

The City of Dawsonville's Main Street Park Landscape Phase I will consist of the installation of landscaping, irrigation, fencing, and all other appurtenances in accordance with the site development plans and specifications for the project and the City of Dawsonville, Georgia. It is noted that the work will occur in an area that is closed to the public, and the hours of construction operation will be from 8:00 am - 5:00 pm Monday through Friday. Any work that is to be completed outside of these specified hours shall be coordinated with the Department of Public Works (706-265-3256). It is expected that the site will be left in a clean and orderly fashion every day upon completion of the work day.

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**INVITATION TO BID** 

## SECTION 00020 INVITATION TO BID

## CITY OF DAWSONVILLE, GEORGIA MAIN STREET PARK LANDSCAPE PHASE I

Sealed Bids, for furnishing all materials, labor, tools, equipment and appurtenances necessary for the construction of the CITY OF DAWSONVILLE'S MAIN STREET PARK LANDSCAPE PHASE I will be received by the Finance Administrator, Mr. Hayden Wiggins, at the Dawsonville Municipal Complex, 415 Highway 53 East, Suite 100, Dawsonville, GA 30534, until **Wednesday, May 29, 2019 at 3:30 PM local time** and then at said office publicly opened and read aloud.

The work will be awarded to a maximum of two (2) Contracts.

The Instructions to Bidders, Bid, Contract Agreement, Drawings, Specifications and forms of Bid Bond, Performance Bond, Payment Bond and other Contract Documents may be examined at the following:

City of Dawsonville 415 Highway 53 East, Suite 100 Dawsonville, Georgia 30534

Copies of Contract Documents may be obtained at the office of Davis Engineering & Surveying, LLC, 133 Prominence Court, Suite 210, Dawsonville, Georgia 30534, upon payment of \$75.00, non-refundable, for each set plus applicable postage. Street address must be provided to ensure prompt delivery.

Each Bid must be accompanied by a Bid Bond, prepared on the form of Bid Bond attached to the Contract Documents or a Surety Company's Standard Bid Bond, duly executed by the Bidder as principal and having as surety thereon a surety company licensed to do business in the State of Georgia and listed in the latest issue of U.S. Treasury Circular 570, in the amount of 5 percent of the Bid.

The Bidder shall affix to the outside of its bid envelope, its Georgia Contractor License Number, if applicable, not the license number of a Construction Manager nor a subcontractor's Contractor License Number.

The successful Bidder for this Contract will be required to furnish a satisfactory Performance Bond and Payment Bond each in the amount of 100 percent of the Bid. The Owner reserves the right to reject any or all Bids, to waive informalities and to re-advertise.

**END OF SECTION** 

# SECTON 00100 INSTRUCTIONS TO BIDDERS

#### ARTICLE 1 DEFINED TERMS

Terms used in these Instructions to Bidders have the meanings indicated in the General Conditions and Supplementary Conditions. Additional terms used in these Instructions to Bidders have the meanings indicated below:

Issuing Office—The office from which the Bidding Documents are to be issued, and which registers plan holders.

#### ARTICLE 2 BIDDING DOCUMENTS

- 2.01 Bidder shall obtain a complete set of Bidding Requirements and proposed Contract Documents (together, the Bidding Documents). Copies of Contract Documents may be obtained at the office of Davis Engineering & Surveying, LLC, 133 Prominence Court, Suite 210, Dawsonville, GA 30534, upon payment of \$100.00, non-refundable, for each set plus applicable postage. Street address must be provided to ensure prompt delivery. See the Agreement for a list of the Contract Documents. It is Bidder's responsibility to determine that it is using a complete set of documents in the preparation of a Bid. Bidder assumes sole responsibility for errors or misinterpretations resulting from the use of incomplete documents, by Bidder itself or by its prospective Subcontractors and Suppliers.
- 2.02 Bidding Documents are made available for the sole purpose of obtaining Bids for completion of the Project and permission to download or distribution of the Bidding Documents does not confer a license or grant permission or authorization for any other use. Authorization to download documents, or other distribution, includes the right for plan holders to print documents solely for their use, and the use of their prospective Subcontractors and Suppliers, provided the plan holder pays all costs associated with printing or reproduction. Printed documents may not be re-sold under any circumstances.

#### 2.03 Electronic Documents

Electronic (digital) copies of the Bidding Documents are available, such documents will be made available to the Bidders as Electronic Documents in the manner specified.

Bidding Documents will be provided in Adobe PDF (Portable Document Format) (.pdf) that is readable by Adobe Acrobat Reader Version 11 or later. It is the intent of the Engineer and Owner that such Electronic Documents are to be exactly representative of the paper copies of the documents. However, because the Owner and Engineer cannot totally control the transmission and receipt of Electronic Documents nor the Contractor's means of reproduction of such documents, the Owner and Engineer cannot and do not guarantee that Electronic Documents and reproductions prepared from those versions are identical in every manner to the paper copies.

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**INSTRUCTIONS TO BIDDERS** 

Unless otherwise stated in the Bidding Documents, the Bidder may use and rely upon complete sets of Electronic Documents of the Bidding Documents, described in Paragraph 2.03.A above. However, Bidder assumes all risks associated with differences arising from transmission/receipt of Electronic Documents versions of Bidding Documents and reproductions prepared from those versions and, further, assumes all risks, costs, and responsibility associated with use of the Electronic Documents versions to derive information that is not explicitly contained in printed paper versions of the documents, and for Bidder's reliance upon such derived information.

#### ARTICLE 3—QUALIFICATIONS OF BIDDERS

Bidder is to submit the following information with its Bid to demonstrate Bidder's qualifications to perform the Work:

Written evidence establishing its qualifications such as financial data, previous experience, and present commitments.

A written statement that Bidder is authorized to do business in the state of Georgia, or a written certification that Bidder will obtain such authority prior to the Effective Date of the Contract.

Bidder's state or other contractor license number, if applicable.

Subcontractor and Supplier qualification information.

City of Dawsonville Vendor Requirements.

The Bid Bond.

Corporate Certificate, if the Bidder is a corporation.

Contractor's License Certification.

A Bidder's failure to submit required qualification information within the times indicated may disqualify Bidder from receiving an award of the Contract.

No requirement in this Article 3 to submit information will prejudice the right of Owner to seek additional pertinent information regarding Bidder's qualifications.

**INSTRUCTIONS TO BIDDERS** 

#### ARTICLE 4—PRE-BID CONFERENCE

A non-mandatory pre-bid conference will be held **on Monday, May 13, 2019 at 9:00 AM at Dawsonville Municipal Complex located at 415 Highway 53 East, Suite 100, Dawsonville, Georgia 30534**. Representatives of Owner and Engineer will be present to discuss the Project. Bidders are encouraged to attend and participate in the conference; however, attendance at this conference is not required to submit a Bid.

Information presented at the pre-Bid conference does not alter the Contract Documents. Owner will issue Addenda to make any changes to the Contract Documents that result from discussions at the pre-Bid conference. Information presented, and statements made at the pre-bid conference will not be binding or legally effective unless incorporated in an Addendum.

ARTICLE 5—SITE AND OTHER AREAS; EXISTING SITE CONDITIONS; EXAMINATION OF SITE; OWNER'S SAFETY PROGRAM; OTHER WORK AT THE SITE

#### Site and Other Areas

The Site is identified in the Bidding Documents. By definition, the Site includes rights-of-way, easements, and other lands furnished by Owner for the use of the Contractor. Any additional lands required for temporary construction facilities, construction equipment, or storage of materials and equipment, and any access needed for such additional lands, are to be obtained and paid for by Contractor.

#### **Existing Site Conditions**

*Underground Facilities:* Underground Facilities are shown or indicated on the Drawings, pursuant to Paragraph 5.05 of the General Conditions. Information and data regarding the presence or location of Underground Facilities are not intended to be categorized, identified, or defined as Technical Data.

#### Other Site-related Documents

No other Site-related documents are available.

#### Site Visit and Testing by Bidders

Bidder is required to visit the Site and conduct a thorough visual examination of the Site and adjacent areas. During the visit the Bidder must not disturb any ongoing operations at the Site.

A Site visit is scheduled following the pre-bid conference.

Bidders visiting the Site are required to arrange their own transportation to the Site.

Bidder is not required to conduct any subsurface testing, or exhaustive investigations of Site conditions.

On request, and to the extent Owner has control over the Site, and schedule permitting, the Owner will provide Bidder general access to the Site to conduct such additional examinations, investigations, explorations, tests, and studies as Bidder deems necessary for preparing and submitting a successful Bid. Owner will not have any obligation to grant such access if doing so is not practical because of existing operations, security or

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**INSTRUCTIONS TO BIDDERS** 

safety concerns, or restraints on Owner's authority regarding the Site. Bidder is responsible for establishing access needed to reach specific selected test sites.

Bidder must comply with all applicable Laws and Regulations regarding excavation and location of utilities, obtain all permits, and comply with all terms and conditions established by Owner or by property owners or other entities controlling the Site with respect to schedule, access, existing operations, security, liability insurance, and applicable safety programs.

Bidder must fill all holes and clean up and restore the Site to its former condition upon completion of such explorations, investigations, tests, and studies.

#### Owner's Safety Program

Site visits and work at the Site may be governed by an Owner safety program. If an Owner safety program exists, it will be noted in the Supplementary Conditions.

#### Other Work at the Site

Reference is made to Article 8 of the Supplementary Conditions for the identification of the general nature of other work of which Owner is aware (if any) that is to be performed at the Site by Owner or others (such as utilities and other prime contractors) and relates to the Work contemplated by these Bidding Documents. If Owner is party to a written contract for such other work, then on request, Owner will provide to each Bidder access to examine such contracts (other than portions thereof related to price and other confidential matters), if any.

#### ARTICLE 6—BIDDER'S REPRESENTATIONS AND CERTIFICATIONS

#### Express Representations and Certifications in Bid Form, Agreement

The Bid Form that each Bidder will submit contains express representations regarding the Bidder's examination of Project documentation, Site visit, and preparation of the Bid, and certifications regarding lack of collusion or fraud in connection with the Bid. Bidder should review these representations and certifications, and assure that Bidder can make the representations and certifications in good faith, before executing and submitting its Bid.

If Bidder is awarded the Contract, Bidder (as Contractor) will make similar express representations and certifications when it executes the Agreement.

#### ARTICLE 7—INTERPRETATIONS AND ADDENDA

Owner on its own initiative may issue Addenda to clarify, correct, supplement, or change the Bidding Documents.

Bidder shall submit all questions about the meaning or intent of the Bidding Documents to Landscape Architect in writing. Contact information and submittal procedures for such questions are as follows:

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Davis Engineering & Surveying, LLC
Attn: Rachel Burton
133 Prominence Court, Suite 210
Dawsonville, Georgia 30534
rburton@davisengineers.com
Fax: 678.248.9177

Written questions must be received by Friday, May 17, 2019 by 5:00 PM. Interpretations or clarifications considered necessary by Landscape Architect in response to such questions will be issued by Addenda and posted to the City website, <a href="www.dawsonville-ga.gov">www.dawsonville-ga.gov</a>, no later than May 22, 2019.

Only responses set forth in an Addendum will be binding. Oral and other interpretations or clarifications will be without legal effect. Responses to questions are not part of the Contract Documents unless set forth in an Addendum that expressly modifies or supplements the Contract Documents.

#### ARTICLE 8—BID SECURITY

A Bid must be accompanied by Bid security made payable to Owner in an amount of 5 percent of Bidder's maximum Bid price (determined by adding the base bid and all alternates) and in the form of a Bid bond issued by a surety meeting the requirements of Paragraph 6.01 of the General Conditions.

The Bid security of the apparent Successful Bidder will be retained until Owner awards the contract to such Bidder, and such Bidder has executed the Contract, furnished the required Contract security, and met the other conditions of the Notice of Award, whereupon the Bid security will be released. If the Successful Bidder fails to execute and deliver the Contract and furnish the required Contract security within 15 days after the Notice of Award, Owner may consider Bidder to be in default, annul the Notice of Award, and the Bid security of that Bidder will be forfeited, in whole in the case of a penal sum bid bond, and to the extent of Owner's damages in the case of a damages-form bond. Such forfeiture will be Owner's exclusive remedy if Bidder defaults.

The Bid security of other Bidders that Owner believes to have a reasonable chance of receiving the award may be retained by Owner until the earlier of 7 days after the Effective Date of the Contract or 61 days after the Bid opening, whereupon Bid security furnished by such Bidders will be released.

Bid security of other Bidders that Owner believes do not have a reasonable chance of receiving the award will be released within 7 days after the Bid opening.

#### ARTICLE 9—CONTRACT TIMES

The number of days within which, or the dates by which, the Work is to be (a) substantially completed and (b) ready for final payment, and (c) Milestones (if any) are to be achieved, are set forth in the Agreement.

Bidder must set forth in the Bid the time by which Bidder must achieve Substantial Completion, subject to the restrictions established in Paragraph 13.07 of these

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Instructions. The Owner will take Bidder's time commitment regarding Substantial Completion into consideration during the evaluation of Bids, and it will be necessary for the apparent Successful Bidder to satisfy Owner that it will be able to achieve Substantial Completion within the time such Bidder has designated in the Bid. The Successful Bidder's time commitments will be entered into the Agreement or incorporated in the Agreement by reference to the specific terms of the Bid.

Provisions for liquidated damages, if any, for failure to timely attain a Milestone, Substantial Completion, or completion of the Work in readiness for final payment, are set forth in the Agreement.

#### ARTICLE 10—SUBSTITUTE AND "OR EQUAL" ITEMS

The Contract for the Work, as awarded, will be on the basis of materials and equipment specified or described in the Bidding Documents, and those "or-equal" or substitute or materials and equipment subsequently approved by Engineer prior to the submittal of Bids and identified by Addendum. No item of material or equipment will be considered by Engineer as an "or-equal" or substitute unless written request for approval has been submitted by Bidder and has been received by Engineer within 10 days of the issuance of the Advertisement for Bids or invitation to Bidders. Each such request must comply with the requirements of Paragraphs 7.05 and 7.06 of the General Conditions, and the review of the request will be governed by the principles in those paragraphs. The burden of proof of the merit of the proposed item is upon Bidder. Engineer's decision of approval or disapproval of a proposed item will be final. If Engineer approves any such proposed item, such approval will be set forth in an Addendum issued to all registered Bidders. Bidders cannot rely upon approvals made in any other manner.

All prices that Bidder sets forth in its Bid will be based on the presumption that the Contractor will furnish the materials and equipment specified or described in the Bidding Documents, as supplemented by Addenda. Any assumptions regarding the possibility of post-Bid approvals of "or-equal" or substitution requests are made at Bidder's sole risk.

#### ARTICLE 11—SUBCONTRACTORS, SUPPLIERS, AND OTHERS

A Bidder must be prepared to retain specific Subcontractors and Suppliers for the performance of the Work if required to do so by the Bidding Documents or in the Specifications. If a prospective Bidder objects to retaining any such Subcontractor or Supplier and the concern is not relieved by an Addendum, then the prospective Bidder should refrain from submitting a Bid.

The apparent Successful Bidder, and any other Bidder so requested, must submit to Owner a list of the Subcontractors or Suppliers proposed for the following portions of the Work within five days after Bid opening:

**Electrical Subcontractor** 

Plumbing Subcontractor

Heating and Air-Conditioning Subcontractor

**INSTRUCTIONS TO BIDDERS** 

#### Masonry/Stonework Subcontractor

#### **Roofing Subcontractor**

If requested by Owner, such list must be accompanied by an experience statement with pertinent information regarding similar projects and other evidence of qualification for each such Subcontractor or Supplier. If Owner or Engineer, after due investigation, has reasonable objection to any proposed Subcontractor or Supplier, Owner may, before the Notice of Award is given, request apparent Successful Bidder to submit an acceptable substitute, in which case apparent Successful Bidder will submit a substitute, Bidder's Bid price will be increased (or decreased) by the difference in cost occasioned by such substitution, and Owner may consider such price adjustment in evaluating Bids and making the Contract award.

If apparent Successful Bidder declines to make any such substitution, Owner may award the Contract to the next lowest Bidder that proposes to use acceptable Subcontractors and Suppliers. Declining to make requested substitutions will constitute grounds for forfeiture of the Bid security of any Bidder. Any Subcontractor or Supplier, so listed and against which Owner or Engineer makes no written objection prior to the giving of the Notice of Award will be deemed acceptable to Owner and Engineer subject to subsequent revocation of such acceptance as provided in Paragraph 7.07 of the General Conditions.

#### ARTICLE 12—PREPARATION OF BID

The Bid Form is included with the Bidding Documents.

All blanks on the Bid Form must be completed in ink and the Bid Form signed in ink. Erasures or alterations must be initialed in ink by the person signing the Bid Form. A Bid price must be indicated for each section, Bid item, alternate, adjustment unit price item, and unit price item listed therein.

If the Bid Form expressly indicates that submitting pricing on a specific alternate item is optional, and Bidder elects to not furnish pricing for such optional alternate item, then Bidder may enter the words "No Bid" or "Not Applicable."

If Bidder has obtained the Bidding Documents as Electronic Documents, then Bidder shall prepare its Bid on a paper copy of the Bid Form printed from the Electronic Documents version of the Bidding Documents. The printed copy of the Bid Form must be clearly legible, printed on 8½ inch by 11-inch paper and as closely identical in appearance to the Electronic Document version of the Bid Form as may be practical. The Owner reserves the right to accept Bid Forms which nominally vary in appearance from the original paper version of the Bid Form, providing that all required information and submittals are included with the Bid.

A Bid by a corporation must be executed in the corporate name by a corporate officer (whose title must appear under the signature), accompanied by evidence of authority to sign. The corporate address and state of incorporation must be shown.

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**INSTRUCTIONS TO BIDDERS** 

A Bid by a partnership must be executed in the partnership name and signed by a partner (whose title must appear under the signature), accompanied by evidence of authority to sign. The official address of the partnership must be shown.

A Bid by a limited liability company must be executed in the name of the firm by a member or other authorized person and accompanied by evidence of authority to sign. The state of formation of the firm and the official address of the firm must be shown.

A Bid by an individual must show the Bidder's name and official address.

A Bid by a joint venture must be executed by an authorized representative of each joint venturer in the manner indicated on the Bid Form. The joint venture must have been formally established prior to submittal of a Bid, and the official address of the joint venture must be shown.

All names must be printed in ink below the signatures.

The Bid must contain an acknowledgment of receipt of all Addenda, the numbers of which must be filled in on the Bid Form.

Postal and e-mail addresses and telephone number for communications regarding the Bid must be shown.

The Bid must contain evidence of Bidder's authority to do business in the state where the Project is located, or Bidder must certify in writing that it will obtain such authority within the time for acceptance of Bids and attach such certification to the Bid.

If Bidder is required to be licensed to submit a Bid or perform the Work in the state where the Project is located, the Bid must contain evidence of Bidder's licensure, or Bidder must certify in writing that it will obtain such licensure within the time for acceptance of Bids and attach such certification to the Bid. Bidder's state contractor license number, if any, must also be shown on the Bid Form.

#### ARTICLE 13—BASIS OF BID

#### Lump Sum

Bidders must submit a Bid on a lump sum basis as set forth in the Bid Form.

#### Allowances

For cash allowances the Bid price must include such amounts as the Bidder deems proper for Contractor's overhead, costs, profit, and other expenses on account of cash allowances, if any, named in the Contract Documents, in accordance with Paragraph 13.02.B of the General Conditions.

**INSTRUCTIONS TO BIDDERS** 

#### ARTICLE 14—SUBMITTAL OF BID

The Bidding Documents include one separate unbound copy of the Bid Form, and, if required, the Bid Bond Form. The unbound copy of the Bid Form is to be completed and submitted with the Bid security and the other documents required to be submitted under the terms of Article 2 of the Bid Form.

A Bid must be received no later than the date and time prescribed and at the place indicated in the Advertisement or invitation to bid and must be enclosed in a plainly marked package with the Project title, and, if applicable, the designated portion of the Project for which the Bid is submitted, the name and address of Bidder, and must be accompanied by the Bid security and other required documents. If a Bid is sent by mail or other delivery system, the sealed envelope containing the Bid must be enclosed in a separate package plainly marked on the outside with the notation "BID ENCLOSED." A mailed Bid must be addressed to the location designated in the Advertisement.

Bids received after the date and time prescribed for the opening of bids, or not submitted at the correct location or in the designated manner, will not be accepted and will be returned to the Bidder unopened.

#### ARTICLE 15—MODIFICATION AND WITHDRAWAL OF BID

An unopened Bid may be withdrawn by an appropriate document duly executed in the same manner that a Bid must be executed and delivered to the place where Bids are to be submitted prior to the date and time for the opening of Bids. Upon receipt of such notice, the unopened Bid will be returned to the Bidder.

If a Bidder wishes to modify its Bid prior to Bid opening, Bidder must withdraw its initial Bid in the manner specified in Paragraph 15.01 and submit a new Bid prior to the date and time for the opening of Bids.

If within 24 hours after Bids are opened any Bidder files a duly signed written notice with Owner and promptly thereafter demonstrates to the reasonable satisfaction of Owner that there was a material and substantial mistake in the preparation of its Bid, the Bidder may withdraw its Bid, and the Bid security will be returned. Thereafter, if the Work is rebid, the Bidder will be disqualified from further bidding on the Work.

#### ARTICLE 16—OPENING OF BIDS

Bids will be opened at the time and place indicated in the advertisement or invitation to bid and, unless obviously non-responsive, read aloud publicly. An abstract of the amounts of the base Bids and major alternates, if any, will be made available to Bidders after the opening of Bids.

**INSTRUCTIONS TO BIDDERS** 

#### ARTICLE 17—BIDS TO REMAIN SUBJECT TO ACCEPTANCE

All Bids will remain subject to acceptance for the period of time stated in the Bid Form, but Owner may, in its sole discretion, release any Bid and return the Bid security prior to the end of this period.

#### ARTICLE 18—EVALUATION OF BIDS AND AWARD OF CONTRACT

Owner reserves the right to reject any or all Bids, including without limitation, nonconforming, nonresponsive, unbalanced, or conditional Bids. Owner also reserves the right to waive all minor Bid informalities not involving price, time, or changes in the Work.

Owner will reject the Bid of any Bidder that Owner finds, after reasonable inquiry and evaluation, to not be responsible.

If Bidder purports to add terms or conditions to its Bid, takes exception to any provision of the Bidding Documents, or attempts to alter the contents of the Contract Documents for purposes of the Bid, whether in the Bid itself or in a separate communication to Owner or Engineer, then Owner will reject the Bid as nonresponsive.

If Owner awards the contract for the Work, such award will be to the responsible Bidder submitting the lowest responsive Bid.

#### **Evaluation of Bids**

In evaluating Bids, Owner will consider whether the Bids comply with the prescribed requirements, and such alternates, unit prices, and other data, as may be requested in the Bid Form or prior to the Notice of Award.

In the comparison of Bids, alternates will be applied in the same order of priority as listed in the Bid Form. To determine the Bid prices for purposes of comparison, Owner will announce to all bidders a "Base Bid plus alternates" budget after receiving all Bids, but prior to opening them. For comparison purposes alternates will be accepted, following the order of priority established in the Bid Form, until doing so would cause the budget to be exceeded. After determination of the Successful Bidder based on this comparative process and on the responsiveness, responsibility, and other factors set forth in these Instructions, the award may be made to said Successful Bidder on its base Bid and any combination of its additive alternate Bids for which Owner determines funds will be available at the time of award.

For determination of the apparent low Bidder(s) when sectional bids are submitted, Bids will be compared on the basis of the aggregate of the Bids for separate sections and the Bids for combined sections that result in the lowest total amount for all of the Work.

For the determination of the apparent low Bidder when unit price bids are submitted, Bids will be compared on the basis of the total of the products of the estimated quantity of each item and unit price Bid for that item, together with any lump sum items.

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In evaluating whether a Bidder is responsible, Owner will consider the qualifications of the Bidder and may consider the qualifications and experience of Subcontractors and Suppliers proposed for those portions of the Work for which the identity of Subcontractors and Suppliers must be submitted as provided in the Bidding Documents.

Owner may conduct such investigations as Owner deems necessary to establish the responsibility, qualifications, and financial ability of Bidders and any proposed Subcontractors or Suppliers.

#### ARTICLE 19-BONDS AND INSURANCE

Article 6 of the General Conditions, as may be modified by the Supplementary Conditions, sets forth Owner's requirements as to performance and payment bonds, other required bonds (if any), and insurance. When the Successful Bidder delivers the executed Agreement to Owner, it must be accompanied by required bonds and insurance documentation.

Article 8, Bid Security, of these Instructions, addresses any requirements for providing bid bonds as part of the bidding process.

#### ARTICLE 20—SIGNING OF AGREEMENT

When Owner issues a Notice of Award to the Successful Bidder, it will be accompanied by the unexecuted counterparts of the Agreement along with the other Contract Documents as identified in the Agreement. Within 15 days thereafter, Successful Bidder must execute and deliver the required number of counterparts of the Agreement and any bonds and insurance documentation required to be delivered by the Contract Documents to Owner. Within 10 days thereafter, Owner will deliver one fully executed counterpart of the Agreement to Successful Bidder, together with printed and electronic copies of the Contract Documents as stated in Paragraph 2.02 of the General Conditions.

#### ARTICLE 21--CITY OF DAWSONVILLE VENDOR REQUIREMENTS



#### Dear City of Dawsonville Vendor:

The following documents must be returned to the City for your company to be an active vendor with the City of Dawsonville. Should you have questions regarding this process please contact our finance department by phone at 706-265-3256 or by email at financeadministrator@dawsonville-ga.gov.

- 1. Vendor Application (page 2)-Ensure that all the information you include on this application is accurate and legible to ensure we contact the proper contacts within your company.
- 2. W-9 (page 3) Only remit the first page of the four page document. Ensure that you include your social security number or the employer identification number form is signed.
- 3. Contractor Affidavit Under O.C.G.A. 13-10-91(b)(1) (page 7) If you are a <u>service</u> provider, you must include your company ID number that is provided to you once you register with the Federal E-Verify system or indicate that you or your company qualify as exempt (no employees). Ensure that you enclose the original signed and notarized affidavit unless you are exempt (zero employees). If you are exempt a copy of your driver's license will be needed to fulfill requirements instead of this form.
- 4. City of Dawsonville Insurance Requirements if you are a <u>service</u> provider, before commencing any work for the City of Dawsonville, furnish a valid General Liability Certificate of Insurance with a minimum limit of \$1,000,000 per occurrence for bodily injury and property damage. The City of Dawsonville, Georgia should be shown as an additional insured.

Avalid Worker's Compensation Certificate of Insurance should be submitted evidencing:

- a. Worker's Compensation Statutory Limits
- b. Employer's Liability
  - i. Bodily Injury by Accident \$100,000 each accident
  - ii. Bodily Injury by Disease \$500,000 policy limit
  - iii. Bodily Injury by Disease \$100,000 each employee

Auto Liability Certificate of Insurance (if auto used in performance of work): Minimum \$1,000,000 limit per occurrence for bodily injury and property damage. Comprehensive form covering all owned and non-owned and hired vehicles needed.

Professional Services Insurance (required by all professional services vendors) minimum \$1,000,000 limit.

Please return all completed documents to:

City of Dawsonville
Finance Department
415 Highway 53 East, Suite 100
Dawsonville, GA 30534
financeadministrator@dawsonville-ga.gov



CITY OF DAWSONVILLE 415 Highway 53 East, Suite 100 Dawsonville, GA 30534 FINANCE DEPARTMENT 706-265-3256 706-265-4214 financeadministrator@dawsonville-ga.gov

#### VENDOR REGISTRATION

Date:			
Name o	Vendor: ( Business Individual)		
	Supply Vendor		
	Does your company have employees? ☐ Yes ☐ No		
	Is your company licensed to do business in Georgia?		
	State License #: Expiration Date:		
	License Holder's Name:	Phone:	
Busines	Information:		
	Contact Name:		
	Complete Street Address:		
	City/State/Zip:		
	Complete Mailing Address (if different from above):		
	City/State/Zip:		
	Email Address:	Phone:	
	Corporate Website:	Fax:	
	Name on Tax Return (if different from Vendor Name above):		
	Organized as: ☐ Individual ☐ Partnership ☐ Corporation ☐ Limited L	iability Company	
	Federal EIN or Social Security #:		
Summai	y of Products/Services Offered in Detail:		
8 mm lines	nt's Signature: Da	Ÿ	
Applicar	nt s signature: Da	ie:	
CITY (	DF DAWSONVILLE USE ONLY:		
Date I	Received: Registration #:		

(Rev. October 2018) Department of the Treasury Internal Revenue Service

#### **Request for Taxpayer Identification Number and Certification**

► Go to www.irs.gov/FormW9 for instructions and the latest information.

Give Form to the requester. Do not send to the IRS.

	Name (as shown on your income tax return). Name is required on this line; do not leave this line blank.	
200	2 Business name/disregarded entity name, if different from above	
Print or type. See Specific Instructions on page 3.	Check appropriate box for federal tax classification of the person whose name is entered on line 1. Check following seven boxes.      Individual/sole proprietor or C Corporation S Corporation Partnership	certain entities, not individuals; see instructions on page 3):  Trust/estate
Sis.	single-member LLC	Exempt payee code (if any)
₹ ₹	Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=Partnership	
t or	Note: Check the appropriate box in the line above for the tax classification of the single-member owner LLC if the LLC is classified as a single-member LLC that is disregarded from the owner unless the owner.	or of the LLC is
Print or type, c Instruction	another LLC that is <b>not</b> disregarded from the owner for U.S. federal tax purposes. Otherwise, a single-n	
_ iii	is disregarded from the owner should check the appropriate box for the tax classification of its owner.	
96	☐ Other (see instructions) ►	(Applies to accounts maintained outside the U.S.)
ee S	5 Address (number, street, and apt. or suite no.) See instructions.	quester's name and address (optional)
(I)	6 City, state, and ZIP code	
	7 List account number(s) here (optional)	
Par	Taxpayer Identification Number (TIN)	
	your TIN in the appropriate box. The TIN provided must match the name given on line 1 to avoid	Social security number
reside	up withholding. For individuals, this is generally your social security number (SSN). However, for a ent alien, sole proprietor, or disregarded entity, see the instructions for Part I, later. For other es, it is your employer identification number (EIN). If you do not have a number, see <i>How to get a</i>	
TIN, I		or
Note:	: If the account is in more than one name, see the instructions for line 1. Also see What Name and	Employer identification number
Numb	per To Give the Requester for guidelines on whose number to enter.	
Par	t II Certification	
Unde	r penalties of perjury, I certify that:	
		sport President Control Proper Accordance to Low Discourse Control Con

- 1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and
- 2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and
- 3. I am a U.S. citizen or other U.S. person (defined below); and
- 4. The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions for Part II, later.

Sign Signature of Here U.S. person ► Date ▶

#### **General Instructions**

Section references are to the Internal Revenue Code unless otherwise

Future developments. For the latest information about developments related to Form W-9 and its instructions, such as legislation enacted after they were published, go to www.irs.gov/FormW9.

#### Purpose of Form

An individual or entity (Form W-9 requester) who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) which may be your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN), to report on an information return the amount paid to you, or other amount reportable on an information return. Examples of information returns include, but are not limited to, the following

• Form 1099-INT (interest earned or paid)

- Form 1099-DIV (dividends, including those from stocks or mutual funds)
- Form 1099-MISC (various types of income, prizes, awards, or gross proceeds)
- Form 1099-B (stock or mutual fund sales and certain other transactions by brokers)
- Form 1099-S (proceeds from real estate transactions)
- Form 1099-K (merchant card and third party network transactions)
- Form 1098 (home mortgage interest), 1098-E (student loan interest), 1098-T (tuition)
- Form 1099-C (canceled debt)
- Form 1099-A (acquisition or abandonment of secured property)

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN.

If you do not return Form W-9 to the requester with a TIN, you might be subject to backup withholding. See What is backup withholding, later

Form W-9 (Rev. 10-2018)

By signing the filled-out form, you:

- 1. Certify that the TIN you are giving is correct (or you are waiting for a number to be issued).
  - 2. Certify that you are not subject to backup withholding, or
- 3. Claim exemption from backup withholding if you are a U.S. exempt payee. If applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the withholding tax on foreign partners' share of effectively connected income, and
- 4. Certify that FATCA code(s) entered on this form (if any) indicating that you are exempt from the FATCA reporting, is correct. See *What is FATCA reporting*, later, for further information.

**Note:** If you are a U.S. person and a requester gives you a form other than Form W-9 to request your TIN, you must use the requester's form if it is substantially similar to this Form W-9.

**Definition of a U.S. person.** For federal tax purposes, you are considered a U.S. person if you are:

- An individual who is a U.S. citizen or U.S. resident alien;
- A partnership, corporation, company, or association created or organized in the United States or under the laws of the United States;
- · An estate (other than a foreign estate); or
- A domestic trust (as defined in Regulations section 301.7701-7).

Special rules for partnerships. Partnerships that conduct a trade or business in the United States are generally required to pay a withholding tax under section 1446 on any foreign partners' share of effectively connected taxable income from such business. Further, in certain cases where a Form W-9 has not been received, the rules under section 1446 require a partnership to presume that a partner is a foreign person, and pay the section 1446 withholding tax. Therefore, if you are a U.S. person that is a partner in a partnership conducting a trade or business in the United States, provide Form W-9 to the partnership to establish your U.S. status and avoid section 1446 withholding on your share of partnership income.

In the cases below, the following person must give Form W-9 to the partnership for purposes of establishing its U.S. status and avoiding withholding on its allocable share of net income from the partnership conducting a trade or business in the United States.

- In the case of a disregarded entity with a U.S. owner, the U.S. owner of the disregarded entity and not the entity;
- In the case of a grantor trust with a U.S. grantor or other U.S. owner, generally, the U.S. grantor or other U.S. owner of the grantor trust and not the trust; and
- In the case of a U.S. trust (other than a grantor trust), the U.S. trust (other than a grantor trust) and not the beneficiaries of the trust.

Foreign person. If you are a foreign person or the U.S. branch of a foreign bank that has elected to be treated as a U.S. person, do not use Form W-9. Instead, use the appropriate Form W-8 or Form 8233 (see Pub. 515, Withholding of Tax on Nonresident Aliens and Foreign Entities).

Nonresident alien who becomes a resident alien. Generally, only a nonresident alien individual may use the terms of a tax treaty to reduce or eliminate U.S. tax on certain types of income. However, most tax treaties contain a provision known as a "saving clause." Exceptions specified in the saving clause may permit an exemption from tax to continue for certain types of income even after the payee has otherwise become a U.S. resident alien for tax purposes.

If you are a U.S. resident alien who is relying on an exception contained in the saving clause of a tax treaty to claim an exemption from U.S. tax on certain types of income, you must attach a statement to Form W-9 that specifies the following five items.

- 1. The treaty country. Generally, this must be the same treaty under which you claimed exemption from tax as a nonresident alien.
  - 2. The treaty article addressing the income.
- 3. The article number (or location) in the tax treaty that contains the saving clause and its exceptions.
- $4. \ \mbox{The type}$  and amount of income that qualifies for the exemption from tax.
- Sufficient facts to justify the exemption from tax under the terms of the treaty article.

**Example.** Article 20 of the U.S.-China income tax treaty allows an exemption from tax for scholarship income received by a Chinese student temporarily present in the United States. Under U.S. law, this student will become a resident alien for tax purposes if his or her stay in the United States exceeds 5 calendar years. However, paragraph 2 of the first Protocol to the U.S.-China treaty (dated April 30, 1984) allows the provisions of Article 20 to continue to apply even after the Chinese student becomes a resident alien of the United States. A Chinese student who qualifies for this exception (under paragraph 2 of the first protocol) and is relying on this exception to claim an exemption from tax on his or her scholarship or fellowship income would attach to Form W-9 a statement that includes the information described above to support that exemption.

If you are a nonresident alien or a foreign entity, give the requester the appropriate completed Form W-8 or Form 8233.

#### **Backup Withholding**

What is backup withholding? Persons making certain payments to you must under certain conditions withhold and pay to the IRS 24% of such payments. This is called "backup withholding." Payments that may be subject to backup withholding include interest, tax-exempt interest, dividends, broker and barter exchange transactions, rents, royalties, nonemployee pay, payments made in settlement of payment card and third party network transactions, and certain payments from fishing boat operators. Real estate transactions are not subject to backup withholding.

You will not be subject to backup withholding on payments you receive if you give the requester your correct TIN, make the proper certifications, and report all your taxable interest and dividends on your tax return.

#### Payments you receive will be subject to backup withholding if:

- 1. You do not furnish your TIN to the requester,
- 2. You do not certify your TIN when required (see the instructions for Part II for details),
  - 3. The IRS tells the requester that you furnished an incorrect TIN,
- 4. The IRS tells you that you are subject to backup withholding because you did not report all your interest and dividends on your tax return (for reportable interest and dividends only), or
- 5. You do not certify to the requester that you are not subject to backup withholding under 4 above (for reportable interest and dividend accounts opened after 1983 only).

Certain payees and payments are exempt from backup withholding. See *Exempt payee code*, later, and the separate Instructions for the Requester of Form W-9 for more information.

Also see Special rules for partnerships, earlier.

#### What is FATCA Reporting?

The Foreign Account Tax Compliance Act (FATCA) requires a participating foreign financial institution to report all United States account holders that are specified United States persons. Certain payees are exempt from FATCA reporting. See Exemption from FATCA reporting code, later, and the Instructions for the Requester of Form W-9 for more information.

#### Updating Your Information

You must provide updated information to any person to whom you claimed to be an exempt payee if you are no longer an exempt payee and anticipate receiving reportable payments in the future from this person. For example, you may need to provide updated information if you are a C corporation that elects to be an S corporation, or if you no longer are tax exempt. In addition, you must furnish a new Form W-9 if the name or TIN changes for the account; for example, if the grantor of a grantor trust dies.

#### **Penalties**

Failure to furnish TIN. If you fail to furnish your correct TIN to a requester, you are subject to a penalty of \$50 for each such failure unless your failure is due to reasonable cause and not to willful neglect.

Civil penalty for false information with respect to withholding. If you make a false statement with no reasonable basis that results in no backup withholding, you are subject to a \$500 penalty.

Criminal penalty for falsifying information. Willfully falsifying certifications or affirmations may subject you to criminal penalties including fines and/or imprisonment.

Misuse of TINs. If the requester discloses or uses TINs in violation of federal law, the requester may be subject to civil and criminal penalties.

#### Specific Instructions

#### Line 1

You must enter one of the following on this line; do not leave this line blank. The name should match the name on your tax return.

If this Form W-9 is for a joint account (other than an account maintained by a foreign financial institution (FFI)), list first, and then circle, the name of the person or entity whose number you entered in Part I of Form W-9. If you are providing Form W-9 to an FFI to document a joint account, each holder of the account that is a U.S. person must provide a Form W-9.

a. Individual. Generally, enter the name shown on your tax return. If you have changed your last name without informing the Social Security Administration (SSA) of the name change, enter your first name, the last name as shown on your social security card, and your new last name.

Note: ITIN applicant: Enter your individual name as it was entered on your Form W-7 application, line 1a. This should also be the same as the name you entered on the Form 1040/1040A/1040EZ you filed with your application.

- b. **Sole proprietor or single-member LLC.** Enter your individual name as shown on your 1040/1040A/1040EZ on line 1. You may enter your business, trade, or "doing business as" (DBA) name on line 2.
- c. Partnership, LLC that is not a single-member LLC, C corporation, or S corporation. Enter the entity's name as shown on the entity's tax return on line 1 and any business, trade, or DBA name on line 2.
- d. Other entities. Enter your name as shown on required U.S. federal tax documents on line 1. This name should match the name shown on the charter or other legal document creating the entity. You may enter any business, trade, or DBA name on line 2.
- e. **Disregarded entity.** For U.S. federal tax purposes, an entity that is disregarded as an entity separate from its owner is treated as a "disregarded entity." See Regulations section 301.7701-2(c)(2)(iii). Enter the owner's name on line 1. The name of the entity entered on line 1 should never be a disregarded entity. The name on line 1 should be the name shown on the income tax return on which the income should be reported. For example, if a foreign LLC that is treated as a disregarded entity for U.S. federal tax purposes has a single owner that is a U.S. person, the U.S. owner's name is required to be provided on line 1. If the direct owner of the entity is also a disregarded entity, enter the first owner that is not disregarded for federal tax purposes. Enter the disregarded entity's name on line 2, "Business name/disregarded entity name." If the owner of the disregarded entity is a foreign person, the owner must complete an appropriate Form W-8 instead of a Form W-9. This is the case even if the foreign person has a U.S. TIN.

#### Line 2

If you have a business name, trade name, DBA name, or disregarded entity name, you may enter it on line 2.

#### Line 3

Check the appropriate box on line 3 for the U.S. federal tax classification of the person whose name is entered on line 1. Check only one box on line 3.

IF the entity/person on line 1 is a(n)	THEN check the box for
Corporation	Corporation
<ul> <li>Individual</li> <li>Sole proprietorship, or</li> <li>Single-member limited liability company (LLC) owned by an individual and disregarded for U.S. federal tax purposes.</li> </ul>	Individual/sole proprietor or single- member LLC
LLC treated as a partnership for U.S. federal tax purposes, LLC that has filed Form 8832 or 2553 to be taxed as a corporation, or LLC that is disregarded as an entity separate from its owner but the owner is another LLC that is not disregarded for U.S. federal tax purposes.	Limited liability company and enter the appropriate tax classification. (P= Partnership; C= C corporation; or S= S corporation)
Partnership	Partnership
Trust/estate	Trust/estate

#### Line 4, Exemptions

If you are exempt from backup withholding and/or FATCA reporting, enter in the appropriate space on line 4 any code(s) that may apply to you.

#### Exempt payee code.

- Generally, individuals (including sole proprietors) are not exempt from backup withholding.
- Except as provided below, corporations are exempt from backup withholding for certain payments, including interest and dividends.
- Corporations are not exempt from backup withholding for payments made in settlement of payment card or third party network transactions.
- Corporations are not exempt from backup withholding with respect to attorneys' fees or gross proceeds paid to attorneys, and corporations that provide medical or health care services are not exempt with respect to payments reportable on Form 1099-MISC.

The following codes identify payees that are exempt from backup withholding. Enter the appropriate code in the space in line 4.

- 1- An organization exempt from tax under section 501(a), any IRA, or a custodial account under section 403(b)(7) if the account satisfies the requirements of section 401(f)(2)
- 2—The United States or any of its agencies or instrumentalities
- 3—A state, the District of Columbia, a U.S. commonwealth or possession, or any of their political subdivisions or instrumentalities
- $4-\mbox{\ensuremath{\mbox{A}}}$  foreign government or any of its political subdivisions, agencies, or instrumentalities
- 5-A corporation
- 6— A dealer in securities or commodities required to register in the United States, the District of Columbia, or a U.S. commonwealth or possession
- $7-\mathrm{A}$  futures commission merchant registered with the Commodity Futures Trading Commission
- 8-A real estate investment trust
- $9-\mbox{An}$  entity registered at all times during the tax year under the Investment Company Act of 1940
- 10-A common trust fund operated by a bank under section 584(a)
- 11 A financial institution
- 12 A middleman known in the investment community as a nominee or custodian
- 13—A trust exempt from tax under section 664 or described in section 4947

The following chart shows types of payments that may be exempt from backup withholding. The chart applies to the exempt payees listed above, 1 through 13.

IF the payment is for	THEN the payment is exempt for
Interest and dividend payments	All exempt payees except for 7
Broker transactions	Exempt payees 1 through 4 and 6 through 11 and all C corporations. S corporations must not enter an exempt payee code because they are exempt only for sales of noncovered securities acquired prior to 2012.
Barter exchange transactions and patronage dividends	Exempt payees 1 through 4
Payments over \$600 required to be reported and direct sales over \$5,0001	Generally, exempt payees 1 through 5 <sup>2</sup>
Payments made in settlement of payment card or third party network transactions	Exempt payees 1 through 4

<sup>&</sup>lt;sup>1</sup> See Form 1099-MISC, Miscellaneous Income, and its instructions.

Exemption from FATCA reporting code. The following codes identify payees that are exempt from reporting under FATCA. These codes apply to persons submitting this form for accounts maintained outside of the United States by certain foreign financial institutions. Therefore, if you are only submitting this form for an account you hold in the United States, you may leave this field blank. Consult with the person requesting this form if you are uncertain if the financial institution is subject to these requirements. A requester may indicate that a code is not required by providing you with a Form W-9 with "Not Applicable" (or any similar indication) written or printed on the line for a FATCA exemption code.

A-An organization exempt from tax under section 501(a) or any individual retirement plan as defined in section 7701(a)(37)

- B-The United States or any of its agencies or instrumentalities
- C-A state, the District of Columbia, a U.S. commonwealth or possession, or any of their political subdivisions or instrumentalities
- D-A corporation the stock of which is regularly traded on one or more established securities markets, as described in Regulations section 1.1472-1(c)(1)(i)
- E—A corporation that is a member of the same expanded affiliated group as a corporation described in Regulations section 1.1472-1(c)(1)(i)
- F—A dealer in securities, commodities, or derivative financial instruments (including notional principal contracts, futures, forwards, and options) that is registered as such under the laws of the United States or any state
  - G-A real estate investment trust
- H-A regulated investment company as defined in section 851 or an entity registered at all times during the tax year under the Investment Company Act of 1940
  - I-A common trust fund as defined in section 584(a)
  - J-A bank as defined in section 581
  - K-A broker
- L-A trust exempt from tax under section 664 or described in section 4947(a)(1)

M-A tax exempt trust under a section 403(b) plan or section 457(g) plan

**Note:** You may wish to consult with the financial institution requesting this form to determine whether the FATCA code and/or exempt payee code should be completed.

#### Line 5

Enter your address (number, street, and apartment or suite number). This is where the requester of this Form W-9 will mail your information returns. If this address differs from the one the requester already has on file, write NEW at the top. If a new address is provided, there is still a chance the old address will be used until the payor changes your address in their records.

#### Line 6

Enter your city, state, and ZIP code.

#### Part I. Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. If you are a resident alien and you do not have and are not eligible to get an SSN, your TIN is your IRS individual taxpayer identification number (ITIN). Enter it in the social security number box. If you do not have an ITIN, see How to get a TIN below

If you are a sole proprietor and you have an EIN, you may enter either your SSN or EIN.

If you are a single-member LLC that is disregarded as an entity separate from its owner, enter the owner's SSN (or EIN, if the owner has one). Do not enter the disregarded entity's EIN. If the LLC is classified as a corporation or partnership, enter the entity's EIN.

**Note:** See *What Name and Number To Give the Requester,* later, for further clarification of name and TIN combinations.

How to get a TIN. If you do not have a TIN, apply for one immediately. To apply for an SSN, get Form SS-5, Application for a Social Security Card, from your local SSA office or get this form online at www.SSA.gov. You may also get this form by calling 1-800-772-1213. Use Form W-7, Application for IRS Individual Taxpayer Identification Number, to apply for an ITIN, or Form SS-4, Application for Employer Identification Number, to apply for an EIN. You can apply for an EIN online by accessing the IRS website at www.irs.gov/Businesses and clicking on Employer Identification Number (EIN) under Starting a Business. Go to www.irs.gov/Forms to view, download, or print Form W-7 and/or Form SS-4. Or, you can go to www.irs.gov/OrderForms to place an order and have Form W-7 and/or SS-4 mailed to you within 10 business days.

If you are asked to complete Form W-9 but do not have a TIN, apply for a TIN and write "Applied For" in the space for the TIN, sign and date the form, and give it to the requester. For interest and dividend payments, and certain payments made with respect to readily tradable instruments, generally you will have 60 days to get a TIN and give it to the requester before you are subject to backup withholding on payments. The 60-day rule does not apply to other types of payments. You will be subject to backup withholding on all such payments until you provide your TIN to the requester.

**Note:** Entering "Applied For" means that you have already applied for a TIN or that you intend to apply for one soon.

Caution: A disregarded U.S. entity that has a foreign owner must use the appropriate Form W-8.

#### Part II. Certification

To establish to the withholding agent that you are a U.S. person, or resident alien, sign Form W-9. You may be requested to sign by the withholding agent even if item 1, 4, or 5 below indicates otherwise.

For a joint account, only the person whose TIN is shown in Part I should sign (when required). In the case of a disregarded entity, the person identified on line 1 must sign. Exempt payees, see Exempt payee code, earlier.

Signature requirements. Complete the certification as indicated in items 1 through 5 below.

<sup>&</sup>lt;sup>2</sup> However, the following payments made to a corporation and reportable on Form 1099-MISC are not exempt from backup withholding: medical and health care payments, attorneys' fees, gross proceeds paid to an attorney reportable under section 6045(f), and payments for services paid by a federal executive agency.

- Interest, dividend, and barter exchange accounts opened before 1984 and broker accounts considered active during 1983.
   You must give your correct TIN, but you do not have to sign the certification.
- 2. Interest, dividend, broker, and barter exchange accounts opened after 1983 and broker accounts considered inactive during 1983. You must sign the certification or backup withholding will apply. If you are subject to backup withholding and you are merely providing your correct TIN to the requester, you must cross out item 2 in the certification before signing the form.
- 3. Real estate transactions. You must sign the certification. You may cross out item 2 of the certification.
- 4. Other payments. You must give your correct TIN, but you do not have to sign the certification unless you have been notified that you have previously given an incorrect TIN. "Other payments" include payments made in the course of the requester's trade or business for rents, royalties, goods (other than bills for merchandise), medical and health care services (including payments to corporations), payments to a nonemployee for services, payments made in settlement of payment card and third party network transactions, payments to certain fishing boat crew members and fishermen, and gross proceeds paid to attorneys (including payments to corporations).
- 5. Mortgage interest paid by you, acquisition or abandonment of secured property, cancellation of debt, qualified tuition program payments (under section 529), ABLE accounts (under section 529A), IRA, Coverdell ESA, Archer MSA or HSA contributions or distributions, and pension distributions. You must give your correct TIN, but you do not have to sign the certification.

#### What Name and Number To Give the Requester

The individual

For this type of account:

1. Individual

Give name and SSN of:

Two or more individuals (joint account) other than an account maintained by an FFI	The actual owner of the account or, if combined funds, the first individual on the account <sup>1</sup>
Two or more U.S. persons     (joint account maintained by an FFI)	Each holder of the account
Custodial account of a minor     (Uniform Gift to Minors Act)	The minor <sup>2</sup>
5. a. The usual revocable savings trust (grantor is also trustee)	The grantor-trustee <sup>1</sup>
<ul> <li>b. So-called trust account that is not a legal or valid trust under state law</li> </ul>	The actual owner <sup>1</sup>
Sole proprietorship or disregarded entity owned by an individual	The owner <sup>3</sup>
7. Grantor trust filing under Optional Form 1099 Filing Method 1 (see Regulations section 1.671-4(b)(2)(i)	The grantor*
(A))	
(A)) For this type of account:	Give name and EIN of:
8. 3.5.	Give name and EIN of: The owner
For this type of account:  8. Disregarded entity not owned by an	
For this type of account:  8. Disregarded entity not owned by an individual	The owner
For this type of account:  8. Disregarded entity not owned by an individual  9. A valid trust, estate, or pension trust  10. Corporation or LLC electing corporate status on Form 8832 or	The owner  Legal entity <sup>4</sup>
For this type of account:  8. Disregarded entity not owned by an individual  9. A valid trust, estate, or pension trust  10. Corporation or LLC electing corporate status on Form 8632 or Form 2553  11. Association, club, religious, charitable, educational, or other tax-	The owner  Legal entity <sup>4</sup> The corporation

For this type of account:	Give name and EIN of
14. Account with the Department of Agriculture in the name of a public entity (such as a state or local government, school district, or prison) that receives agricultural program payments	The public entity
15. Grantor trust filing under the Form 1041 Filing Method or the Optional Form 1099 Filing Method 2 (see Regulations section 1.671-4(b)(2)(i)(B))	The trust

<sup>&</sup>lt;sup>1</sup> List first and circle the name of the person whose number you furnish. If only one person on a joint account has an SSN, that person's number must be furnished.

<sup>4</sup> List first and circle the name of the trust, estate, or pension trust. (Do not furnish the TIN of the personal representative or trustee unless the legal entity itself is not designated in the account title.) Also see *Special rules for partnerships*, earlier.

\*Note: The grantor also must provide a Form W-9 to trustee of trust.

**Note:** If no name is circled when more than one name is listed, the number will be considered to be that of the first name listed.

#### Secure Your Tax Records From Identity Theft

Identity theft occurs when someone uses your personal information such as your name, SSN, or other identifying information, without your permission, to commit fraud or other crimes. An identity thief may use your SSN to get a job or may file a tax return using your SSN to receive a refund

To reduce your risk:

- · Protect your SSN,
- Ensure your employer is protecting your SSN, and
- . Be careful when choosing a tax preparer.

If your tax records are affected by identity theft and you receive a notice from the IRS, respond right away to the name and phone number printed on the IRS notice or letter.

If your tax records are not currently affected by identity theft but you think you are at risk due to a lost or stolen purse or wallet, questionable credit card activity or credit report, contact the IRS Identity Theft Hotline at 1-800-908-4490 or submit Form 14039.

For more information, see Pub. 5027, Identity Theft Information for Taxpayers.

Victims of identity theft who are experiencing economic harm or a systemic problem, or are seeking help in resolving tax problems that have not been resolved through normal channels, may be eligible for Taxpayer Advocate Service (TAS) assistance. You can reach TAS by calling the TAS toll-free case intake line at 1-877-777-4778 or TTY/TDD 1-800-829-4059.

Protect yourself from suspicious emails or phishing schemes. Phishing is the creation and use of email and websites designed to mimic legitimate business emails and websites. The most common act is sending an email to a user falsely claiming to be an established legitimate enterprise in an attempt to scam the user into surrendering private information that will be used for identity theft.

<sup>&</sup>lt;sup>2</sup> Circle the minor's name and furnish the minor's SSN.

<sup>&</sup>lt;sup>3</sup> You must show your individual name and you may also enter your business or DBA name on the "Business name/disregarded entity" name line. You may use either your SSN or EIN (if you have one), but the IRS encourages you to use your SSN.

The IRS does not initiate contacts with taxpayers via emails. Also, the IRS does not request personal detailed information through email or ask taxpayers for the PIN numbers, passwords, or similar secret access information for their credit card, bank, or other financial accounts.

If you receive an unsolicited email claiming to be from the IRS, forward this message to phishing@irs.gov. You may also report misuse of the IRS name, logo, or other IRS property to the Treasury Inspector General for Tax Administration (TIGTA) at 1-800-366-4484. You can forward suspicious emails to the Federal Trade Commission at spam@uce.gov or report them at www.ftc.gov/complaint. You can contact the FTC at www.ftc.gov/idtheft or 877-IDTHEFT (877-438-4338). If you have been the victim of identity theft, see www.identityTheft.gov and Pub. 5027

Visit www.irs.gov/ldentityTheft to learn more about identity theft and how to reduce your risk.

#### **Privacy Act Notice**

Section 6109 of the Internal Revenue Code requires you to provide your correct TIN to persons (including federal agencies) who are required to file information returns with the IRS to report interest, dividends, or certain other income paid to you; mortgage interest you paid; the acquisition or abandonment of secured property; the cancellation of debt; or contributions you made to an IRA, Archer MSA, or HSA. The person collecting this form uses the information on the form to file information returns with the IRS, reporting the above information. Routine uses of this information include giving it to the Department of Justice for civil and criminal litigation and to cities, states, the District of Columbia, and U.S. commonwealths and possessions for use in administering their laws. The information also may be disclosed to other countries under a treaty, to federal and state agencies to enforce civil and criminal laws, or to federal law enforcement and intelligence agencies to combat terrorism. You must provide your TIN whether or not you are required to file a tax return. Under section 3406, payers must generally withhold a percentage of taxable interest, dividend, and certain other payments to a payee who does not give a TIN to the payer. Certain penalties may also apply for providing false or fraudulent information.



#### CONTRACTOR AFFIDAVIT UNDER O.C.G.A. § 13-10-91(b)(1)

By executing this affidavit, the undersigned contractor verifies its compliance with O.C.G.A. § 13-10-91, stating affirmatively that the individual, firm or corporation which is engaged in the physical performance of services on behalf of The City of Dawsonville has registered with, is authorized to use and uses the federal work authorization program commonly known as E-Verify, or any subsequent replacement program in accordance with the applicable provisions and deadlines established in O.C.G.A. § 13-10-91. Furthermore, the undersigned contractor will continue to use the federal work authorization program throughout the contract period and the undersigned contractor will contract for the physical performance of services in satisfaction of such contract only with subcontractors who present an affidavit to the contractor with the information required by O.C.G.A. § 13-10-91(b). Contractor hereby attests that its federal work authorization user identification number and date of authorization are as follows:

Federal Work Authorization User Identification Number		
Date of Authorization		
Name of Contractor	_	
Name of Project	_	
	_	
hereby declare under penalty of perjury that the foregoing is true a		4
Executed on, in	(crty),	(state).
Signature of Authorized Officer or Agent		
Printed Name and Title of Authorized Officer or Agent		
Subscribed and sworn before me on this day of		
Notary Public		
My Commission Expires:		

RID

SECTION 00300

### SECTION 00300 BID

#### ARTICLE 1—OWNER AND BIDDER

- 1.01 This Bid is submitted to: City of Dawsonville, 415 Highway 53 East, Suite 100, Dawsonville, Georgia 30534
- 1.02 The undersigned Bidder proposes and agrees, if this Bid is accepted, to enter into an Agreement with Owner in the form included in the Bidding Documents to perform all Work as specified or indicated in the Bidding Documents for the prices and within the times indicated in this Bid and in accordance with the other terms and conditions of the Bidding Documents.

#### ARTICLE 2—ATTACHMENTS TO THIS BID

- 2.01 The following documents are submitted with and made a condition of this Bid:
  - A. Required Bid Bond;
  - B. Evidence of authority to do business in the state of the Project; or a written covenant to obtain such authority within the time for acceptance of Bids;
  - C. Contractor's license number as evidence of Bidder's State Contractor's License, if applicable, or a covenant by Bidder to obtain said license within the time for acceptance of Bids;
  - D. Required Bidder Qualification Statement with supporting data; and
  - E. Corporate Certificate, if the Bidder is a corporation.
  - F. City of Dawsonville Vendor Requirements.

#### ARTICLE 3—BASIS OF BID—LUMP SUM BID AND UNIT PRICES

#### 3.01 Lump Sum Bids

- A. Bidder will complete the Work in accordance with the Contract Documents for the following lump sum (stipulated) price(s), together with any Unit Prices indicated in Paragraph 3.02:
  - 1. Lump Sum Price (Single Lump Sum)

Lump Sum Bid Price for Landscaping and Irrigation	\$
Lump Sum Bid Price for Fencing, Gates, and Fence	
Automation	\$

B. All specified cash allowance(s) are included in the price(s) set forth below, and have been computed in accordance with Paragraph 13.02 of the General Conditions.

Lump Sum for Cash Allowance 1	\$
-------------------------------	----

BID

Lump Sum for Cash Allowance 2	\$
Lump Sum for Cash Allowance 3	\$
Total for all Lump Sum for Cash Allowances	\$

C. All specified contingency allowances are included in the price(s) set forth below, and have been computed in accordance with Paragraph 13.02 of the General Conditions.

Lump Sum Contingency Allowance 1	\$
Lump Sum Contingency Allowance 2	\$
Lump Sum Contingency Allowance 3	\$
Total for all Lump Sum Contingency Allowances	\$

#### ARTICLE 4—TIME OF COMPLETION

4.01 Bidder agrees that the Work will be substantially complete and will be completed and ready for final payment in accordance with Paragraph 15.06 of the General Conditions on or before the dates or within the number of calendar days indicated in the Agreement.

ARTICLE 5—BIDDER'S ACKNOWLEDGEMENTS: ACCEPTANCE PERIOD, INSTRUCTIONS, AND RECEIPT OF ADDENDA

#### 5.01 Bid Acceptance Period

A. This Bid will remain subject to acceptance for 60 days after the Bid opening, or for such longer period of time that Bidder may agree to in writing upon request of Owner.

#### 5.02 Instructions to Bidders

A. Bidder accepts all of the terms and conditions of the Instructions to Bidders, including without limitation those dealing with the disposition of Bid security.

#### 5.03 Receipt of Addenda

A. Bidder hereby acknowledges receipt of the following Addenda:

Addendum Number	Addendum Date				

#### ARTICLE 6—BIDDER'S REPRESENTATIONS AND CERTIFICATIONS

#### 6.01 Bidder's Representations

- A. In submitting this Bid, Bidder represents the following:
  - 1. Bidder has examined and carefully studied the Bidding Documents, including Addenda.

BIL

SECTION 00300

2. Bidder has visited the Site, conducted a thorough visual examination of the Site and adjacent areas, and become familiar with the general, local, and Site conditions that may affect cost, progress, and performance of the Work.

- 3. Bidder is familiar with all Laws and Regulations that may affect cost, progress, and performance of the Work.
- 4. Bidder has considered the information known to Bidder itself; information commonly known to contractors doing business in the locality of the Site; information and observations obtained from visits to the Site; the Bidding Documents; and the Technical Data identified in the Supplementary Conditions or by definition, with respect to the effect of such information, observations, and Technical Data on (a) the cost, progress, and performance of the Work; (b) the means, methods, techniques, sequences, and procedures of construction to be employed by Bidder, if selected as Contractor; and (c) Bidder's (Contractor's) safety precautions and programs.
- 5. Based on the information and observations referred to in the preceding paragraph, Bidder agrees that no further examinations, investigations, explorations, tests, studies, or data are necessary for the performance of the Work at the Contract Price, within the Contract Times, and in accordance with the other terms and conditions of the Contract.
- Bidder is aware of the general nature of work to be performed by Owner and others at the Site that relates to the Work as indicated in the Bidding Documents.
- 7. Bidder has given Engineer written notice of all conflicts, errors, ambiguities, or discrepancies that Bidder has discovered in the Bidding Documents, and of discrepancies between Site conditions and the Contract Documents, and the written resolution thereof by Engineer is acceptable to Contractor.
- 8. The Bidding Documents are generally sufficient to indicate and convey understanding of all terms and conditions for performance and furnishing of the Work.
- 9. The submission of this Bid constitutes an incontrovertible representation by Bidder that without exception the Bid and all prices in the Bid are premised upon performing and furnishing the Work required by the Bidding Documents.

#### 6.02 Bidder's Certifications

#### A. The Bidder certifies the following:

- This Bid is genuine and not made in the interest of or on behalf of any undisclosed individual or entity and is not submitted in conformity with any collusive agreement or rules of any group, association, organization, or corporation.
- 2. Bidder has not directly or indirectly induced or solicited any other Bidder to submit a false or sham Bid.
- 3. Bidder has not solicited or induced any individual or entity to refrain from bidding.

- 4. Bidder has not engaged in corrupt, fraudulent, collusive, or coercive practices in competing for the Contract. For the purposes of this Paragraph 8.02.A:
  - a. Corrupt practice means the offering, giving, receiving, or soliciting of anything of value likely to influence the action of a public official in the bidding process.
  - b. Fraudulent practice means an intentional misrepresentation of facts made (a) to influence the bidding process to the detriment of Owner, (b) to establish bid prices at artificial non-competitive levels, or (c) to deprive Owner of the benefits of free and open competition.
  - c. Collusive practice means a scheme or arrangement between two or more Bidders, with or without the knowledge of Owner, a purpose of which is to establish bid prices at artificial, non-competitive levels.
  - d. Coercive practice means harming or threatening to harm, directly or indirectly, persons or their property to influence their participation in the bidding process or affect the execution of the Contract.

Bidder:

## BIDDER hereby submits this Bid as set forth above:

	(typed or printed name of organization)
	(individual's signature)
me:	
	(typed or printed)
le:	
	(typed or printed)
te:	
	(typed or printed)
Bidder i	s a corporation, a partnership, or a joint venture, attach evidence of authority to sign.
test:	
	(individual's signature)
me:	
	(typed or printed)
itle:	
	(typed or printed)
ate:	
atc.	
dress f	or giving notices:
dder's (	Contact:
ime:	
iiic.	(typed or printed)
le:	
	(typed or printed)
one:	
ail:	
dress:	
iui C33.	

## SECTON 00410 BID BOND

Bidder	Surety					
Name:	Name:					
Address (principal place of business):	Address (principal place of business):					
Owner	Bid					
Name: City of Dawsonville	Project (name and location):					
Address (principal place of business):						
415 Highway 53 East						
Suite 100						
Dawsonville, GA 30534	Bid Due Date:					
Bond	Did Duc Date.					
Penal Sum:						
Date of Bond:						
	nd hereby, subject to the terms set forth in this					
representative.	uly executed by an authorized officer, agent, or					
Bidder	Surety					
	,					
(Full formal name of Bidder)	(Full formal name of Surety) (corporate seal)					
By:	Ву:					
(Signature)	(Signature) (Attach Power of Attorney)					
Name:	Name:					
(Printed or typed)	(Printed or typed)					
Title:	Title:					
Attest	Attest:					
(Signature)	(Signature)					
Name:	Name:					
(Printed or typed)	(Printed or typed)					
Title:	Title:					
Notes: (1) Note: Addresses are to be used for giving any requasioint venturers, if necessary.	ired notice. (2) Provide execution by any additional parties, such					

- 1. Bidder and Surety, jointly and severally, bind themselves, their heirs, executors, administrators, successors, and assigns to pay to Owner upon default of Bidder the penal sum set forth on the face of this Bond. Payment of the penal sum is the extent of Bidder's and Surety's liability. Recovery of such penal sum under the terms of this Bond will be Owner's sole and exclusive remedy upon default of Bidder.
- 2. Default of Bidder occurs upon the failure of Bidder to deliver within the time required by the Bidding Documents (or any extension thereof agreed to in writing by Owner) the executed Agreement required by the Bidding Documents and any performance and payment bonds required by the Bidding Documents.
- 3. This obligation will be null and void if:
  - 3.1. Owner accepts Bidder's Bid and Bidder delivers within the time required by the Bidding Documents (or any extension thereof agreed to in writing by Owner) the executed Agreement required by the Bidding Documents and any performance and payment bonds required by the Bidding Documents, or
  - 3.2. All Bids are rejected by Owner, or
  - 3.3. Owner fails to issue a Notice of Award to Bidder within the time specified in the Bidding Documents (or any extension thereof agreed to in writing by Bidder and, if applicable, consented to by Surety when required by Paragraph 5 hereof).
- 4. Payment under this Bond will be due and payable upon default of Bidder and within 30 calendar days after receipt by Bidder and Surety of written notice of default from Owner, which notice will be given with reasonable promptness, identifying this Bond and the Project and including a statement of the amount due.
- 5. Surety waives notice of any and all defenses based on or arising out of any time extension to issue Notice of Award agreed to in writing by Owner and Bidder, provided that the total time for issuing Notice of Award including extensions does not in the aggregate exceed 120 days from the Bid due date without Surety's written consent.
- 6. No suit or action will be commenced under this Bond prior to 30 calendar days after the notice of default required in Paragraph 4 above is received by Bidder and Surety, and in no case later than one year after the Bid due date.
- 7. Any suit or action under this Bond will be commenced only in a court of competent jurisdiction located in the state in which the Project is located.
- 8. Notices required hereunder must be in writing and sent to Bidder and Surety at their respective addresses shown on the face of this Bond. Such notices may be sent by personal delivery, commercial courier, or by United States Postal Service registered or certified mail, return receipt requested, postage pre-paid, and will be deemed to be effective upon receipt by the party concerned.
- Surety shall cause to be attached to this Bond a current and effective Power of Attorney
  evidencing the authority of the officer, agent, or representative who executed this Bond on
  behalf of Surety to execute, seal, and deliver such Bond and bind the Surety thereby.

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CITY OF DAWSONVILLE - MAIN STREET PARK LANDSCAPE PHASE I

**BID BOND** 

10. This Bond is intended to conform to all applicable statutory requirements. Any applicable requirement of any applicable statute that has been omitted from this Bond will be deemed to be included herein as if set forth at length. If any provision of this Bond conflicts with any applicable statute, then the provision of said statute governs and the remainder of this Bond that is not in conflict therewith continues in full force and effect.

11. The term "Bid" as used herein includes a Bid, offer, or proposal as applicable.

**END OF SECTION** 

STATEMENT OF BIDDER'S QUALIFICATIONS

# SECTION 00420 STATEMENT OF BIDDER'S QUALIFICATIONS

#### ARTICLE 1—GENERAL INFORMATION

1.01 Provide contact information for the Business:

Legal Na	me of Busines	s:						
Corporat	e Office							
Name:					ı	Phone numbe	r:	
Title:					ı	Email address:	:	
Business	address of co	rporate of	fice:				1	
Local Off	ice							
Name:					ı	Phone numbe	r:	
Title:					ı	Email address:	:	
Business	address of lo	cal office:						
	e informatio							
						ship 🗆 Corpo		
	d Liability Cor	npany 🗆 J	oint vent	ure con	nprisea	of the followi	ng companies:	
1.								
2.								
3.		- I: <b>f</b> : +:	C+-+		1- 1-1-	+ \/ + · · ·		
	separate Qu		Statemer	it for ea			acc was formad:	
	iness was form		anaka in ii	h a D::-:			ness was formed:	1:
is this Bu	siness author	izeu to op	erate in ti	ne Proje	ect ioca	uon:	☐ Yes ☐ No ☐ Pen	aing
	all busines or partly (2!						rt (25% or greate	r), or th
Name of	business:					Affiliation:		
Address:						•	•	
Name of	business:					Affiliation:		
Δddress.						•		

Name of business:	Affiliation:	
Address:		

1.04 Provide information regarding the Business's officers, partners, and limits of authority.

Name:		Title:			
Authoriz	ed to sign contracts: ☐ Yes ☐ No	Limit of Authority: \$			
Name:		Title:			
Authoriz	ed to sign contracts: ☐ Yes ☐ No	Limit of	f Authority:	\$	
Name:		Title:			
Authorized to sign contracts: ☐ Yes ☐ No		Limit of	f Authority:	\$	
Name:		Title:			

#### ARTICLE 2—LICENSING

2.01 Provide information regarding licensure for Business:

Name of License:		
Licensing Agency:		
License No:	Expiration Date:	
Name of License:		
Licensing Agency:		
License No:	Expiration Date:	

#### ARTICLE 3—DIVERSE BUSINESS CERTIFICATIONS

3.01 Provide information regarding Business's Diverse Business Certification, if any. Provide evidence of current certification.

Certification	Certifying Agency	Certification Date
☐ Disadvantaged Business Enterprise		
☐ Minority Business Enterprise		
☐ Woman-Owned Business Enterprise		
☐ Small Business Enterprise		
☐ Disabled Business Enterprise		
☐ Veteran-Owned Business Enterprise		
☐ Service-Disabled Veteran-Owned Business		
☐ HUBZone Business (Historically Underutilized) Business		

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CITY OF	DAWSONVILLE – MAIN STREET	PARK LAND	SCAPE PH	ASE I		STA	ATEMENT	OF BIDDE	R'S QUALI	FICATIONS
	☐ Other									
	□ None									
ARTIC	CLE 4—SAFETY									
4.01	Provide information r	egarding	3 Busine	ess's saf	ety org	anizatio	n and s	afety p	erforma	ance.
	Name of Business's Safety	Officer:								
	Safety Certifications									
	Certification		Issu	ing Agen	су		Expiration			
	Manhours (MH) for t years of any proposed the Contract Price. Subcontractor(s).	d Subcor	ntractor	(s) that	will pro	ovide W	ork val	ued at 1	10% or ı	more of
		EMR	TRFR	МН	EMR	TRFR	МН	EMR	TRFR	МН
	Company	EIVIN	INFN	IVIII	EIVIN	INFN	IVITI	EIVIN	INFN	IVITI
			<del> </del>		-	<del>                                     </del>			<del>                                     </del>	
			<u></u>							
ARTIC	CLE 5—FINANCIAL									
5.01	Provide information raudited financial state provide the most curr	ement, a	and if su	uch aud	lited fin		•			
	Financial Institution:									
	Business address:									
	Date of Business's most re	ecent finar	ncial state	ement:					☐ Attach	ned
	Date of Business's most recent audited financial statement:								☐ Attach	

Contractor's Quick Ratio ((Cash and Cash Equivalents + Accounts Receivable + Short

Financial indicators from the most recent financial statement

Contractor's Current Ratio (Current Assets ÷ Current Liabilities)

Term Investments) ÷ Current Liabilities)

# ARTICLE 6—SURETY INFORMATION

(principal place of business):

Phone (main):

Provide information regarding the surety company that will issue required bonds on 6.01 behalf of the Business, including but not limited to performance and payment bonds.

	Surety Name:	Surety Name:							
	Surety is a corpora	Surety is a corporation organized and existing under the laws of the state of:							
	Is surety authorize	ed to provide s	urety bonds in the P	roject location?	☐ Yes ☐	l No			
	and as Acceptable	Is surety listed in "Companies Holding Certificates of Authority as Acceptable Sureties on Federal Bonds and as Acceptable Reinsuring Companies" published in Department Circular 570 (as amended) by the Bureau of the Fiscal Service, U.S. Department of the Treasury?							
	Mailing Address (principal place of	business):							
	Physical Address (principal place of	business):							
	Phone (main):			Phone (claims):					
7.01	LE 7—INSURANCE  Provide information regarding Business's insurance company(s), including but no limited to its Commercial General Liability carrier. Provide information for each provider.								
	Name of insurance	e provider, and	type of policy (CLE	auto, etc.):					
	Insurance Provider			Type of Policy (Coverage Provided)					
	Are providers licensed or authorized to issue policies in the Project location? ☐ Yes ☐ No								
	·		t Rating of A-VII or b			☐ Yes ☐ No			
	Mailing Address (principal place of	business):							
	Physical Address								

Phone (claims):

CITY OF DAWSONVILLE - MAIN STREET PARK LANDSCAPE PHASE I

STATEMENT OF BIDDER'S QUALIFICATIONS

## ARTICLE 8—CONSTRUCTION EXPERIENCE

8.01 Provide information that will identify the overall size and capacity of the Business.

Average number of current full-time employees:	
Estimate of revenue for the current year:	
Estimate of revenue for the previous year:	

8.02 Provide information regarding the Business's previous contracting experience.

Years of experience with projects like the proposed project:						
As a general contractor:		As a joint venturer:				
Has Business, or a predecessor in interest, or an affiliate identified in Paragraph 1.03:						
Been disqualified as a bidder by any local, state, or federal agency within the last 5 years?  ☐ Yes ☐ No						
Been barred from contracting by any local, state, or federal agency within the last 5 years?  ☐ Yes ☐ No						
Been released from a bid in the past 5 years? ☐ Yes ☐ No						
Defaulted on a project or failed to complete any contract awarded to it? $\square$ Yes $\square$ No						
Refused to construct or refused to provide materials defined in the contract documents or in a change order? $\square$ Yes $\square$ No						
Been a party to any currently pending litigation or arbitration? ☐ Yes ☐ No						
Provide full details in a separate	attachm	ent if the response to an	y of these	questions is Yes.		

- 8.03 List all projects currently under contract in Schedule A and provide indicated information.
- 8.04 List a minimum of three and a maximum of six projects completed in the last 5 years in Schedule B and provide indicated information to demonstrate the Business's experience with projects similar in type and cost of construction.
- 8.05 In Schedule C, provide information on key individuals whom Business intends to assign to the Project. Provide resumes for those individuals included in Schedule C. Key individuals include the Project Manager, Project Superintendent, Quality Manager, and Safety Manager. Resumes may be provided for Business's key leaders as well.

# ARTICLE 9—REQUIRED ATTACHMENTS

- 9.01 Provide the following information with the Statement of Qualifications:
  - A. If Business is a Joint Venture, separate Qualifications Statements for each Joint Venturer, as required in Paragraph 1.02.
  - B. Diverse Business Certifications if required by Paragraph 3.01.
  - C. Certification of Business's safety performance if required by Paragraph 4.02.

STATEMENT OF BIDDER'S QUALIFICATIONS

- D. Financial statements as required by Paragraph 5.01.
- E. Attachments providing additional information as required by Paragraph 8.02.
- F. Schedule A (Current Projects) as required by Paragraph 8.03.
- G. Schedule B (Previous Experience with Similar Projects) as required by Paragraph 8.04.
- H. Schedule C (Key Individuals) and resumes for the key individuals listed, as required by Paragraph 8.05.
- I. Additional items as pertinent.

# This Statement of Qualifications is offered by:

Business:						
	(typed or printed name of organization)					
By:	lindividual/a signatura					
•	(individual's signature)					
Name:	(typed or printed)					
Title:						
	(typed or printed)					
Date:	(date signed)					
(If Busines:	s is a corporation, a partnership, or a joint venture, attach evidence of authority to					
· ·						
Attest:						
	(individual's signature)					
Name:	(typed or printed)					
Title:	(typed of printed)					
Title.						
Address fo	r giving notices:					
Designated	d Representative:					
Name:						
ivairie.	(typed or printed)					
Title:						
Address:	(typed or printed)					
Phone:						
Email:						

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CITY OF DAWSONVILLE – MAIN STREET PARK LANDSCAPE PHASE I

STATEMENT OF BIDDER'S QUALIFICATIONS

Schedule A—Current Projects

Name of Organization						
Project Owner			Project Name	•		
General Description of Proj	ect					
Project Cost			Date Project	Completed		
Key Project Personnel	Project Manager	Project Sup	perintendent	Sa	fety Manager	Quality Control Manager
Name						
Reference Contact Informa	tion (listing names indicates a	pproval to contacting the	names individuals	as a reference	2)	
	Name	Title/Position	Organ	ization	Telephone	Email
Owner						
Designer						
Construction Manager						
Danie et Ouer en			Dunain at Name			
Project Owner	<u> </u> 		Project Name			
General Description of Proj	ect					
Project Cost	Due in at Manager	Dun in at Coor	Date Project		fatur Managan	Ovality Captual Managan
Key Project Personnel	Project Manager	Project Sup	perintendent	Sd	fety Manager	Quality Control Manager
Name					,	
Reference Contact Informa	tion (listing names indicates a				-	
	Name	Title/Position	Organ	ization	Telephone	Email
Owner						
Designer						
Construction Manager						
Project Owner			Project Name			
General Description of Proj	ect		Troject Name			
Project Cost			Date Project	Completed		
Key Project Personnel	Project Manager	Project Sup	perintendent		fety Manager	Quality Control Manager
Name	, ,				, c	
Reference Contact Informa	tion (listing names indicates a	pproval to contacting the	names individuals	as a reference	2)	
	Name	Title/Position		ization	Telephone	Email
Owner						
Designer						
Construction Manager						

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CITY OF DAWSONVILLE – MAIN STREET PARK LANDSCAPE PHASE I

STATEMENT OF BIDDER'S QUALIFICATIONS

# Schedule B—Previous Experience with Similar Projects

Name of Organization						
Project Owner			Project Name	!		
General Description of Proj	ect					
Project Cost			Date Project (	Completed		
Key Project Personnel	Project Manager	Project Supe	erintendent	Sat	fety Manager	Quality Control Manager
Name						
Reference Contact Informa	tion (listing names indicates a	approval to contacting the r	names individuals a	as a reference	)	
	Name	Title/Position	Organ	ization	Telephone	Email
Owner						
Designer						
Construction Manager						
Danie et Ouer en			Dania et Nassa			
Project Owner			Project Name			
General Description of Proj	ect					
Project Cost			Date Project (			Γ
Key Project Personnel	Project Manager	Project Supe	erintendent	Safety Manager		Quality Control Manager
Name						
Reference Contact Informa	tion (listing names indicates a	approval to contacting the r	names individuals a	as a reference	)	
	Name	Title/Position	Organ	ization	Telephone	Email
Owner						
Designer						
Construction Manager						
Project Owner			Project Name			
General Description of Proj	ect		Froject Name			
Project Cost			Date Project (	Completed		
Key Project Personnel	Project Manager	Project Supe			fety Manager	Quality Control Manager
Name	ojest manager	. reject eup c				Quanty control manager
	tion (listing names indicates a	annroval to contacting the r	names individuals a	s a reference	1	
Reference contact informa	Name	Title/Position	Organi		Telephone	Email
Owner		,	2.8			
Designer						
Construction Manager						
	İ	1	1		i l	

APRIL 26, 2019 SECTION 00420

CITY OF DAWSONVILLE – MAIN STREET PARK LANDSCAPE PHASE I

STATEMENT OF BIDDER'S QUALIFICATIONS

# Schedule B—Previous Experience with Similar Projects

Name of Organization							
Project Owner				Project Name			
General Description of Proj	ect						
Project Cost				Date Project C	ompleted		
Key Project Personnel	Project Manage	er	Project Super	rintendent	Sã	afety Manager	Quality Control Manager
Name							
Reference Contact Informa	tion (listing names indicat	es approva	al to contacting the na	ames individuals a	s a referenc	ce)	
	Name		Title/Position	Organi	zation	Telephone	Email
Owner							
Designer							
Construction Manager							
Project Owner				Project Name	Т		
General Description of Proj	ect						
Project Cost				Date Project C	ompleted		
Key Project Personnel	Project Manage	er	Project Super	erintendent Saf		afety Manager	Quality Control Manager
Name							
Reference Contact Informa	tion (listing names indicat	es approva	al to contacting the na	ames individuals a	s a referenc	e)	
	Name		Title/Position	Organi	zation	Telephone	Email
Owner							
Designer							
Construction Manager							
Project Owner				Project Name			
General Description of Proj	ect			1 Toject Name			
Project Cost				Date Project C	omnleted		
Key Project Personnel	Project Manage	er	Project Super			afety Manager	Quality Control Manager
Name	, ,						, ,
Reference Contact Informa	tion (listing names indicat	es approva	nl to contacting the na	mes individuals a	s a referenc	e)	
	Name		Title/Position	Organi	zation	Telephone	Email
Owner							
Designer							
Construction Manager							

# Schedule C—Key Individuals

Project Manager						
Name of individua	I					
Years of experienc	e as project	: manager				
Years of experienc	e with this	organization				
Number of similar	projects as	project manager				
Number of similar	projects in	other positions				
Current Project As	signments					
Name of assignme	nt		Percent of time up	sed for this	Estimated project completion date	
Reference Contact	Informatio	n (listing names indicates app	roval to contact named i	individuals a	s a reference)	
Name			Name			
Title/Position			Title/Position			
Organization			Organization			
Telephone			Telephone			
Email			Email			
Project			Project	Project		
Candidate's role of	n project		Candidate's role of	on project		
Project Superinter	ndent					
Name of individua	I					
Years of experienc	e as project	superintendent				
Years of experienc	e with this	organization				
Number of similar	projects as	project superintendent				
Number of similar	projects in	other positions				
Current Project As	signments					
Name of assignme	nt		Percent of time us project	sed for this	Estimated project completion date	
Reference Contact	Informatio	n (listing names indicates app		individuals a	s a reference)	
Name			Name			
Title/Position			Title/Position			
Organization			Organization			
Telephone			Telephone			
Email			Email			
Project			Project			
Candidate's role			Candidate's role			
on project	1		on project			

Safety Manager	
Name of individual	
Years of experience as project manager	
Years of experience with this organization	
Number of similar projects as project manager	
Number of similar projects in other positions	
Current Project Assignments	
Name of assignment	Percent of time used for this project completion date
Reference Contact Information (listing names indicates appro	
Name	Name
Title/Position	Title/Position
Organization	Organization
Telephone	Telephone
Email	Email
Project	Project
Candidate's role on project	Candidate's role on project
Quality Control Manager	
Name of individual	
Years of experience as project superintendent	
Years of experience with this organization	
Number of similar projects as project superintendent	
Number of similar projects in other positions	
Current Project Assignments	Percent of time used for this   Estimated project completion
Name of assignment	Percent of time used for this project   Estimated project completion date
Reference Contact Information (listing names indicates appro	oval to contact named individuals as a reference)
Name	Name
Title/Position	Title/Position
Organization	Organization
Telephone	Telephone
Email	Email
Project	Project
Candidate's role on project	Candidate's role on project

CITY OF DAWSONVILLE – MAIN STREET PARK LANDSCAPE PHASE I

CORPORATE CERTIFICATE

# SECTION 00422 CORPORATE CERTIFICATE

l,	ر certify that I am the Se	ecretary of the Corporation named as Contrac	tor in
the foregoing Bid; that		, who signed said Bid on behalf of the Contr	actor
was then	of said Corporatior	n; that said Bid was duly signed for and on beh	alf of
said Corporation by au	thority of its Board of	Directors, and is within the scope of its corp	orate
powers; that said Corpo	oration is organized unde	er the laws of the State of	
This day of	, 20		
	(Corporate Secretary) _		
		(Signature on File)	
		(	SEAL)

CITY OF DAWSONVILLE – MAIN STREET PARK LANDSCAPE PHASE I

CONTRACTOR'S LICENSE CERTIFICATION

# SECTION 00425 CONTRACTOR'S LICENSE CERTIFICATION

Contractor's Name:
Georgia Contractor's License Number:
Expiration Date of License:
I certify that the above information is true and correct and that the classification noted is applicable to the Bid for this Project.
Signed:(Signature on File)
Date:

2019 SECTION 00500

FOR CONSTRUCTION CONTRACT

AGREEMENT BETWEEN OWNER AND CONTRACTOR

SECTION 00500
AGREEMENT BETWEEN OWNER AND CONTRACTOR
FOR CONSTRUCTION CONTRACT

This Agreement is by and between "Contractor").	_ ("Owner") and
Ferms used in this Agreement have the meanings stated Supplementary Conditions.	d in the General Conditions and the
Owner and Contractor hereby agree as follows:	
ADTICLE 40 MODIC	

ARTICLE 10—WORK

1.01 Contractor shall complete all Work as specified or indicated in the Contract Documents. The Work is generally described as follows: The City of Dawsonville's Main Street Park Landscape Phase I Project will consist of the installation of landscaping, irrigation, fencing, and all other appurtenances in accordance with the site development plans and specifications for the project and the City of Dawsonville, Georgia.

#### ARTICLE 11—THE PROJECT

2.01 The Project, of which the Work under the Contract Documents is a part, is generally described as follows: landscaping, irrigation, and fencing with automated gates.

#### ARTICLE 12—ENGINEER

- 3.01 The Owner has retained Davis Engineering & Surveying, LLC ("Engineer/Landscape Architect") to act as Owner's representative, assume all duties and responsibilities of Engineer, and have the rights and authority assigned to Engineer/Landscape Architect in the Contract.
- 3.02 The part of the Project that pertains to the Work has been designed by Davis Engineering and Surveying, LLC.

#### ARTICLE 13—CONTRACT TIMES

- 4.01 Time is of the Essence
  - A. All time limits for Milestones, if any, Substantial Completion, and completion and readiness for final payment as stated in the Contract Documents are of the essence of the Contract.
- 4.03 *Contract Times: Days* 
  - A. The Work will be substantially complete within **120** days after the date when the Contract Times commence to run as provided in Paragraph 4.01 of the General Conditions, and completed and ready for final payment in accordance with Paragraph 15.06 of the General Conditions.

CITY OF DAWSONVILLE - MAIN STREET PARK LANDSCAPE PHASE I

AGREEMENT BETWEEN OWNER AND CONTRACTOR
FOR CONSTRUCTION CONTRACT

#### ARTICLE 14—CONTRACT PRICE

- 5.01 Owner shall pay Contractor for completion of the Work in accordance with the Contract Documents, the amounts that follow, subject to adjustment under the Contract:
  - A. For all Work other than Unit Price Work, a lump sum of \$\_\_\_\_\_\_.
    - All specific cash allowances are included in the above price in accordance with Paragraph 13.02 of the General Conditions.
  - B. For all Work, at the prices stated in Contractor's Bid, attached hereto as an exhibit.

#### ARTICLE 15—PAYMENT PROCEDURES

- 6.01 Submittal and Processing of Payments
  - A. Contractor shall submit Applications for Payment in accordance with Article 15 of the General Conditions. Applications for Payment will be processed by Landscape Architect as provided in the General Conditions.
- 6.02 Progress Payments; Retainage
  - A. Owner shall make progress payments on the basis of Contractor's Applications for Payment on or about the 5<sup>th</sup> day of each month during performance of the Work as provided in Paragraph 6.02.A.1 below, provided that such Applications for Payment have been submitted in a timely manner and otherwise meet the requirements of the Contract. All such payments will be measured by the Schedule of Values established as provided in the General Conditions (and in the case of Unit Price Work based on the number of units completed) or, in the event there is no Schedule of Values, as provided elsewhere in the Contract.
    - Prior to Substantial Completion, progress payments will be made in an amount equal
      to the percentage indicated below but, in each case, less the aggregate of payments
      previously made and less such amounts as Owner may withhold in accordance with
      the Contract.
      - 90 percent of the value of the Work completed (with the balance being retainage).
      - 1) If 50 percent or more of the Work has been completed, as determined by Landscape Architect, and if the character and progress of the Work have been satisfactory to Owner and Landscape Architect, then as long as the character and progress of the Work remain satisfactory to Owner and Landscape Architect, there will be no additional retainage; and
  - B. Upon Substantial Completion, Owner shall pay an amount sufficient to increase total payments to Contractor to 100 percent of the Work completed, less such amounts set off by Owner pursuant to Paragraph 15.01.E of the General Conditions, and less 10 percent of Engineer's estimate of the value of Work to be completed or corrected as shown on the punch list of items to be completed or corrected prior to final payment.

## 6.03 Final Payment

A. Upon final completion and acceptance of the Work, Owner shall pay the remainder of the Contract Price in accordance with Paragraph 15.06 of the General Conditions.

# 6.04 Consent of Surety

A. Owner will not make final payment, or return or release retainage at Substantial Completion or any other time, unless Contractor submits written consent of the surety to such payment, return, or release.

## 6.05 CONTRACT DOCUMENTS

#### 7.01 Contents

- A. The Contract Documents consist of all of the following:
  - 1. This Agreement.
  - 2. Bonds:
    - a. Performance bond (together with power of attorney).
    - b. Payment bond (together with power of attorney).
  - 3. General Conditions.
  - 4. Supplementary Conditions.
  - 5. Drawings (not attached but incorporated by reference) consisting of 28 sheets with each sheet bearing the following general title: Dawsonville Main Street Park Landscape Phase I.
  - 6. Specifications.
  - 7. Addenda.
  - 8. The following which may be delivered or issued on or after the Effective Date of the Contract and are not attached hereto:
    - a. Notice to Proceed.
    - b. Work Change Directives.
    - c. Change Orders.
    - d. Field Orders.
    - e. Warranty Bond, if any.
- B. There are no Contract Documents other than those listed above in this Article 7.
- C. The Contract Documents may only be amended, modified, or supplemented as provided in the Contract.

AGREEMENT BETWEEN OWNER AND CONTRACTOR FOR CONSTRUCTION CONTRACT

## ARTICLE 16—REPRESENTATIONS, CERTIFICATIONS, AND STIPULATIONS

## 8.01 Contractor's Representations

- A. In order to induce Owner to enter into this Contract, Contractor makes the following representations:
  - Contractor has examined and carefully studied the Contract Documents, including Addenda.
  - 2. Contractor has visited the Site, conducted a thorough visual examination of the Site and adjacent areas, and become familiar with the general, local, and Site conditions that may affect cost, progress, and performance of the Work.
  - 3. Contractor is familiar with all Laws and Regulations that may affect cost, progress, and performance of the Work.
  - 4. Contractor has considered the information known to Contractor itself; information commonly known to contractors doing business in the locality of the Site; information and observations obtained from visits to the Site; the Contract Documents; and the Technical Data identified in the Supplementary Conditions or by definition, with respect to the effect of such information, observations, and Technical Data on (a) the cost, progress, and performance of the Work; (b) the means, methods, techniques, sequences, and procedures of construction to be employed by Contractor; and (c) Contractor's safety precautions and programs.
  - 5. Based on the information and observations referred to in the preceding paragraph, Contractor agrees that no further examinations, investigations, explorations, tests, studies, or data are necessary for the performance of the Work at the Contract Price, within the Contract Times, and in accordance with the other terms and conditions of the Contract.
  - 6. Contractor is aware of the general nature of work to be performed by Owner and others at the Site that relates to the Work as indicated in the Contract Documents.
  - Contractor has given Engineer written notice of all conflicts, errors, ambiguities, or discrepancies that Contractor has discovered in the Contract Documents, and of discrepancies between Site conditions and the Contract Documents, and the written resolution thereof by Engineer is acceptable to Contractor.
  - 8. The Contract Documents are generally sufficient to indicate and convey understanding of all terms and conditions for performance and furnishing of the Work.
  - Contractor's entry into this Contract constitutes an incontrovertible representation by Contractor that without exception all prices in the Agreement are premised upon performing and furnishing the Work required by the Contract Documents.

# 8.02 Contractor's Certifications

A. Contractor certifies that it has not engaged in corrupt, fraudulent, collusive, or coercive practices in competing for or in executing the Contract. For the purposes of this Paragraph 8.02:

CITY OF DAWSONVILLE - MAIN STREET PARK LANDSCAPE PHASE I

AGREEMENT BETWEEN OWNER AND CONTRACTOR
FOR CONSTRUCTION CONTRACT

- 1. "corrupt practice" means the offering, giving, receiving, or soliciting of anything of value likely to influence the action of a public official in the bidding process or in the Contract execution;
- "fraudulent practice" means an intentional misrepresentation of facts made (a) to influence the bidding process or the execution of the Contract to the detriment of Owner, (b) to establish Bid or Contract prices at artificial non-competitive levels, or (c) to deprive Owner of the benefits of free and open competition;
- "collusive practice" means a scheme or arrangement between two or more Bidders, with or without the knowledge of Owner, a purpose of which is to establish Bid prices at artificial, non-competitive levels; and
- "coercive practice" means harming or threatening to harm, directly or indirectly, persons or their property to influence their participation in the bidding process or affect the execution of the Contract.

#### 8.03 Standard General Conditions

A. Owner stipulates that if the General Conditions that are made a part of this Contract are EJCDC® C-700, Standard General Conditions for the Construction Contract (2018), published by the Engineers Joint Contract Documents Committee, and if Owner is the party that has furnished said General Conditions, then Owner has plainly shown all modifications to the standard wording of such published document to the Contractor, through a process such as highlighting or "track changes" (redline/strikeout), or in the Supplementary Conditions.

other documents authorizing execution of this

Agreement.)

CITY OF DAWSONVILLE – MAIN STREET PARK LANDSCAPE PHASE I

AGREEMENT BETWEEN OWNER AND CONTRACTOR FOR CONSTRUCTION CONTRACT

IN WITNESS WHEREOF, Owner and Contractor have signed this Agreement. This Agreement will be effective on (which is the Effective Date of the Contract). Owner: Contractor: City of Dawsonville (typed or printed name of organization) (typed or printed name of organization) By: By: (individual's signature) (individual's signature) Date: Date: (date signed) (date signed) Name: Name: (typed or printed) (typed or printed) Title: Title: (typed or printed) (typed or printed) (If [Type of Entity] is a corporation, a partnership, or a joint venture, attach evidence of authority to sign.) Attest: Attest: (individual's signature) (individual's signature) Title: Title: (typed or printed) (typed or printed) Address for giving notices: Address for giving notices: Designated Representative: Designated Representative: Name: Name: (typed or printed) (typed or printed) Title: Title: (typed or printed) (typed or printed) Address: Address: Phone: Phone: Email: Email: (If [Type of Entity] is a corporation, attach evidence of License No.: authority to sign. If [Type of Entity] is a public body, (where applicable) attach evidence of authority to sign and resolution or

**END OF SECTION** 

State:

# SECTION 00610 PERFORMANCE BOND

Contractor	Surety		
Name:	Name:		
Address (principal place of business):	Address (principal place of business):		
Owner	Contract		
Name: City of Dawsonville	Description (name and location):		
Mailing address (principal place of business):			
415 Highway 53 East			
Suite 100	Control Dia		
Dawsonville, GA 30534	Contract Price:		
	Effective Date of		
Bond			
Bond			
Date of Bond:			
(Date of Bond cannot be earlier than Effective Date of Contract) Modifications to this Bond form:			
None ☐ See Paragraph 16			
Surety and Contractor, intending to be legally b	ound hereby, subject to the terms set forth in		
this Performance Bond, do each cause this Perf	ormance Bond to be duly executed by an		
authorized officer, agent, or representative.			
Contractor as Principal	Surety		
(Full formal name of Contractor)	(Full formal name of Surety) (corporate seal)		
By:	Ву:		
(Signature)	(Signature)(Attach Power of Attorney)		
Name:	Name:		
(Printed or typed)	(Printed or typed)		
Title:	Title:		
Attest:	Attest:		
(Signature)	(Signature)		
Name:	Name:		
(Printed or typed)	(Printed or typed)		
Title:	Title:		
Notes: (1) Provide supplemental execution by any additional pa Contractor, Surety, Owner, or other party is considered plural w			

PERFORMANCE BOND

- 1. The Contractor and Surety, jointly and severally, bind themselves, their heirs, executors, administrators, successors, and assigns to the Owner for the performance of the Construction Contract, which is incorporated herein by reference.
- 2. If the Contractor performs the Construction Contract, the Surety and the Contractor shall have no obligation under this Bond, except when applicable to participate in a conference as provided in Paragraph 3.
- 3. If there is no Owner Default under the Construction Contract, the Surety's obligation under this Bond will arise after:
  - 3.1. The Owner first provides notice to the Contractor and the Surety that the Owner is considering declaring a Contractor Default. Such notice may indicate whether the Owner is requesting a conference among the Owner, Contractor, and Surety to discuss the Contractor's performance. If the Owner does not request a conference, the Surety may, within five (5) business days after receipt of the Owner's notice, request such a conference. If the Surety timely requests a conference, the Owner shall attend. Unless the Owner agrees otherwise, any conference requested under this Paragraph 3.1 will be held within ten (10) business days of the Surety's receipt of the Owner's notice. If the Owner, the Contractor, and the Surety agree, the Contractor shall be allowed a reasonable time to perform the Construction Contract, but such an agreement does not waive the Owner's right, if any, subsequently to declare a Contractor Default;
  - 3.2. The Owner declares a Contractor Default, terminates the Construction Contract and notifies the Surety; and
  - 3.3. The Owner has agreed to pay the Balance of the Contract Price in accordance with the terms of the Construction Contract to the Surety or to a contractor selected to perform the Construction Contract.
- 4. Failure on the part of the Owner to comply with the notice requirement in Paragraph 3.1 does not constitute a failure to comply with a condition precedent to the Surety's obligations, or release the Surety from its obligations, except to the extent the Surety demonstrates actual prejudice.
- 5. When the Owner has satisfied the conditions of Paragraph 3, the Surety shall promptly and at the Surety's expense take one of the following actions:
  - 5.1. Arrange for the Contractor, with the consent of the Owner, to perform and complete the Construction Contract;
  - 5.2. Undertake to perform and complete the Construction Contract itself, through its agents or independent contractors;
  - 5.3. Obtain bids or negotiated proposals from qualified contractors acceptable to the Owner for a contract for performance and completion of the Construction Contract, arrange for a contract to be prepared for execution by the Owner and a contractor selected with the Owners concurrence, to be secured with performance and payment bonds executed by a qualified surety equivalent to the bonds issued on the Construction Contract, and pay to the Owner the amount of damages as described in

CITY OF DAWSONVILLE - MAIN STREET PARK LANDSCAPE PHASE I

PERFORMANCE BOND

Paragraph 7 in excess of the Balance of the Contract Price incurred by the Owner as a result of the Contractor Default; or

- 5.4. Waive its right to perform and complete, arrange for completion, or obtain a new contractor, and with reasonable promptness under the circumstances:
  - 5.4.1 After investigation, determine the amount for which it may be liable to the Owner and, as soon as practicable after the amount is determined, make payment to the Owner; or
  - 5.4.2 Deny liability in whole or in part and notify the Owner, citing the reasons for denial.
- 6. If the Surety does not proceed as provided in Paragraph 5 with reasonable promptness, the Surety shall be deemed to be in default on this Bond seven days after receipt of an additional written notice from the Owner to the Surety demanding that the Surety perform its obligations under this Bond, and the Owner shall be entitled to enforce any remedy available to the Owner. If the Surety proceeds as provided in Paragraph 5.4, and the Owner refuses the payment, or the Surety has denied liability, in whole or in part, without further notice, the Owner shall be entitled to enforce any remedy available to the Owner.
- 7. If the Surety elects to act under Paragraph 5.1, 5.2, or 5.3, then the responsibilities of the Surety to the Owner will not be greater than those of the Contractor under the Construction Contract, and the responsibilities of the Owner to the Surety will not be greater than those of the Owner under the Construction Contract. Subject to the commitment by the Owner to pay the Balance of the Contract Price, the Surety is obligated, without duplication for:
  - 7.1. the responsibilities of the Contractor for correction of defective work and completion of the Construction Contract;
  - 7.2. additional legal, design professional, and delay costs resulting from the Contractor's Default, and resulting from the actions or failure to act of the Surety under Paragraph 5; and
  - 7.3. liquidated damages, or if no liquidated damages are specified in the Construction Contract, actual damages caused by delayed performance or non-performance of the Contractor.
- 8. If the Surety elects to act under Paragraph 5.1, 5.3, or 5.4, the Surety's liability is limited to the amount of this Bond.
- 9. The Surety shall not be liable to the Owner or others for obligations of the Contractor that are unrelated to the Construction Contract, and the Balance of the Contract Price will not be reduced or set off on account of any such unrelated obligations. No right of action will accrue on this Bond to any person or entity other than the Owner or its heirs, executors, administrators, successors, and assigns.
- 10. The Surety hereby waives notice of any change, including changes of time, to the Construction Contract or to related subcontracts, purchase orders, and other obligations.

CITY OF DAWSONVILLE – MAIN STREET PARK LANDSCAPE PHASE I

ERFORMANCE BOND

- 11. Any proceeding, legal or equitable, under this Bond must be instituted in any court of competent jurisdiction in the location in which the work or part of the work is located and must be instituted within two years after a declaration of Contractor Default or within two years after the Contractor ceased working or within two years after the Surety refuses or fails to perform its obligations under this Bond, whichever occurs first. If the provisions of this paragraph are void or prohibited by law, the minimum periods of limitations available to sureties as a defense in the jurisdiction of the suit will be applicable.
- 12. Notice to the Surety, the Owner, or the Contractor must be mailed or delivered to the address shown on the page on which their signature appears.
- 13. When this Bond has been furnished to comply with a statutory or other legal requirement in the location where the construction was to be performed, any provision in this Bond conflicting with said statutory or legal requirement will be deemed deleted therefrom and provisions conforming to such statutory or other legal requirement will be deemed incorporated herein. When so furnished, the intent is that this Bond will be construed as a statutory bond and not as a common law bond.

## 14. Definitions

- 14.1. Balance of the Contract Price—The total amount payable by the Owner to the Contractor under the Construction Contract after all proper adjustments have been made including allowance for the Contractor for any amounts received or to be received by the Owner in settlement of insurance or other claims for damages to which the Contractor is entitled, reduced by all valid and proper payments made to or on behalf of the Contractor under the Construction Contract.
- 14.2. Construction Contract—The agreement between the Owner and Contractor identified on the cover page, including all Contract Documents and changes made to the agreement and the Contract Documents.
- 14.3. Contractor Default—Failure of the Contractor, which has not been remedied or waived, to perform or otherwise to comply with a material term of the Construction Contract.
- 14.4. Owner Default—Failure of the Owner, which has not been remedied or waived, to pay the Contractor as required under the Construction Contract or to perform and complete or comply with the other material terms of the Construction Contract.
- 14.5. *Contract Documents*—All the documents that comprise the agreement between the Owner and Contractor.
- 15. If this Bond is issued for an agreement between a contractor and subcontractor, the term Contractor in this Bond will be deemed to be Subcontractor and the term Owner will be deemed to be Contractor.
- 16. Modifications to this Bond are as follows: None.

# SECTION 00620 PAYMENT BOND

Contractor	Surety		
Name:	Name:		
Address (principal place of business):	Address (principal place of business):		
Owner	Contract		
Name: City of Dawsonville	Description (name and location):		
Mailing address (principal place of business):			
415 Highway 53 East			
Suite 100	Contract Price:		
Dawsonville, GA 30534	Effective Date of		
Bond	Effective Bate of		
Bond			
Date of Bond:			
(Date of Bond cannot be earlier than Effective Date of Contract)			
Modifications to this Bond form:			
☐ None ☐ See Paragraph 18			
	bound hereby, subject to the terms set forth in		
	nt Bond to be duly executed by an authorized		
officer, agent, or representative.			
Contractor as Principal	Surety		
(Full formal name of Contractor)	(Full formal name of Surety) (corporate seal)		
Ву:	Ву:		
(Signature)	(Signature)(Attach Power of Attorney)		
Name: (Printed or typed)	Name:(Printed or typed)		
Title:	Title:		
Attest:	Attest:		
(Signature)	(Signature)		
Name: (Printed or typed)	Name: (Printed or typed)		
Title:	Title:		
Notes: (1) Provide supplemental execution by any additional			
Contractor, Surety, Owner, or other party is considered plura			

The Contractor and Surety, jointly and severally, bind themselves, their heirs, executors, administrators, successors, and assigns to the Owner to pay for labor, materials, and equipment furnished for use in the performance of the Construction Contract, which is incorporated herein by reference, subject to the following terms.

- If the Contractor promptly makes payment of all sums due to Claimants, and defends, indemnifies, and holds harmless the Owner from claims, demands, liens, or suits by any person or entity seeking payment for labor, materials, or equipment furnished for use in the performance of the Construction Contract, then the Surety and the Contractor shall have no obligation under this Bond.
- 2. If there is no Owner Default under the Construction Contract, the Surety's obligation to the Owner under this Bond will arise after the Owner has promptly notified the Contractor and the Surety (at the address described in Paragraph 13) of claims, demands, liens, or suits against the Owner or the Owner's property by any person or entity seeking payment for labor, materials, or equipment furnished for use in the performance of the Construction Contract, and tendered defense of such claims, demands, liens, or suits to the Contractor and the Surety.
- 3. When the Owner has satisfied the conditions in Paragraph 3, the Surety shall promptly and at the Surety's expense defend, indemnify, and hold harmless the Owner against a duly tendered claim, demand, lien, or suit.
- 4. The Surety's obligations to a Claimant under this Bond will arise after the following:
  - 4.1. Claimants who do not have a direct contract with the Contractor
    - 4.1.1. have furnished a written notice of non-payment to the Contractor, stating with substantial accuracy the amount claimed and the name of the party to whom the materials were, or equipment was, furnished or supplied or for whom the labor was done or performed, within ninety (90) days after having last performed labor or last furnished materials or equipment included in the Claim; and
    - 4.1.2. have sent a Claim to the Surety (at the address described in Paragraph 13).
  - 4.2. Claimants who are employed by or have a direct contract with the Contractor have sent a Claim to the Surety (at the address described in Paragraph 13).
- 5. If a notice of non-payment required by Paragraph 5.1.1 is given by the Owner to the Contractor, that is sufficient to satisfy a Claimant's obligation to furnish a written notice of non-payment under Paragraph 5.1.1.
- 6. When a Claimant has satisfied the conditions of Paragraph 5.1 or 5.2, whichever is applicable, the Surety shall promptly and at the Surety's expense take the following actions:
  - 6.1. Send an answer to the Claimant, with a copy to the Owner, within sixty (60) days after receipt of the Claim, stating the amounts that are undisputed and the basis for challenging any amounts that are disputed; and

- 6.2. Pay or arrange for payment of any undisputed amounts.
- 6.3. The Surety's failure to discharge its obligations under Paragraph 7.1 or 7.2 will not be deemed to constitute a waiver of defenses the Surety or Contractor may have or acquire as to a Claim, except as to undisputed amounts for which the Surety and Claimant have reached agreement. If, however, the Surety fails to discharge its obligations under Paragraph 7.1 or 7.2, the Surety shall indemnify the Claimant for the reasonable attorney's fees the Claimant incurs thereafter to recover any sums found to be due and owing to the Claimant.
- 7. The Surety's total obligation will not exceed the amount of this Bond, plus the amount of reasonable attorney's fees provided under Paragraph 7.3, and the amount of this Bond will be credited for any payments made in good faith by the Surety.
- 8. Amounts owed by the Owner to the Contractor under the Construction Contract will be used for the performance of the Construction Contract and to satisfy claims, if any, under any construction performance bond. By the Contractor furnishing and the Owner accepting this Bond, they agree that all funds earned by the Contractor in the performance of the Construction Contract are dedicated to satisfying obligations of the Contractor and Surety under this Bond, subject to the Owner's priority to use the funds for the completion of the work.
- 9. The Surety shall not be liable to the Owner, Claimants, or others for obligations of the Contractor that are unrelated to the Construction Contract. The Owner shall not be liable for the payment of any costs or expenses of any Claimant under this Bond, and shall have under this Bond no obligation to make payments to or give notice on behalf of Claimants, or otherwise have any obligations to Claimants under this Bond.
- 10. The Surety hereby waives notice of any change, including changes of time, to the Construction Contract or to related subcontracts, purchase orders, and other obligations.
- 11. No suit or action will be commenced by a Claimant under this Bond other than in a court of competent jurisdiction in the state in which the project that is the subject of the Construction Contract is located or after the expiration of one year from the date (1) on which the Claimant sent a Claim to the Surety pursuant to Paragraph 5.1.2 or 5.2, or (2) on which the last labor or service was performed by anyone or the last materials or equipment were furnished by anyone under the Construction Contract, whichever of (1) or (2) first occurs. If the provisions of this paragraph are void or prohibited by law, the minimum period of limitation available to sureties as a defense in the jurisdiction of the suit will be applicable.
- 12. Notice and Claims to the Surety, the Owner, or the Contractor must be mailed or delivered to the address shown on the page on which their signature appears. Actual receipt of notice or Claims, however accomplished, will be sufficient compliance as of the date received.
- 13. When this Bond has been furnished to comply with a statutory or other legal requirement in the location where the construction was to be performed, any provision in this Bond conflicting with said statutory or legal requirement will be deemed deleted here from and

provisions conforming to such statutory or other legal requirement will be deemed incorporated herein. When so furnished, the intent is that this Bond will be construed as a statutory bond and not as a common law bond.

14. Upon requests by any person or entity appearing to be a potential beneficiary of this Bond, the Contractor and Owner shall promptly furnish a copy of this Bond or shall permit a copy to be made.

## 15. Definitions

- 15.1. Claim—A written statement by the Claimant including at a minimum:
  - 15.1.1. The name of the Claimant;
  - 15.1.2. The name of the person for whom the labor was done, or materials or equipment furnished;
  - 15.1.3. A copy of the agreement or purchase order pursuant to which labor, materials, or equipment was furnished for use in the performance of the Construction Contract;
  - 15.1.4. A brief description of the labor, materials, or equipment furnished;
  - 15.1.5. The date on which the Claimant last performed labor or last furnished materials or equipment for use in the performance of the Construction Contract;
  - 15.1.6. The total amount earned by the Claimant for labor, materials, or equipment furnished as of the date of the Claim;
  - 15.1.7. The total amount of previous payments received by the Claimant; and
  - 15.1.8. The total amount due and unpaid to the Claimant for labor, materials, or equipment furnished as of the date of the Claim.
- 15.2. Claimant—An individual or entity having a direct contract with the Contractor or with a subcontractor of the Contractor to furnish labor, materials, or equipment for use in the performance of the Construction Contract. The term Claimant also includes any individual or entity that has rightfully asserted a claim under an applicable mechanic's lien or similar statute against the real property upon which the Project is located. The intent of this Bond is to include without limitation in the terms of "labor, materials, or equipment" that part of the water, gas, power, light, heat, oil, gasoline, telephone service, or rental equipment used in the Construction Contract, architectural and engineering services required for performance of the work of the Contractor and the Contractor's subcontractors, and all other items for which a mechanic's lien may be asserted in the jurisdiction where the labor, materials, or equipment were furnished.
- 15.3. Construction Contract—The agreement between the Owner and Contractor identified on the cover page, including all Contract Documents and all changes made to the agreement and the Contract Documents.

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PAYMENT BOND

15.4. Owner Default—Failure of the Owner, which has not been remedied or waived, to pay the Contractor as required under the Construction Contract or to perform and complete or comply with the other material terms of the Construction Contract.

- 15.5. *Contract Documents*—All the documents that comprise the agreement between the Owner and Contractor.
- 16. If this Bond is issued for an agreement between a contractor and subcontractor, the term Contractor in this Bond will be deemed to be Subcontractor and the term Owner will be deemed to be Contractor.
- 17. Modifications to this Bond are as follows: None.

# SECTION 00700 STANDARD GENERAL CONDITIONS

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STANDARD GENERAL CONDITIONS

# STANDARD GENERAL CONDITIONS OF THE CONSTRUCTION CONTRACT

#### ARTICLE 1—ARTICLE 1—DEFINITIONS AND TERMINOLOGY

# 1.01 Defined Terms

- A. Wherever used in the Bidding Requirements or Contract Documents, a term printed with initial capital letters, including the term's singular and plural forms, will have the meaning indicated in the definitions below. In addition to terms specifically defined, terms with initial capital letters in the Contract Documents include references to identified articles and paragraphs, and the titles of other documents or forms.
  - 1. Addenda—Written or graphic instruments issued prior to the opening of Bids which clarify, correct, or change the Bidding Requirements or the proposed Contract Documents.
  - 2. Agreement—The written instrument, executed by Owner and Contractor, that sets forth the Contract Price and Contract Times, identifies the parties and the Engineer, and designates the specific items that are Contract Documents.
  - Application for Payment—The document prepared by Contractor, in a form acceptable
    to Engineer, to request progress or final payments, and which is to be accompanied by
    such supporting documentation as is required by the Contract Documents.
  - 4. *Bid*—The offer of a Bidder submitted on the prescribed form setting forth the prices for the Work to be performed.
  - 5. Bidder—An individual or entity that submits a Bid to Owner.
  - 6. *Bidding Documents*—The Bidding Requirements, the proposed Contract Documents, and all Addenda.
  - 7. *Bidding Requirements*—The Advertisement or invitation to bid, Instructions to Bidders, Bid Bond or other Bid security, if any, the Bid Form, and the Bid with any attachments.
  - 8. Change Order—A document which is signed by Contractor and Owner and authorizes an addition, deletion, or revision in the Work or an adjustment in the Contract Price or the Contract Times, or other revision to the Contract, issued on or after the Effective Date of the Contract.
  - 9. Change Proposal—A written request by Contractor, duly submitted in compliance with the procedural requirements set forth herein, seeking an adjustment in Contract Price or Contract Times; contesting an initial decision by Engineer concerning the requirements of the Contract Documents or the acceptability of Work under the Contract Documents; challenging a set-off against payments due; or seeking other relief with respect to the terms of the Contract.

## 10. Claim

a. A demand or assertion by Owner directly to Contractor, duly submitted in compliance with the procedural requirements set forth herein, seeking an adjustment of Contract Price or Contract Times; contesting an initial decision by Engineer concerning the requirements of the Contract Documents or the

- acceptability of Work under the Contract Documents; contesting Engineer's decision regarding a Change Proposal; seeking resolution of a contractual issue that Engineer has declined to address; or seeking other relief with respect to the terms of the Contract.
- b. A demand or assertion by Contractor directly to Owner, duly submitted in compliance with the procedural requirements set forth herein, contesting Engineer's decision regarding a Change Proposal, or seeking resolution of a contractual issue that Engineer has declined to address.
- c. A demand or assertion by Owner or Contractor, duly submitted in compliance with the procedural requirements set forth herein, made pursuant to Paragraph 12.01.A.4, concerning disputes arising after Engineer has issued a recommendation of final payment.
- d. A demand for money or services by a third party is not a Claim.
- 11. Constituent of Concern—Asbestos, petroleum, radioactive materials, polychlorinated biphenyls (PCBs), lead-based paint (as defined by the HUD/EPA standard), hazardous waste, and any substance, product, waste, or other material of any nature whatsoever that is or becomes listed, regulated, or addressed pursuant to Laws and Regulations regulating, relating to, or imposing liability or standards of conduct concerning, any hazardous, toxic, or dangerous waste, substance, or material.
- 12. *Contract*—The entire and integrated written contract between Owner and Contractor concerning the Work.
- 13. *Contract Documents*—Those items so designated in the Agreement, and which together comprise the Contract.
- 14. *Contract Price*—The money that Owner has agreed to pay Contractor for completion of the Work in accordance with the Contract Documents.
- 15. Contract Times—The number of days or the dates by which Contractor shall: (a) achieve Milestones, if any; (b) achieve Substantial Completion; and (c) complete the Work.
- 16. *Contractor*—The individual or entity with which Owner has contracted for performance of the Work.
- 17. *Cost of the Work*—See Paragraph 13.01 for definition.
- 18. *Drawings*—The part of the Contract that graphically shows the scope, extent, and character of the Work to be performed by Contractor.
- 19. *Effective Date of the Contract*—The date, indicated in the Agreement, on which the Contract becomes effective.
- 20. *Electronic Document*—Any Project-related correspondence, attachments to correspondence, data, documents, drawings, information, or graphics, including but not limited to Shop Drawings and other Submittals, that are in an electronic or digital format.
- 21. *Electronic Means*—Electronic mail (email), upload/download from a secure Project website, or other communications methods that allow: (a) the transmission or

communication of Electronic Documents; (b) the documentation of transmissions, including sending and receipt; (c) printing of the transmitted Electronic Document by the recipient; (d) the storage and archiving of the Electronic Document by sender and recipient; and (e) the use by recipient of the Electronic Document for purposes permitted by this Contract. Electronic Means does not include the use of text messaging, or of Facebook, Twitter, Instagram, or similar social media services for transmission of Electronic Documents.

- 22. Engineer—The individual or entity named as such in the Agreement.
- 23. Field Order—A written order issued by Engineer which requires minor changes in the Work but does not change the Contract Price or the Contract Times.
- 24. Hazardous Environmental Condition—The presence at the Site of Constituents of Concern in such quantities or circumstances that may present a danger to persons or property exposed thereto.
  - a. The presence at the Site of materials that are necessary for the execution of the Work, or that are to be incorporated into the Work, and that are controlled and contained pursuant to industry practices, Laws and Regulations, and the requirements of the Contract, is not a Hazardous Environmental Condition.
  - b. The presence of Constituents of Concern that are to be removed or remediated as part of the Work is not a Hazardous Environmental Condition.
  - c. The presence of Constituents of Concern as part of the routine, anticipated, and obvious working conditions at the Site, is not a Hazardous Environmental Condition.
- 25. Laws and Regulations; Laws or Regulations—Any and all applicable laws, statutes, rules, regulations, ordinances, codes, and binding decrees, resolutions, and orders of any and all governmental bodies, agencies, authorities, and courts having jurisdiction.
- 26. *Liens*—Charges, security interests, or encumbrances upon Contract-related funds, real property, or personal property.
- 27. *Milestone*—A principal event in the performance of the Work that the Contract requires Contractor to achieve by an intermediate completion date, or by a time prior to Substantial Completion of all the Work.
- 28. *Notice of Award*—The written notice by Owner to a Bidder of Owner's acceptance of the Bid.
- 29. *Notice to Proceed*—A written notice by Owner to Contractor fixing the date on which the Contract Times will commence to run and on which Contractor shall start to perform the Work.
- 30. *Owner*—The individual or entity with which Contractor has contracted regarding the Work, and which has agreed to pay Contractor for the performance of the Work, pursuant to the terms of the Contract.
- 31. *Progress Schedule*—A schedule, prepared and maintained by Contractor, describing the sequence and duration of the activities comprising Contractor's plan to accomplish the Work within the Contract Times.

- 32. *Project*—The total undertaking to be accomplished for Owner by engineers, contractors, and others, including planning, study, design, construction, testing, commissioning, and start-up, and of which the Work to be performed under the Contract Documents is a part.
- 33. Resident Project Representative—The authorized representative of Engineer assigned to assist Engineer at the Site. As used herein, the term Resident Project Representative (RPR) includes any assistants or field staff of Resident Project Representative.
- 34. Samples—Physical examples of materials, equipment, or workmanship that are representative of some portion of the Work and that establish the standards by which such portion of the Work will be judged.
- 35. *Schedule of Submittals*—A schedule, prepared and maintained by Contractor, of required submittals and the time requirements for Engineer's review of the submittals.
- 36. Schedule of Values—A schedule, prepared and maintained by Contractor, allocating portions of the Contract Price to various portions of the Work and used as the basis for reviewing Contractor's Applications for Payment.
- 37. Shop Drawings—All drawings, diagrams, illustrations, schedules, and other data or information that are specifically prepared or assembled by or for Contractor and submitted by Contractor to illustrate some portion of the Work. Shop Drawings, whether approved or not, are not Drawings and are not Contract Documents.
- 38. Site—Lands or areas indicated in the Contract Documents as being furnished by Owner upon which the Work is to be performed, including rights-of-way and easements, and such other lands or areas furnished by Owner which are designated for the use of Contractor.
- 39. Specifications—The part of the Contract that consists of written requirements for materials, equipment, systems, standards, and workmanship as applied to the Work, and certain administrative requirements and procedural matters applicable to the Work.
- 40. *Subcontractor*—An individual or entity having a direct contract with Contractor or with any other Subcontractor for the performance of a part of the Work.
- 41. Submittal—A written or graphic document, prepared by or for Contractor, which the Contract Documents require Contractor to submit to Engineer, or that is indicated as a Submittal in the Schedule of Submittals accepted by Engineer. Submittals may include Shop Drawings and Samples; schedules; product data; Owner-delegated designs; sustainable design information; information on special procedures; testing plans; results of tests and evaluations, source quality-control testing and inspections, and field or Site quality-control testing and inspections; warranties and certifications; Suppliers' instructions and reports; records of delivery of spare parts and tools; operations and maintenance data; Project photographic documentation; record documents; and other such documents required by the Contract Documents. Submittals, whether or not approved or accepted by Engineer, are not Contract Documents. Change Proposals, Change Orders, Claims, notices, Applications for Payment, and requests for interpretation or clarification are not Submittals.

- 42. Substantial Completion—The time at which the Work (or a specified part thereof) has progressed to the point where, in the opinion of Engineer, the Work (or a specified part thereof) is sufficiently complete, in accordance with the Contract Documents, so that the Work (or a specified part thereof) can be utilized for the purposes for which it is intended. The terms "substantially complete" and "substantially completed" as applied to all or part of the Work refer to Substantial Completion of such Work.
- 43. Successful Bidder—The Bidder to which the Owner makes an award of contract.
- 44. *Supplementary Conditions*—The part of the Contract that amends or supplements these General Conditions.
- 45. Supplier—A manufacturer, fabricator, supplier, distributor, or vendor having a direct contract with Contractor or with any Subcontractor to furnish materials or equipment to be incorporated in the Work by Contractor or a Subcontractor.

#### 46. Technical Data

- a. Those items expressly identified as Technical Data in the Supplementary Conditions, with respect to either (1) existing subsurface conditions at or adjacent to the Site, or existing physical conditions at or adjacent to the Site including existing surface or subsurface structures (except Underground Facilities) or (2) Hazardous Environmental Conditions at the Site.
- b. If no such express identifications of Technical Data have been made with respect to conditions at the Site, then Technical Data is defined, with respect to conditions at the Site under Paragraphs 5.03, 5.04, and 5.06, as the data contained in boring logs, recorded measurements of subsurface water levels, assessments of the condition of subsurface facilities, laboratory test results, and other factual, objective information regarding conditions at the Site that are set forth in any geotechnical, environmental, or other Site or facilities conditions report prepared for the Project and made available to Contractor.
- c. Information and data regarding the presence or location of Underground Facilities are not intended to be categorized, identified, or defined as Technical Data, and instead Underground Facilities are shown or indicated on the Drawings.
- 47. Underground Facilities—All active or not-in-service underground lines, pipelines, conduits, ducts, encasements, cables, wires, manholes, vaults, tanks, tunnels, or other such facilities or systems at the Site, including but not limited to those facilities or systems that produce, transmit, distribute, or convey telephone or other communications, cable television, fiber optic transmissions, power, electricity, light, heat, gases, oil, crude oil products, liquid petroleum products, water, steam, waste, wastewater, storm water, other liquids or chemicals, or traffic or other control systems. An abandoned facility or system is not an Underground Facility.
- 48. *Unit Price Work*—Work to be paid for on the basis of unit prices.
- 49. Work—The entire construction or the various separately identifiable parts thereof required to be provided under the Contract Documents. Work includes and is the result of performing or providing all labor, services, and documentation necessary to produce such construction; furnishing, installing, and incorporating all materials and

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- equipment into such construction; and may include related services such as testing, start-up, and commissioning, all as required by the Contract Documents.
- 50. Work Change Directive—A written directive to Contractor issued on or after the Effective Date of the Contract, signed by Owner and recommended by Engineer, ordering an addition, deletion, or revision in the Work.

## 1.02 Terminology

- A. The words and terms discussed in Paragraphs 1.02.B, C, D, and E are not defined terms that require initial capital letters, but, when used in the Bidding Requirements or Contract Documents, have the indicated meaning.
- allowed," "as approved," "as ordered," "as directed" or terms of like effect or import to authorize an exercise of professional judgment by Engineer. In addition, the adjectives "reasonable," "suitable," "acceptable," "proper," "satisfactory," or adjectives of like effect or import are used to describe an action or determination of Engineer as to the Work. It is intended that such exercise of professional judgment, action, or determination will be solely to evaluate, in general, the Work for compliance with the information in the Contract Documents and with the design concept of the Project as a functioning whole as shown or indicated in the Contract Documents (unless there is a specific statement indicating otherwise). The use of any such term or adjective is not intended to and shall not be effective to assign to Engineer any duty or authority to supervise or direct the performance of the Work, or any duty or authority to undertake responsibility contrary to the provisions of Article 10 or any other provision of the Contract Documents.
- C. Day: The word "day" means a calendar day of 24 hours measured from midnight to the next midnight.
- D. *Defective*: The word "defective," when modifying the word "Work," refers to Work that is unsatisfactory, faulty, or deficient in that it:
  - 1. does not conform to the Contract Documents;
  - 2. does not meet the requirements of any applicable inspection, reference standard, test, or approval referred to in the Contract Documents; or
  - has been damaged prior to Engineer's recommendation of final payment (unless responsibility for the protection thereof has been assumed by Owner at Substantial Completion in accordance with Paragraph 15.03 or Paragraph 15.04).

## E. Furnish, Install, Perform, Provide

- The word "furnish," when used in connection with services, materials, or equipment, means to supply and deliver said services, materials, or equipment to the Site (or some other specified location) ready for use or installation and in usable or operable condition.
- 2. The word "install," when used in connection with services, materials, or equipment, means to put into use or place in final position said services, materials, or equipment complete and ready for intended use.

- The words "perform" or "provide," when used in connection with services, materials, or equipment, means to furnish and install said services, materials, or equipment complete and ready for intended use.
- 4. If the Contract Documents establish an obligation of Contractor with respect to specific services, materials, or equipment, but do not expressly use any of the four words "furnish," "install," "perform," or "provide," then Contractor shall furnish and install said services, materials, or equipment complete and ready for intended use.
- F. Contract Price or Contract Times: References to a change in "Contract Price or Contract Times" or "Contract Times or Contract Price" or similar, indicate that such change applies to (1) Contract Price, (2) Contract Times, or (3) both Contract Price and Contract Times, as warranted, even if the term "or both" is not expressed.
- G. Unless stated otherwise in the Contract Documents, words or phrases that have a well-known technical or construction industry or trade meaning are used in the Contract Documents in accordance with such recognized meaning.

#### ARTICLE 2—ARTICLE 2—PRELIMINARY MATTERS

# 2.01 Delivery of Performance and Payment Bonds; Evidence of Insurance

- A. *Performance and Payment Bonds*: When Contractor delivers the signed counterparts of the Agreement to Owner, Contractor shall also deliver to Owner the performance bond and payment bond (if the Contract requires Contractor to furnish such bonds).
- B. Evidence of Contractor's Insurance: When Contractor delivers the signed counterparts of the Agreement to Owner, Contractor shall also deliver to Owner, with copies to each additional insured (as identified in the Contract), the certificates, endorsements, and other evidence of insurance required to be provided by Contractor in accordance with Article 6, except to the extent the Supplementary Conditions expressly establish other dates for delivery of specific insurance policies.
- C. Evidence of Owner's Insurance: After receipt of the signed counterparts of the Agreement and all required bonds and insurance documentation, Owner shall promptly deliver to Contractor, with copies to each additional insured (as identified in the Contract), the certificates and other evidence of insurance required to be provided by Owner under Article 6.

# 2.02 Copies of Documents

- A. Owner shall furnish to Contractor one printed copy of the Contract (including one fully signed counterpart of the Agreement), and one copy in electronic portable document format (PDF). Additional printed copies will be furnished upon request at the cost of reproduction.
- B. Owner shall maintain and safeguard at least one original printed record version of the Contract, including Drawings and Specifications signed and sealed by Engineer and other design professionals. Owner shall make such original printed record version of the Contract available to Contractor for review. Owner may delegate the responsibilities under this provision to Engineer.

## 2.03 Before Starting Construction

- A. *Preliminary Schedules*: Within 10 days after the Effective Date of the Contract (or as otherwise required by the Contract Documents), Contractor shall submit to Engineer for timely review:
  - a preliminary Progress Schedule indicating the times (numbers of days or dates) for starting and completing the various stages of the Work, including any Milestones specified in the Contract;
  - 2. a preliminary Schedule of Submittals; and
  - a preliminary Schedule of Values for all of the Work which includes quantities and prices of items which when added together equal the Contract Price and subdivides the Work into component parts in sufficient detail to serve as the basis for progress payments during performance of the Work. Such prices will include an appropriate amount of overhead and profit applicable to each item of Work.

# 2.04 Preconstruction Conference; Designation of Authorized Representatives

- A. Before any Work at the Site is started, a conference attended by Owner, Contractor, Engineer, and others as appropriate will be held to establish a working understanding among the parties as to the Work, and to discuss the schedules referred to in Paragraph 2.03.A, procedures for handling Shop Drawings, Samples, and other Submittals, processing Applications for Payment, electronic or digital transmittals, and maintaining required records.
- B. At this conference Owner and Contractor each shall designate, in writing, a specific individual to act as its authorized representative with respect to the services and responsibilities under the Contract. Such individuals shall have the authority to transmit and receive information, render decisions relative to the Contract, and otherwise act on behalf of each respective party.

#### 2.05 Acceptance of Schedules

- A. At least 10 days before submission of the first Application for Payment a conference, attended by Contractor, Engineer, and others as appropriate, will be held to review the schedules submitted in accordance with Paragraph 2.03.A. No progress payment will be made to Contractor until acceptable schedules are submitted to Engineer.
  - The Progress Schedule will be acceptable to Engineer if it provides an orderly progression of the Work to completion within the Contract Times. Such acceptance will not impose on Engineer responsibility for the Progress Schedule, for sequencing, scheduling, or progress of the Work, nor interfere with or relieve Contractor from Contractor's full responsibility therefore.
  - 2. Contractor's Schedule of Submittals will be acceptable to Engineer if it provides a workable arrangement for reviewing and processing the required submittals.
  - Contractor's Schedule of Values will be acceptable to Engineer as to form and substance if it provides a reasonable allocation of the Contract Price to the component parts of the Work.
  - 4. If a schedule is not acceptable, Contractor will have an additional 10 days to revise and resubmit the schedule.

#### 2.06 Electronic Transmittals

- A. Except as otherwise stated elsewhere in the Contract, the Owner, Engineer, and Contractor may send, and shall accept, Electronic Documents transmitted by Electronic Means.
- B. If the Contract does not establish protocols for Electronic Means, then Owner, Engineer, and Contractor shall jointly develop such protocols.
- C. Subject to any governing protocols for Electronic Means, when transmitting Electronic Documents by Electronic Means, the transmitting party makes no representations as to long-term compatibility, usability, or readability of the Electronic Documents resulting from the recipient's use of software application packages, operating systems, or computer hardware differing from those used in the drafting or transmittal of the Electronic Documents.

## ARTICLE 3—ARTICLE 3—CONTRACT DOCUMENTS: INTENT, REQUIREMENTS, REUSE

#### 3.01 Intent

- A. The Contract Documents are complementary; what is required by one Contract Document is as binding as if required by all.
- B. It is the intent of the Contract Documents to describe a functionally complete Project (or part thereof) to be constructed in accordance with the Contract Documents.
- C. Unless otherwise stated in the Contract Documents, if there is a discrepancy between the electronic versions of the Contract Documents (including any printed copies derived from such electronic versions) and the printed record version, the printed record version will govern.
- D. The Contract supersedes prior negotiations, representations, and agreements, whether written or oral.
- E. Engineer will issue clarifications and interpretations of the Contract Documents as provided herein.
- F. Any provision or part of the Contract Documents held to be void or unenforceable under any Law or Regulation will be deemed stricken, and all remaining provisions will continue to be valid and binding upon Owner and Contractor, which agree that the Contract Documents will be reformed to replace such stricken provision or part thereof with a valid and enforceable provision that comes as close as possible to expressing the intention of the stricken provision.
- G. Nothing in the Contract Documents creates:
  - any contractual relationship between Owner or Engineer and any Subcontractor, Supplier, or other individual or entity performing or furnishing any of the Work, for the benefit of such Subcontractor, Supplier, or other individual or entity; or
  - any obligation on the part of Owner or Engineer to pay or to see to the payment of any money due any such Subcontractor, Supplier, or other individual or entity, except as may otherwise be required by Laws and Regulations.

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#### 3.02 Reference Standards

## A. Standards Specifications, Codes, Laws and Regulations

- Reference in the Contract Documents to standard specifications, manuals, reference standards, or codes of any technical society, organization, or association, or to Laws or Regulations, whether such reference be specific or by implication, means the standard specification, manual, reference standard, code, or Laws or Regulations in effect at the time of opening of Bids (or on the Effective Date of the Contract if there were no Bids), except as may be otherwise specifically stated in the Contract Documents.
- 2. No provision of any such standard specification, manual, reference standard, or code, and no instruction of a Supplier, will be effective to change the duties or responsibilities of Owner, Contractor, or Engineer from those set forth in the part of the Contract Documents prepared by or for Engineer. No such provision or instruction shall be effective to assign to Owner or Engineer any duty or authority to supervise or direct the performance of the Work, or any duty or authority to undertake responsibility inconsistent with the provisions of the part of the Contract Documents prepared by or for Engineer.

# 3.03 Reporting and Resolving Discrepancies

## A. Reporting Discrepancies

- 1. Contractor's Verification of Figures and Field Measurements: Before undertaking each part of the Work, Contractor shall carefully study the Contract Documents, and check and verify pertinent figures and dimensions therein, particularly with respect to applicable field measurements. Contractor shall promptly report in writing to Engineer any conflict, error, ambiguity, or discrepancy that Contractor discovers, or has actual knowledge of, and shall not proceed with any Work affected thereby until the conflict, error, ambiguity, or discrepancy is resolved by a clarification or interpretation by Engineer, or by an amendment or supplement to the Contract issued pursuant to Paragraph 11.01.
- 2. Contractor's Review of Contract Documents: If, before or during the performance of the Work, Contractor discovers any conflict, error, ambiguity, or discrepancy within the Contract Documents, or between the Contract Documents and (a) any applicable Law or Regulation, (b) actual field conditions, (c) any standard specification, manual, reference standard, or code, or (d) any instruction of any Supplier, then Contractor shall promptly report it to Engineer in writing. Contractor shall not proceed with the Work affected thereby (except in an emergency as required by Paragraph 7.15) until the conflict, error, ambiguity, or discrepancy is resolved, by a clarification or interpretation by Engineer, or by an amendment or supplement to the Contract issued pursuant to Paragraph 11.01.
- 3. Contractor shall not be liable to Owner or Engineer for failure to report any conflict, error, ambiguity, or discrepancy in the Contract Documents unless Contractor had actual knowledge thereof.

#### B. Resolving Discrepancies

1. Except as may be otherwise specifically stated in the Contract Documents, the provisions of the part of the Contract Documents prepared by or for Engineer take

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precedence in resolving any conflict, error, ambiguity, or discrepancy between such provisions of the Contract Documents and:

- the provisions of any standard specification, manual, reference standard, or code, or the instruction of any Supplier (whether or not specifically incorporated by reference as a Contract Document); or
- b. the provisions of any Laws or Regulations applicable to the performance of the Work (unless such an interpretation of the provisions of the Contract Documents would result in violation of such Law or Regulation).

# 3.04 Requirements of the Contract Documents

- A. During the performance of the Work and until final payment, Contractor and Owner shall submit to the Engineer in writing all matters in question concerning the requirements of the Contract Documents (sometimes referred to as requests for information or interpretation—RFIs), or relating to the acceptability of the Work under the Contract Documents, as soon as possible after such matters arise. Engineer will be the initial interpreter of the requirements of the Contract Documents, and judge of the acceptability of the Work.
- B. Engineer will, with reasonable promptness, render a written clarification, interpretation, or decision on the issue submitted, or initiate an amendment or supplement to the Contract Documents. Engineer's written clarification, interpretation, or decision will be final and binding on Contractor, unless it appeals by submitting a Change Proposal, and on Owner, unless it appeals by filing a Claim.
- C. If a submitted matter in question concerns terms and conditions of the Contract Documents that do not involve (1) the performance or acceptability of the Work under the Contract Documents, (2) the design (as set forth in the Drawings, Specifications, or otherwise), or (3) other engineering or technical matters, then Engineer will promptly notify Owner and Contractor in writing that Engineer is unable to provide a decision or interpretation. If Owner and Contractor are unable to agree on resolution of such a matter in question, either party may pursue resolution as provided in Article 12.

#### 3.05 Reuse of Documents

- A. Contractor and its Subcontractors and Suppliers shall not:
  - have or acquire any title to or ownership rights in any of the Drawings, Specifications, or other documents (or copies of any thereof) prepared by or bearing the seal of Engineer or its consultants, including electronic media versions, or reuse any such Drawings, Specifications, other documents, or copies thereof on extensions of the Project or any other project without written consent of Owner and Engineer and specific written verification or adaptation by Engineer; or
  - have or acquire any title or ownership rights in any other Contract Documents, reuse
    any such Contract Documents for any purpose without Owner's express written
    consent, or violate any copyrights pertaining to such Contract Documents.
- B. The prohibitions of this Paragraph 3.05 will survive final payment, or termination of the Contract. Nothing herein precludes Contractor from retaining copies of the Contract Documents for record purposes.

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#### ARTICLE 4—ARTICLE 4—COMMENCEMENT AND PROGRESS OF THE WORK

## 4.01 Commencement of Contract Times; Notice to Proceed

A. The Contract Times will commence to run on the 30th day after the Effective Date of the Contract or, if a Notice to Proceed is given, on the day indicated in the Notice to Proceed. A Notice to Proceed may be given at any time within 30 days after the Effective Date of the Contract. In no event will the Contract Times commence to run later than the 60th day after the day of Bid opening or the 30th day after the Effective Date of the Contract, whichever date is earlier.

#### 4.02 Starting the Work

A. Contractor shall start to perform the Work on the date when the Contract Times commence to run. No Work may be done at the Site prior to such date.

## 4.03 Reference Points

A. Owner shall provide engineering surveys to establish reference points for construction which in Engineer's judgment are necessary to enable Contractor to proceed with the Work. Contractor shall be responsible for laying out the Work, shall protect and preserve the established reference points and property monuments, and shall make no changes or relocations without the prior written approval of Owner. Contractor shall report to Engineer whenever any reference point or property monument is lost or destroyed or requires relocation because of necessary changes in grades or locations, and shall be responsible for the accurate replacement or relocation of such reference points or property monuments by professionally qualified personnel.

### 4.04 Progress Schedule

- A. Contractor shall adhere to the Progress Schedule established in accordance with Paragraph 2.05 as it may be adjusted from time to time as provided below.
  - 1. Contractor shall submit to Engineer for acceptance (to the extent indicated in Paragraph 2.05) proposed adjustments in the Progress Schedule that will not result in changing the Contract Times.
  - 2. Proposed adjustments in the Progress Schedule that will change the Contract Times must be submitted in accordance with the requirements of Article 11.
- B. Contractor shall carry on the Work and adhere to the Progress Schedule during all disputes or disagreements with Owner. No Work will be delayed or postponed pending resolution of any disputes or disagreements, or during any appeal process, except as permitted by Paragraph 16.04, or as Owner and Contractor may otherwise agree in writing.

## 4.05 Delays in Contractor's Progress

- A. If Owner, Engineer, or anyone for whom Owner is responsible, delays, disrupts, or interferes with the performance or progress of the Work, then Contractor shall be entitled to an equitable adjustment in Contract Price or Contract Times.
- B. Contractor shall not be entitled to an adjustment in Contract Price or Contract Times for delay, disruption, or interference caused by or within the control of Contractor. Delay, disruption, and interference attributable to and within the control of a Subcontractor or Supplier shall be deemed to be within the control of Contractor.

- C. If Contractor's performance or progress is delayed, disrupted, or interfered with by unanticipated causes not the fault of and beyond the control of Owner, Contractor, and those for which they are responsible, then Contractor shall be entitled to an equitable adjustment in Contract Times. Such an adjustment will be Contractor's sole and exclusive remedy for the delays, disruption, and interference described in this paragraph. Causes of delay, disruption, or interference that may give rise to an adjustment in Contract Times under this paragraph include but are not limited to the following:
  - 1. Severe and unavoidable natural catastrophes such as fires, floods, epidemics, and earthquakes;
  - 2. Abnormal weather conditions;
  - Acts or failures to act of third-party utility owners or other third-party entities (other than those third-party utility owners or other third-party entities performing other work at or adjacent to the Site as arranged by or under contract with Owner, as contemplated in Article 8); and
  - Acts of war or terrorism.
- D. Contractor's entitlement to an adjustment of Contract Times or Contract Price is limited as follows:
  - 1. Contractor's entitlement to an adjustment of the Contract Times is conditioned on the delay, disruption, or interference adversely affecting an activity on the critical path to completion of the Work, as of the time of the delay, disruption, or interference.
  - Contractor shall not be entitled to an adjustment in Contract Price for any delay, disruption, or interference if such delay is concurrent with a delay, disruption, or interference caused by or within the control of Contractor. Such a concurrent delay by Contractor shall not preclude an adjustment of Contract Times to which Contractor is otherwise entitled.
  - 3. Adjustments of Contract Times or Contract Price are subject to the provisions of Article 11.
- E. Each Contractor request or Change Proposal seeking an increase in Contract Times or Contract Price must be supplemented by supporting data that sets forth in detail the following:
  - The circumstances that form the basis for the requested adjustment;
  - 2. The date upon which each cause of delay, disruption, or interference began to affect the progress of the Work;
  - 3. The date upon which each cause of delay, disruption, or interference ceased to affect the progress of the Work;
  - 4. The number of days' increase in Contract Times claimed as a consequence of each such cause of delay, disruption, or interference; and
  - 5. The impact on Contract Price, in accordance with the provisions of Paragraph 11.07.

Contractor shall also furnish such additional supporting documentation as Owner or Engineer may require including, where appropriate, a revised progress schedule indicating all the activities affected by the delay, disruption, or interference, and an explanation of the

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effect of the delay, disruption, or interference on the critical path to completion of the Work.

- F. Delays, disruption, and interference to the performance or progress of the Work resulting from the existence of a differing subsurface or physical condition, an Underground Facility that was not shown or indicated by the Contract Documents, or not shown or indicated with reasonable accuracy, and those resulting from Hazardous Environmental Conditions, are governed by Article 5, together with the provisions of Paragraphs 4.05.D and 4.05.E.
- G. Paragraph 8.03 addresses delays, disruption, and interference to the performance or progress of the Work resulting from the performance of certain other work at or adjacent to the Site.

ARTICLE 5—ARTICLE 5—SITE; SUBSURFACE AND PHYSICAL CONDITIONS; HAZARDOUS ENVIRONMENTAL CONDITIONS

## 5.01 Availability of Lands

- A. Owner shall furnish the Site. Owner shall notify Contractor in writing of any encumbrances or restrictions not of general application but specifically related to use of the Site with which Contractor must comply in performing the Work.
- B. Upon reasonable written request, Owner shall furnish Contractor with a current statement of record legal title and legal description of the lands upon which permanent improvements are to be made and Owner's interest therein as necessary for giving notice of or filing a mechanic's or construction lien against such lands in accordance with applicable Laws and Regulations.
- C. Contractor shall provide for all additional lands and access thereto that may be required for temporary construction facilities or storage of materials and equipment.

## 5.02 Use of Site and Other Areas

## A. Limitation on Use of Site and Other Areas

- 1. Contractor shall confine construction equipment, temporary construction facilities, the storage of materials and equipment, and the operations of workers to the Site, adjacent areas that Contractor has arranged to use through construction easements or otherwise, and other adjacent areas permitted by Laws and Regulations, and shall not unreasonably encumber the Site and such other adjacent areas with construction equipment or other materials or equipment. Contractor shall assume full responsibility for (a) damage to the Site; (b) damage to any such other adjacent areas used for Contractor's operations; (c) damage to any other adjacent land or areas, or to improvements, structures, utilities, or similar facilities located at such adjacent lands or areas; and (d) for injuries and losses sustained by the owners or occupants of any such land or areas; provided that such damage or injuries result from the performance of the Work or from other actions or conduct of the Contractor or those for which Contractor is responsible.
- 2. If a damage or injury claim is made by the owner or occupant of any such land or area because of the performance of the Work, or because of other actions or conduct of the Contractor or those for which Contractor is responsible, Contractor shall (a) take immediate corrective or remedial action as required by Paragraph 7.13, or otherwise;

- (b) promptly attempt to settle the claim as to all parties through negotiations with such owner or occupant, or otherwise resolve the claim by arbitration or other dispute resolution proceeding, or in a court of competent jurisdiction; and (c) to the fullest extent permitted by Laws and Regulations, indemnify and hold harmless Owner and Engineer, and the officers, directors, members, partners, employees, agents, consultants and subcontractors of each and any of them, from and against any such claim, and against all costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to any claim or action, legal or equitable, brought by any such owner or occupant against Owner, Engineer, or any other party indemnified hereunder to the extent caused directly or indirectly, in whole or in part by, or based upon, Contractor's performance of the Work, or because of other actions or conduct of the Contractor or those for which Contractor is responsible.
- B. Removal of Debris During Performance of the Work: During the progress of the Work the Contractor shall keep the Site and other adjacent areas free from accumulations of waste materials, rubbish, and other debris. Removal and disposal of such waste materials, rubbish, and other debris will conform to applicable Laws and Regulations.
- C. Cleaning: Prior to Substantial Completion of the Work Contractor shall clean the Site and the Work and make it ready for utilization by Owner. At the completion of the Work Contractor shall remove from the Site and adjacent areas all tools, appliances, construction equipment and machinery, and surplus materials and shall restore to original condition all property not designated for alteration by the Contract Documents.
- D. Loading of Structures: Contractor shall not load nor permit any part of any structure to be loaded in any manner that will endanger the structure, nor shall Contractor subject any part of the Work or adjacent structures or land to stresses or pressures that will endanger them.

## 5.03 Subsurface and Physical Conditions

- A. Reports and Drawings: The Supplementary Conditions identify:
  - Those reports of explorations and tests of subsurface conditions at or adjacent to the Site that contain Technical Data;
  - Those drawings of existing physical conditions at or adjacent to the Site, including those drawings depicting existing surface or subsurface structures at or adjacent to the Site (except Underground Facilities), that contain Technical Data; and
  - 3. Technical Data contained in such reports and drawings.
- B. Underground Facilities: Underground Facilities are shown or indicated on the Drawings, pursuant to Paragraph 5.05, and not in the drawings referred to in Paragraph 5.03.A. Information and data regarding the presence or location of Underground Facilities are not intended to be categorized, identified, or defined as Technical Data.
- C. Reliance by Contractor on Technical Data: Contractor may rely upon the accuracy of the Technical Data expressly identified in the Supplementary Conditions with respect to such reports and drawings, but such reports and drawings are not Contract Documents. If no

such express identification has been made, then Contractor may rely upon the accuracy of the Technical Data as defined in Paragraph 1.01.A.46.b.

- D. Limitations of Other Data and Documents: Except for such reliance on Technical Data, Contractor may not rely upon or make any claim against Owner or Engineer, or any of their officers, directors, members, partners, employees, agents, consultants, or subcontractors, with respect to:
  - the completeness of such reports and drawings for Contractor's purposes, including, but not limited to, any aspects of the means, methods, techniques, sequences, and procedures of construction to be employed by Contractor, and safety precautions and programs incident thereto;
  - other data, interpretations, opinions, and information contained in such reports or shown or indicated in such drawings;
  - the contents of other Site-related documents made available to Contractor, such as record drawings from other projects at or adjacent to the Site, or Owner's archival documents concerning the Site; or
  - 4. any Contractor interpretation of or conclusion drawn from any Technical Data or any such other data, interpretations, opinions, or information.

## 5.04 Differing Subsurface or Physical Conditions

- A. *Notice by Contractor*: If Contractor believes that any subsurface or physical condition that is uncovered or revealed at the Site:
  - is of such a nature as to establish that any Technical Data on which Contractor is entitled to rely as provided in Paragraph 5.03 is materially inaccurate;
  - 2. is of such a nature as to require a change in the Drawings or Specifications;
  - 3. differs materially from that shown or indicated in the Contract Documents; or
  - is of an unusual nature, and differs materially from conditions ordinarily encountered and generally recognized as inherent in work of the character provided for in the Contract Documents;

then Contractor shall, promptly after becoming aware thereof and before further disturbing the subsurface or physical conditions or performing any Work in connection therewith (except in an emergency as required by Paragraph 7.15), notify Owner and Engineer in writing about such condition. Contractor shall not further disturb such condition or perform any Work in connection therewith (except with respect to an emergency) until receipt of a written statement permitting Contractor to do so.

B. Engineer's Review: After receipt of written notice as required by the preceding paragraph, Engineer will promptly review the subsurface or physical condition in question; determine whether it is necessary for Owner to obtain additional exploration or tests with respect to the condition; conclude whether the condition falls within any one or more of the differing site condition categories in Paragraph 5.04.A; obtain any pertinent cost or schedule information from Contractor; prepare recommendations to Owner regarding the Contractor's resumption of Work in connection with the subsurface or physical condition in question and the need for any change in the Drawings or Specifications; and advise Owner in writing of Engineer's findings, conclusions, and recommendations.

- C. Owner's Statement to Contractor Regarding Site Condition: After receipt of Engineer's written findings, conclusions, and recommendations, Owner shall issue a written statement to Contractor (with a copy to Engineer) regarding the subsurface or physical condition in question, addressing the resumption of Work in connection with such condition, indicating whether any change in the Drawings or Specifications will be made, and adopting or rejecting Engineer's written findings, conclusions, and recommendations, in whole or in part.
- D. Early Resumption of Work: If at any time Engineer determines that Work in connection with the subsurface or physical condition in question may resume prior to completion of Engineer's review or Owner's issuance of its statement to Contractor, because the condition in question has been adequately documented, and analyzed on a preliminary basis, then the Engineer may at its discretion instruct Contractor to resume such Work.
- E. Possible Price and Times Adjustments
  - 1. Contractor shall be entitled to an equitable adjustment in Contract Price or Contract Times, to the extent that the existence of a differing subsurface or physical condition, or any related delay, disruption, or interference, causes an increase or decrease in Contractor's cost of, or time required for, performance of the Work; subject, however, to the following:
    - a. Such condition must fall within any one or more of the categories described in Paragraph 5.04.A;
    - b. With respect to Work that is paid for on a unit price basis, any adjustment in Contract Price will be subject to the provisions of Paragraph 13.03; and,
    - c. Contractor's entitlement to an adjustment of the Contract Times is subject to the provisions of Paragraphs 4.05.D and 4.05.E.
  - 2. Contractor shall not be entitled to any adjustment in the Contract Price or Contract Times with respect to a subsurface or physical condition if:
    - Contractor knew of the existence of such condition at the time Contractor made a commitment to Owner with respect to Contract Price and Contract Times by the submission of a Bid or becoming bound under a negotiated contract, or otherwise;
    - b. The existence of such condition reasonably could have been discovered or revealed as a result of any examination, investigation, exploration, test, or study of the Site and contiguous areas expressly required by the Bidding Requirements or Contract Documents to be conducted by or for Contractor prior to Contractor's making such commitment; or
    - c. Contractor failed to give the written notice required by Paragraph 5.04.A.
  - If Owner and Contractor agree regarding Contractor's entitlement to and the amount or extent of any adjustment in the Contract Price or Contract Times, then any such adjustment will be set forth in a Change Order.
  - 4. Contractor may submit a Change Proposal regarding its entitlement to or the amount or extent of any adjustment in the Contract Price or Contract Times, no later than 30

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days after Owner's issuance of the Owner's written statement to Contractor regarding the subsurface or physical condition in question.

F. Underground Facilities; Hazardous Environmental Conditions: Paragraph 5.05 governs rights and responsibilities regarding the presence or location of Underground Facilities. Paragraph 5.06 governs rights and responsibilities regarding Hazardous Environmental Conditions. The provisions of Paragraphs 5.03 and 5.04 are not applicable to the presence or location of Underground Facilities, or to Hazardous Environmental Conditions.

## 5.05 Underground Facilities

- A. Contractor's Responsibilities: Unless it is otherwise expressly provided in the Supplementary Conditions, the cost of all of the following are included in the Contract Price, and Contractor shall have full responsibility for:
  - 1. reviewing and checking all information and data regarding existing Underground Facilities at the Site;
  - complying with applicable state and local utility damage prevention Laws and Regulations;
  - verifying the actual location of those Underground Facilities shown or indicated in the Contract Documents as being within the area affected by the Work, by exposing such Underground Facilities during the course of construction;
  - 4. coordination of the Work with the owners (including Owner) of such Underground Facilities, during construction; and
  - 5. the safety and protection of all existing Underground Facilities at the Site, and repairing any damage thereto resulting from the Work.
- B. Notice by Contractor: If Contractor believes that an Underground Facility that is uncovered or revealed at the Site was not shown or indicated on the Drawings, or was not shown or indicated on the Drawings with reasonable accuracy, then Contractor shall, promptly after becoming aware thereof and before further disturbing conditions affected thereby or performing any Work in connection therewith (except in an emergency as required by Paragraph 7.15), notify Owner and Engineer in writing regarding such Underground Facility.
- C. Engineer's Review: Engineer will:
  - promptly review the Underground Facility and conclude whether such Underground Facility was not shown or indicated on the Drawings, or was not shown or indicated with reasonable accuracy;
  - identify and communicate with the owner of the Underground Facility; prepare recommendations to Owner (and if necessary issue any preliminary instructions to Contractor) regarding the Contractor's resumption of Work in connection with the Underground Facility in question;
  - obtain any pertinent cost or schedule information from Contractor; determine the
    extent, if any, to which a change is required in the Drawings or Specifications to reflect
    and document the consequences of the existence or location of the Underground
    Facility; and
  - 4. advise Owner in writing of Engineer's findings, conclusions, and recommendations.

During such time, Contractor shall be responsible for the safety and protection of such Underground Facility.

- D. Owner's Statement to Contractor Regarding Underground Facility: After receipt of Engineer's written findings, conclusions, and recommendations, Owner shall issue a written statement to Contractor (with a copy to Engineer) regarding the Underground Facility in question addressing the resumption of Work in connection with such Underground Facility, indicating whether any change in the Drawings or Specifications will be made, and adopting or rejecting Engineer's written findings, conclusions, and recommendations in whole or in part.
- E. Early Resumption of Work: If at any time Engineer determines that Work in connection with the Underground Facility may resume prior to completion of Engineer's review or Owner's issuance of its statement to Contractor, because the Underground Facility in question and conditions affected by its presence have been adequately documented, and analyzed on a preliminary basis, then the Engineer may at its discretion instruct Contractor to resume such Work.

#### F. Possible Price and Times Adjustments

- Contractor shall be entitled to an equitable adjustment in the Contract Price or Contract Times, to the extent that any existing Underground Facility at the Site that was not shown or indicated on the Drawings, or was not shown or indicated with reasonable accuracy, or any related delay, disruption, or interference, causes an increase or decrease in Contractor's cost of, or time required for, performance of the Work; subject, however, to the following:
  - a. With respect to Work that is paid for on a unit price basis, any adjustment in Contract Price will be subject to the provisions of Paragraph 13.03;
  - b. Contractor's entitlement to an adjustment of the Contract Times is subject to the provisions of Paragraphs 4.05.D and 4.05.E; and
  - c. Contractor gave the notice required in Paragraph 5.05.B.
- If Owner and Contractor agree regarding Contractor's entitlement to and the amount or extent of any adjustment in the Contract Price or Contract Times, then any such adjustment will be set forth in a Change Order.
- 3. Contractor may submit a Change Proposal regarding its entitlement to or the amount or extent of any adjustment in the Contract Price or Contract Times, no later than 30 days after Owner's issuance of the Owner's written statement to Contractor regarding the Underground Facility in question.
- 4. The information and data shown or indicated on the Drawings with respect to existing Underground Facilities at the Site is based on information and data (a) furnished by the owners of such Underground Facilities, or by others, (b) obtained from available records, or (c) gathered in an investigation conducted in accordance with the current edition of ASCE 38, Standard Guideline for the Collection and Depiction of Existing Subsurface Utility Data, by the American Society of Civil Engineers. If such information or data is incorrect or incomplete, Contractor's remedies are limited to those set forth in this Paragraph 5.05.F.

#### 5.06 Hazardous Environmental Conditions at Site

- A. Reports and Drawings: The Supplementary Conditions identify:
  - 1. those reports known to Owner relating to Hazardous Environmental Conditions that have been identified at or adjacent to the Site;
  - 2. drawings known to Owner relating to Hazardous Environmental Conditions that have been identified at or adjacent to the Site; and
  - 3. Technical Data contained in such reports and drawings.
- B. Reliance by Contractor on Technical Data Authorized: Contractor may rely upon the accuracy of the Technical Data expressly identified in the Supplementary Conditions with respect to such reports and drawings, but such reports and drawings are not Contract Documents. If no such express identification has been made, then Contractor may rely on the accuracy of the Technical Data as defined in Paragraph 1.01.A.46.b. Except for such reliance on Technical Data, Contractor may not rely upon or make any claim against Owner or Engineer, or any of their officers, directors, members, partners, employees, agents, consultants, or subcontractors, with respect to:
  - the completeness of such reports and drawings for Contractor's purposes, including, but not limited to, any aspects of the means, methods, techniques, sequences and procedures of construction to be employed by Contractor, and safety precautions and programs incident thereto;
  - 2. other data, interpretations, opinions, and information contained in such reports or shown or indicated in such drawings; or
  - 3. any Contractor interpretation of or conclusion drawn from any Technical Data or any such other data, interpretations, opinions or information.
- C. Contractor shall not be responsible for removing or remediating any Hazardous Environmental Condition encountered, uncovered, or revealed at the Site unless such removal or remediation is expressly identified in the Contract Documents to be within the scope of the Work.
- D. Contractor shall be responsible for controlling, containing, and duly removing all Constituents of Concern brought to the Site by Contractor, Subcontractors, Suppliers, or anyone else for whom Contractor is responsible, and for any associated costs; and for the costs of removing and remediating any Hazardous Environmental Condition created by the presence of any such Constituents of Concern.
- E. If Contractor encounters, uncovers, or reveals a Hazardous Environmental Condition whose removal or remediation is not expressly identified in the Contract Documents as being within the scope of the Work, or if Contractor or anyone for whom Contractor is responsible creates a Hazardous Environmental Condition, then Contractor shall immediately: (1) secure or otherwise isolate such condition; (2) stop all Work in connection with such condition and in any area affected thereby (except in an emergency as required by Paragraph 7.15); and (3) notify Owner and Engineer (and promptly thereafter confirm such notice in writing). Owner shall promptly consult with Engineer concerning the necessity for Owner to retain a qualified expert to evaluate such condition or take corrective action, if any. Promptly after consulting with Engineer, Owner shall take such actions as are necessary to permit Owner to timely obtain required permits and provide

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Contractor the written notice required by Paragraph 5.06.F. If Contractor or anyone for whom Contractor is responsible created the Hazardous Environmental Condition in question, then Owner may remove and remediate the Hazardous Environmental Condition, and impose a set-off against payments to account for the associated costs.

- F. Contractor shall not resume Work in connection with such Hazardous Environmental Condition or in any affected area until after Owner has obtained any required permits related thereto, and delivered written notice to Contractor either (1) specifying that such condition and any affected area is or has been rendered safe for the resumption of Work, or (2) specifying any special conditions under which such Work may be resumed safely.
- G. If Owner and Contractor cannot agree as to entitlement to or on the amount or extent, if any, of any adjustment in Contract Price or Contract Times, as a result of such Work stoppage, such special conditions under which Work is agreed to be resumed by Contractor, or any costs or expenses incurred in response to the Hazardous Environmental Condition, then within 30 days of Owner's written notice regarding the resumption of Work, Contractor may submit a Change Proposal, or Owner may impose a set-off. Entitlement to any such adjustment is subject to the provisions of Paragraphs 4.05.D, 4.05.E, 11.07, and 11.08.
- H. If, after receipt of such written notice, Contractor does not agree to resume such Work based on a reasonable belief it is unsafe, or does not agree to resume such Work under such special conditions, then Owner may order the portion of the Work that is in the area affected by such condition to be deleted from the Work, following the contractual change procedures in Article 11. Owner may have such deleted portion of the Work performed by Owner's own forces or others in accordance with Article 8.
- I. To the fullest extent permitted by Laws and Regulations, Owner shall indemnify and hold harmless Contractor, Subcontractors, and Engineer, and the officers, directors, members, partners, employees, agents, consultants, and subcontractors of each and any of them, from and against all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals, and all court, arbitration, or other dispute resolution costs) arising out of or relating to a Hazardous Environmental Condition, provided that such Hazardous Environmental Condition (1) was not shown or indicated in the Drawings, Specifications, or other Contract Documents, identified as Technical Data entitled to limited reliance pursuant to Paragraph 5.06.B, or identified in the Contract Documents to be included within the scope of the Work, and (2) was not created by Contractor or by anyone for whom Contractor is responsible. Nothing in this Paragraph 5.06.I obligates Owner to indemnify any individual or entity from and against the consequences of that individual's or entity's own negligence.
- J. To the fullest extent permitted by Laws and Regulations, Contractor shall indemnify and hold harmless Owner and Engineer, and the officers, directors, members, partners, employees, agents, consultants, and subcontractors of each and any of them, from and against all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to the failure to control, contain, or remove a Constituent of Concern brought to the Site by Contractor or by anyone for whom Contractor is responsible, or to a Hazardous Environmental Condition created by Contractor or by anyone for whom Contractor is responsible. Nothing in this

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Paragraph 5.06.J obligates Contractor to indemnify any individual or entity from and against the consequences of that individual's or entity's own negligence.

K. The provisions of Paragraphs 5.03, 5.04, and 5.05 do not apply to the presence of Constituents of Concern or to a Hazardous Environmental Condition uncovered or revealed at the Site.

#### ARTICLE 6—ARTICLE 6—BONDS AND INSURANCE

## 6.01 Performance, Payment, and Other Bonds

- A. Contractor shall furnish a performance bond and a payment bond, each in an amount at least equal to the Contract Price, as security for the faithful performance and payment of Contractor's obligations under the Contract. These bonds must remain in effect until one year after the date when final payment becomes due or until completion of the correction period specified in Paragraph 15.08, whichever is later, except as provided otherwise by Laws or Regulations, the terms of a prescribed bond form, the Supplementary Conditions, or other provisions of the Contract.
- B. Contractor shall also furnish such other bonds (if any) as are required by the Supplementary Conditions or other provisions of the Contract.
- C. All bonds must be in the form included in the Bidding Documents or otherwise specified by Owner prior to execution of the Contract, except as provided otherwise by Laws or Regulations, and must be issued and signed by a surety named in "Companies Holding Certificates of Authority as Acceptable Sureties on Federal Bonds and as Acceptable Reinsuring Companies" as published in Department Circular 570 (as amended and supplemented) by the Bureau of the Fiscal Service, U.S. Department of the Treasury. A bond signed by an agent or attorney-in-fact must be accompanied by a certified copy of that individual's authority to bind the surety. The evidence of authority must show that it is effective on the date the agent or attorney-in-fact signed the accompanying bond.
- D. Contractor shall obtain the required bonds from surety companies that are duly licensed or authorized, in the state or jurisdiction in which the Project is located, to issue bonds in the required amounts.
- E. If the surety on a bond furnished by Contractor is declared bankrupt or becomes insolvent, or the surety ceases to meet the requirements above, then Contractor shall promptly notify Owner and Engineer in writing and shall, within 20 days after the event giving rise to such notification, provide another bond and surety, both of which must comply with the bond and surety requirements above.
- F. If Contractor has failed to obtain a required bond, Owner may exclude the Contractor from the Site and exercise Owner's termination rights under Article 16.
- G. Upon request to Owner from any Subcontractor, Supplier, or other person or entity claiming to have furnished labor, services, materials, or equipment used in the performance of the Work, Owner shall provide a copy of the payment bond to such person or entity.
- H. Upon request to Contractor from any Subcontractor, Supplier, or other person or entity claiming to have furnished labor, services, materials, or equipment used in the

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performance of the Work, Contractor shall provide a copy of the payment bond to such person or entity.

#### 6.02 Insurance—General Provisions

- A. Owner and Contractor shall obtain and maintain insurance as required in this article and in the Supplementary Conditions.
- 3. All insurance required by the Contract to be purchased and maintained by Owner or Contractor shall be obtained from insurance companies that are duly licensed or authorized in the state or jurisdiction in which the Project is located to issue insurance policies for the required limits and coverages. Unless a different standard is indicated in the Supplementary Conditions, all companies that provide insurance policies required under this Contract shall have an A.M. Best rating of A-VII or better.
- C. Alternative forms of insurance coverage, including but not limited to self-insurance and "Occupational Accident and Excess Employer's Indemnity Policies," are not sufficient to meet the insurance requirements of this Contract, unless expressly allowed in the Supplementary Conditions.
- D. Contractor shall deliver to Owner, with copies to each additional insured identified in the Contract, certificates of insurance and endorsements establishing that Contractor has obtained and is maintaining the policies and coverages required by the Contract. Upon request by Owner or any other insured, Contractor shall also furnish other evidence of such required insurance, including but not limited to copies of policies, documentation of applicable self-insured retentions (if allowed) and deductibles, full disclosure of all relevant exclusions, and evidence of insurance required to be purchased and maintained by Subcontractors or Suppliers. In any documentation furnished under this provision, Contractor, Subcontractors, and Suppliers may block out (redact) (1) any confidential premium or pricing information and (2) any wording specific to a project or jurisdiction other than those applicable to this Contract.
- E. Owner shall deliver to Contractor, with copies to each additional insured identified in the Contract, certificates of insurance and endorsements establishing that Owner has obtained and is maintaining the policies and coverages required of Owner by the Contract (if any). Upon request by Contractor or any other insured, Owner shall also provide other evidence of such required insurance (if any), including but not limited to copies of policies, documentation of applicable self-insured retentions (if allowed) and deductibles, and full disclosure of all relevant exclusions. In any documentation furnished under this provision, Owner may block out (redact) (1) any confidential premium or pricing information and (2) any wording specific to a project or jurisdiction other than those relevant to this Contract.
- F. Failure of Owner or Contractor to demand such certificates or other evidence of the other party's full compliance with these insurance requirements, or failure of Owner or Contractor to identify a deficiency in compliance from the evidence provided, will not be construed as a waiver of the other party's obligation to obtain and maintain such insurance.
- G. In addition to the liability insurance required to be provided by Contractor, the Owner, at Owner's option, may purchase and maintain Owner's own liability insurance. Owner's liability policies, if any, operate separately and independently from policies required to be provided by Contractor, and Contractor cannot rely upon Owner's liability policies for any of Contractor's obligations to the Owner, Engineer, or third parties.

## H. Contractor shall require:

- Subcontractors to purchase and maintain worker's compensation, commercial general liability, and other insurance that is appropriate for their participation in the Project, and to name as additional insureds Owner and Engineer (and any other individuals or entities identified in the Supplementary Conditions as additional insureds on Contractor's liability policies) on each Subcontractor's commercial general liability insurance policy; and
- 2. Suppliers to purchase and maintain insurance that is appropriate for their participation in the Project.
- . If either party does not purchase or maintain the insurance required of such party by the Contract, such party shall notify the other party in writing of such failure to purchase prior to the start of the Work, or of such failure to maintain prior to any change in the required coverage.
- J. If Contractor has failed to obtain and maintain required insurance, Contractor's entitlement to enter or remain at the Site will end immediately, and Owner may impose an appropriate set-off against payment for any associated costs (including but not limited to the cost of purchasing necessary insurance coverage), and exercise Owner's termination rights under Article 16.
- K. Without prejudice to any other right or remedy, if a party has failed to obtain required insurance, the other party may elect (but is in no way obligated) to obtain equivalent insurance to protect such other party's interests at the expense of the party who was required to provide such coverage, and the Contract Price will be adjusted accordingly.
- L. Owner does not represent that insurance coverage and limits established in this Contract necessarily will be adequate to protect Contractor or Contractor's interests. Contractor is responsible for determining whether such coverage and limits are adequate to protect its interests, and for obtaining and maintaining any additional insurance that Contractor deems necessary.
- M. The insurance and insurance limits required herein will not be deemed as a limitation on Contractor's liability, or that of its Subcontractors or Suppliers, under the indemnities granted to Owner and other individuals and entities in the Contract or otherwise.
- N. All the policies of insurance required to be purchased and maintained under this Contract will contain a provision or endorsement that the coverage afforded will not be canceled, or renewal refused, until at least 10 days prior written notice has been given to the purchasing policyholder. Within three days of receipt of any such written notice, the purchasing policyholder shall provide a copy of the notice to each other insured and Engineer.

### 6.03 Contractor's Insurance

- A. Required Insurance: Contractor shall purchase and maintain Worker's Compensation, Commercial General Liability, and other insurance pursuant to the specific requirements of the Supplementary Conditions.
- B. *General Provisions*: The policies of insurance required by this Paragraph 6.03 as supplemented must:
  - 1. include at least the specific coverages required;

- 2. be written for not less than the limits provided, or those required by Laws or Regulations, whichever is greater;
- remain in effect at least until the Work is complete (as set forth in Paragraph 15.06.D), and longer if expressly required elsewhere in this Contract, and at all times thereafter when Contractor may be correcting, removing, or replacing defective Work as a warranty or correction obligation, or otherwise, or returning to the Site to conduct other tasks arising from the Contract;
- 4. apply with respect to the performance of the Work, whether such performance is by Contractor, any Subcontractor or Supplier, or by anyone directly or indirectly employed by any of them to perform any of the Work, or by anyone for whose acts any of them may be liable; and
- 5. include all necessary endorsements to support the stated requirements.
- C. Additional Insureds: The Contractor's commercial general liability, automobile liability, employer's liability, umbrella or excess, pollution liability, and unmanned aerial vehicle liability policies, if required by this Contract, must:
  - include and list as additional insureds Owner and Engineer, and any individuals or entities identified as additional insureds in the Supplementary Conditions;
  - 2. include coverage for the respective officers, directors, members, partners, employees, and consultants of all such additional insureds;
  - 3. afford primary coverage to these additional insureds for all claims covered thereby (including as applicable those arising from both ongoing and completed operations);
  - 4. not seek contribution from insurance maintained by the additional insured; and
  - 5. as to commercial general liability insurance, apply to additional insureds with respect to liability caused in whole or in part by Contractor's acts or omissions, or the acts and omissions of those working on Contractor's behalf, in the performance of Contractor's operations.

## 6.04 Builder's Risk and Other Property Insurance

- A. Builder's Risk: Unless otherwise provided in the Supplementary Conditions, Contractor shall purchase and maintain builder's risk insurance upon the Work on a completed value basis, in the amount of the Work's full insurable replacement cost (subject to such deductible amounts as may be provided in the Supplementary Conditions or required by Laws and Regulations). The specific requirements applicable to the builder's risk insurance are set forth in the Supplementary Conditions.
- B. Property Insurance for Facilities of Owner Where Work Will Occur: Owner is responsible for obtaining and maintaining property insurance covering each existing structure, building, or facility in which any part of the Work will occur, or to which any part of the Work will attach or be adjoined. Such property insurance will be written on a special perils (all-risk) form, on a replacement cost basis, providing coverage consistent with that required for the builder's risk insurance, and will be maintained until the Work is complete, as set forth in Paragraph 15.06.D.
- C. Property Insurance for Substantially Complete Facilities: Promptly after Substantial Completion, and before actual occupancy or use of the substantially completed Work,

Owner will obtain property insurance for such substantially completed Work, and maintain such property insurance at least until the Work is complete, as set forth in Paragraph 15.06.D. Such property insurance will be written on a special perils (all-risk) form, on a replacement cost basis, and provide coverage consistent with that required for the builder's risk insurance. The builder's risk insurance may terminate upon written confirmation of Owner's procurement of such property insurance.

- D. Partial Occupancy or Use by Owner: If Owner will occupy or use a portion or portions of the Work prior to Substantial Completion of all the Work, as provided in Paragraph 15.04, then Owner (directly, if it is the purchaser of the builder's risk policy, or through Contractor) will provide advance notice of such occupancy or use to the builder's risk insurer, and obtain an endorsement consenting to the continuation of coverage prior to commencing such partial occupancy or use.
- E. Insurance of Other Property; Additional Insurance: If the express insurance provisions of the Contract do not require or address the insurance of a property item or interest, then the entity or individual owning such property item will be responsible for insuring it. If Contractor elects to obtain other special insurance to be included in or supplement the builder's risk or property insurance policies provided under this Paragraph 6.04, it may do so at Contractor's expense.

## 6.05 Property Losses; Subrogation

- A. The builder's risk insurance policy purchased and maintained in accordance with Paragraph 6.04 (or an installation floater policy if authorized by the Supplementary Conditions), will contain provisions to the effect that in the event of payment of any loss or damage the insurer will have no rights of recovery against any insureds thereunder, or against Engineer or its consultants, or their officers, directors, members, partners, employees, agents, consultants, or subcontractors.
  - Owner and Contractor waive all rights against each other and the respective officers, directors, members, partners, employees, agents, consultants, and subcontractors of each and any of them, for all losses and damages caused by, arising out of, or resulting from any of the perils, risks, or causes of loss covered by such policies and any other property insurance applicable to the Work; and, in addition, waive all such rights against Engineer, its consultants, all individuals or entities identified in the Supplementary Conditions as builder's risk or installation floater insureds, and the officers, directors, members, partners, employees, agents, consultants, and subcontractors of each and any of them, under such policies for losses and damages so caused.
  - 2. None of the above waivers extends to the rights that any party making such waiver may have to the proceeds of insurance held by Owner or Contractor as trustee or fiduciary, or otherwise payable under any policy so issued.
- B. Any property insurance policy maintained by Owner covering any loss, damage, or consequential loss to Owner's existing structures, buildings, or facilities in which any part of the Work will occur, or to which any part of the Work will attach or adjoin; to adjacent structures, buildings, or facilities of Owner; or to part or all of the completed or substantially completed Work, during partial occupancy or use pursuant to Paragraph 15.04, after Substantial Completion pursuant to Paragraph 15.03, or after final

payment pursuant to Paragraph 15.06, will contain provisions to the effect that in the event of payment of any loss or damage the insurer will have no rights of recovery against any insureds thereunder, or against Contractor, Subcontractors, or Engineer, or the officers, directors, members, partners, employees, agents, consultants, or subcontractors of each and any of them, and that the insured is allowed to waive the insurer's rights of subrogation in a written contract executed prior to the loss, damage, or consequential loss.

- Owner waives all rights against Contractor, Subcontractors, and Engineer, and the
  officers, directors, members, partners, employees, agents, consultants and
  subcontractors of each and any of them, for all losses and damages caused by, arising
  out of, or resulting from fire or any of the perils, risks, or causes of loss covered by
  such policies.
- C. The waivers in this Paragraph 6.05 include the waiver of rights due to business interruption, loss of use, or other consequential loss extending beyond direct physical loss or damage to Owner's property or the Work caused by, arising out of, or resulting from fire or other insured peril, risk, or cause of loss.
- D. Contractor shall be responsible for assuring that each Subcontract contains provisions whereby the Subcontractor waives all rights against Owner, Contractor, all individuals or entities identified in the Supplementary Conditions as insureds, the Engineer and its consultants, and the officers, directors, members, partners, employees, agents, consultants, and subcontractors of each and any of them, for all losses and damages caused by, arising out of, relating to, or resulting from fire or other peril, risk, or cause of loss covered by builder's risk insurance, installation floater, and any other property insurance applicable to the Work.

# 6.06 Receipt and Application of Property Insurance Proceeds

- A. Any insured loss under the builder's risk and other policies of property insurance required by Paragraph 6.04 will be adjusted and settled with the named insured that purchased the policy. Such named insured shall act as fiduciary for the other insureds, and give notice to such other insureds that adjustment and settlement of a claim is in progress. Any other insured may state its position regarding a claim for insured loss in writing within 15 days after notice of such claim.
- 3. Proceeds for such insured losses may be made payable by the insurer either jointly to multiple insureds, or to the named insured that purchased the policy in its own right and as fiduciary for other insureds, subject to the requirements of any applicable mortgage clause. A named insured receiving insurance proceeds under the builder's risk and other policies of insurance required by Paragraph 6.04 shall maintain such proceeds in a segregated account, and distribute such proceeds in accordance with such agreement as the parties in interest may reach, or as otherwise required under the dispute resolution provisions of this Contract or applicable Laws and Regulations.
- C. If no other special agreement is reached, Contractor shall repair or replace the damaged Work, using allocated insurance proceeds.

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#### ARTICLE 7—ARTICLE 7—CONTRACTOR'S RESPONSIBILITIES

#### 7.01 Contractor's Means and Methods of Construction

- A. Contractor shall be solely responsible for the means, methods, techniques, sequences, and procedures of construction.
- B. If the Contract Documents note, or Contractor determines, that professional engineering or other design services are needed to carry out Contractor's responsibilities for construction means, methods, techniques, sequences, and procedures, or for Site safety, then Contractor shall cause such services to be provided by a properly licensed design professional, at Contractor's expense. Such services are not Owner-delegated professional design services under this Contract, and neither Owner nor Engineer has any responsibility with respect to (1) Contractor's determination of the need for such services, (2) the qualifications or licensing of the design professionals retained or employed by Contractor, (3) the performance of such services, or (4) any errors, omissions, or defects in such services.

## 7.02 Supervision and Superintendence

- A. Contractor shall supervise, inspect, and direct the Work competently and efficiently, devoting such attention thereto and applying such skills and expertise as may be necessary to perform the Work in accordance with the Contract Documents.
- B. At all times during the progress of the Work, Contractor shall assign a competent resident superintendent who will not be replaced without written notice to Owner and Engineer except under extraordinary circumstances.

### 7.03 Labor; Working Hours

- A. Contractor shall provide competent, suitably qualified personnel to survey and lay out the Work and perform construction as required by the Contract Documents. Contractor shall maintain good discipline and order at the Site.
- B. Contractor shall be fully responsible to Owner and Engineer for all acts and omissions of Contractor's employees; of Suppliers and Subcontractors, and their employees; and of any other individuals or entities performing or furnishing any of the Work, just as Contractor is responsible for Contractor's own acts and omissions.
- C. Except as otherwise required for the safety or protection of persons or the Work or property at the Site or adjacent thereto, and except as otherwise stated in the Contract Documents, all Work at the Site will be performed during regular working hours, Monday through Friday. Contractor will not perform Work on a Saturday, Sunday, or any legal holiday. Contractor may perform Work outside regular working hours or on Saturdays, Sundays, or legal holidays only with Owner's written consent, which will not be unreasonably withheld.

## 7.04 Services, Materials, and Equipment

A. Unless otherwise specified in the Contract Documents, Contractor shall provide and assume full responsibility for all services, materials, equipment, labor, transportation, construction equipment and machinery, tools, appliances, fuel, power, light, heat, telephone, water, sanitary facilities, temporary facilities, and all other facilities and

incidentals necessary for the performance, testing, start up, and completion of the Work, whether or not such items are specifically called for in the Contract Documents.

- B. All materials and equipment incorporated into the Work must be new and of good quality, except as otherwise provided in the Contract Documents. All special warranties and guarantees required by the Specifications will expressly run to the benefit of Owner. If required by Engineer, Contractor shall furnish satisfactory evidence (including reports of required tests) as to the source, kind, and quality of materials and equipment.
- C. All materials and equipment must be stored, applied, installed, connected, erected, protected, used, cleaned, and conditioned in accordance with instructions of the applicable Supplier, except as otherwise may be provided in the Contract Documents.

# 7.05 "Or Equals"

- A. Contractor's Request; Governing Criteria: Whenever an item of equipment or material is specified or described in the Contract Documents by using the names of one or more proprietary items or specific Suppliers, the Contract Price has been based upon Contractor furnishing such item as specified. The specification or description of such an item is intended to establish the type, function, appearance, and quality required. Unless the specification or description contains or is followed by words reading that no like, equivalent, or "or equal" item is permitted, Contractor may request that Engineer authorize the use of other items of equipment or material, or items from other proposed Suppliers, under the circumstances described below.
  - 1. If Engineer in its sole discretion determines that an item of equipment or material proposed by Contractor is functionally equal to that named and sufficiently similar so that no change in related Work will be required, Engineer will deem it an "or equal" item. For the purposes of this paragraph, a proposed item of equipment or material will be considered functionally equal to an item so named if:
    - a. in the exercise of reasonable judgment Engineer determines that the proposed item:
      - 2) 1) is at least equal in materials of construction, quality, durability, appearance, strength, and design characteristics;
      - 3) 2) will reliably perform at least equally well the function and achieve the results imposed by the design concept of the completed Project as a functioning whole;
      - 4) 3) has a proven record of performance and availability of responsive service; and
      - 5) 4) is not objectionable to Owner.
    - b. Contractor certifies that, if the proposed item is approved and incorporated into the Work:
      - 6) 1) there will be no increase in cost to the Owner or increase in Contract Times; and
      - 7) 2) the item will conform substantially to the detailed requirements of the item named in the Contract Documents.

- B. *Contractor's Expense*: Contractor shall provide all data in support of any proposed "or equal" item at Contractor's expense.
- C. Engineer's Evaluation and Determination: Engineer will be allowed a reasonable time to evaluate each "or-equal" request. Engineer may require Contractor to furnish additional data about the proposed "or-equal" item. Engineer will be the sole judge of acceptability. No "or-equal" item will be ordered, furnished, installed, or utilized until Engineer's review is complete and Engineer determines that the proposed item is an "or-equal," which will be evidenced by an approved Shop Drawing or other written communication. Engineer will advise Contractor in writing of any negative determination.
- D. Effect of Engineer's Determination: Neither approval nor denial of an "or-equal" request will result in any change in Contract Price. The Engineer's denial of an "or-equal" request will be final and binding, and may not be reversed through an appeal under any provision of the Contract.
- E. Treatment as a Substitution Request: If Engineer determines that an item of equipment or material proposed by Contractor does not qualify as an "or-equal" item, Contractor may request that Engineer consider the item a proposed substitute pursuant to Paragraph 7.06.

#### 7.06 Substitutes

- A. Contractor's Request; Governing Criteria: Unless the specification or description of an item of equipment or material required to be furnished under the Contract Documents contains or is followed by words reading that no substitution is permitted, Contractor may request that Engineer authorize the use of other items of equipment or material under the circumstances described below. To the extent possible such requests must be made before commencement of related construction at the Site.
  - Contractor shall submit sufficient information as provided below to allow Engineer to determine if the item of material or equipment proposed is functionally equivalent to that named and an acceptable substitute therefor. Engineer will not accept requests for review of proposed substitute items of equipment or material from anyone other than Contractor.
  - The requirements for review by Engineer will be as set forth in Paragraph 7.06.B, as supplemented by the Specifications, and as Engineer may decide is appropriate under the circumstances.
  - Contractor shall make written application to Engineer for review of a proposed substitute item of equipment or material that Contractor seeks to furnish or use. The application:
    - a. will certify that the proposed substitute item will:
      - 8) 1) perform adequately the functions and achieve the results called for by the general design;
      - 9) 2) be similar in substance to the item specified; and
      - 10) 3) be suited to the same use as the item specified.

#### b. will state:

- 11) 1) the extent, if any, to which the use of the proposed substitute item will necessitate a change in Contract Times;
- 12) 2) whether use of the proposed substitute item in the Work will require a change in any of the Contract Documents (or in the provisions of any other direct contract with Owner for other work on the Project) to adapt the design to the proposed substitute item; and
- 13) 3) whether incorporation or use of the proposed substitute item in connection with the Work is subject to payment of any license fee or royalty.

### c. will identify:

- 14) 1) all variations of the proposed substitute item from the item specified; and
- 15) 2) available engineering, sales, maintenance, repair, and replacement services.
- d. will contain an itemized estimate of all costs or credits that will result directly or indirectly from use of such substitute item, including but not limited to changes in Contract Price, shared savings, costs of redesign, and claims of other contractors affected by any resulting change.
- B. Engineer's Evaluation and Determination: Engineer will be allowed a reasonable time to evaluate each substitute request, and to obtain comments and direction from Owner. Engineer may require Contractor to furnish additional data about the proposed substitute item. Engineer will be the sole judge of acceptability. No substitute will be ordered, furnished, installed, or utilized until Engineer's review is complete and Engineer determines that the proposed item is an acceptable substitute. Engineer's determination will be evidenced by a Field Order or a proposed Change Order accounting for the substitution itself and all related impacts, including changes in Contract Price or Contract Times. Engineer will advise Contractor in writing of any negative determination.
- C. *Special Guarantee*: Owner may require Contractor to furnish at Contractor's expense a special performance guarantee or other surety with respect to any substitute.
- D. Reimbursement of Engineer's Cost: Engineer will record Engineer's costs in evaluating a substitute proposed or submitted by Contractor. Whether or not Engineer approves a substitute so proposed or submitted by Contractor, Contractor shall reimburse Owner for the reasonable charges of Engineer for evaluating each such proposed substitute. Contractor shall also reimburse Owner for the reasonable charges of Engineer for making changes in the Contract Documents (or in the provisions of any other direct contract with Owner) resulting from the acceptance of each proposed substitute.
- E. *Contractor's Expense*: Contractor shall provide all data in support of any proposed substitute at Contractor's expense.
- F. Effect of Engineer's Determination: If Engineer approves the substitution request, Contractor shall execute the proposed Change Order and proceed with the substitution. The Engineer's denial of a substitution request will be final and binding, and may not be reversed through an appeal under any provision of the Contract. Contractor may challenge

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the scope of reimbursement costs imposed under Paragraph 7.06.D, by timely submittal of a Change Proposal.

# 7.07 Concerning Subcontractors and Suppliers

- A. Contractor may retain Subcontractors and Suppliers for the performance of parts of the Work. Such Subcontractors and Suppliers must be acceptable to Owner. The Contractor's retention of a Subcontractor or Supplier for the performance of parts of the Work will not relieve Contractor's obligation to Owner to perform and complete the Work in accordance with the Contract Documents.
- B. Contractor shall retain specific Subcontractors and Suppliers for the performance of designated parts of the Work if required by the Contract to do so.
- C. Subsequent to the submittal of Contractor's Bid or final negotiation of the terms of the Contract, Owner may not require Contractor to retain any Subcontractor or Supplier to furnish or perform any of the Work against which Contractor has reasonable objection.
- D. Prior to entry into any binding subcontract or purchase order, Contractor shall submit to Owner the identity of the proposed Subcontractor or Supplier (unless Owner has already deemed such proposed Subcontractor or Supplier acceptable during the bidding process or otherwise). Such proposed Subcontractor or Supplier shall be deemed acceptable to Owner unless Owner raises a substantive, reasonable objection within 5 days.
- E. Owner may require the replacement of any Subcontractor or Supplier. Owner also may require Contractor to retain specific replacements; provided, however, that Owner may not require a replacement to which Contractor has a reasonable objection. If Contractor has submitted the identity of certain Subcontractors or Suppliers for acceptance by Owner, and Owner has accepted it (either in writing or by failing to make written objection thereto), then Owner may subsequently revoke the acceptance of any such Subcontractor or Supplier so identified solely on the basis of substantive, reasonable objection after due investigation. Contractor shall submit an acceptable replacement for the rejected Subcontractor or Supplier.
- F. If Owner requires the replacement of any Subcontractor or Supplier retained by Contractor to perform any part of the Work, then Contractor shall be entitled to an adjustment in Contract Price or Contract Times, with respect to the replacement; and Contractor shall initiate a Change Proposal for such adjustment within 30 days of Owner's requirement of replacement.
- G. No acceptance by Owner of any such Subcontractor or Supplier, whether initially or as a replacement, will constitute a waiver of the right of Owner to the completion of the Work in accordance with the Contract Documents.
- H. On a monthly basis, Contractor shall submit to Engineer a complete list of all Subcontractors and Suppliers having a direct contract with Contractor, and of all other Subcontractors and Suppliers known to Contractor at the time of submittal.
- I. Contractor shall be solely responsible for scheduling and coordinating the work of Subcontractors and Suppliers.
- J. The divisions and sections of the Specifications and the identifications of any Drawings do not control Contractor in dividing the Work among Subcontractors or Suppliers, or in delineating the Work to be performed by any specific trade.

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- K. All Work performed for Contractor by a Subcontractor or Supplier must be pursuant to an appropriate contractual agreement that specifically binds the Subcontractor or Supplier to the applicable terms and conditions of the Contract for the benefit of Owner and Engineer.
- L. Owner may furnish to any Subcontractor or Supplier, to the extent practicable, information about amounts paid to Contractor for Work performed for Contractor by the Subcontractor or Supplier.
- M. Contractor shall restrict all Subcontractors and Suppliers from communicating with Engineer or Owner, except through Contractor or in case of an emergency, or as otherwise expressly allowed in this Contract.

# 7.08 Patent Fees and Royalties

- A. Contractor shall pay all license fees and royalties and assume all costs incident to the use in the performance of the Work or the incorporation in the Work of any invention, design, process, product, or device which is the subject of patent rights or copyrights held by others. If an invention, design, process, product, or device is specified in the Contract Documents for use in the performance of the Work and if, to the actual knowledge of Owner or Engineer, its use is subject to patent rights or copyrights calling for the payment of any license fee or royalty to others, the existence of such rights will be disclosed in the Contract Documents.
- B. To the fullest extent permitted by Laws and Regulations, Owner shall indemnify and hold harmless Contractor, and its officers, directors, members, partners, employees, agents, consultants, and subcontractors, from and against all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals, and all court or arbitration or other dispute resolution costs) arising out of or relating to any infringement of patent rights or copyrights incident to the use in the performance of the Work or resulting from the incorporation in the Work of any invention, design, process, product, or device specified in the Contract Documents, but not identified as being subject to payment of any license fee or royalty to others required by patent rights or copyrights.
- C. To the fullest extent permitted by Laws and Regulations, Contractor shall indemnify and hold harmless Owner and Engineer, and the officers, directors, members, partners, employees, agents, consultants and subcontractors of each and any of them, from and against all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to any infringement of patent rights or copyrights incident to the use in the performance of the Work or resulting from the incorporation in the Work of any invention, design, process, product, or device not specified in the Contract Documents.

#### 7.09 Permits

A. Unless otherwise provided in the Contract Documents, Contractor shall obtain and pay for all construction permits, licenses, and certificates of occupancy. Owner shall assist Contractor, when necessary, in obtaining such permits and licenses. Contractor shall pay all governmental charges and inspection fees necessary for the prosecution of the Work which are applicable at the time of the submission of Contractor's Bid (or when Contractor

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became bound under a negotiated contract). Owner shall pay all charges of utility owners for connections for providing permanent service to the Work.

#### 7.10 Taxes

A. Contractor shall pay all sales, consumer, use, and other similar taxes required to be paid by Contractor in accordance with the Laws and Regulations of the place of the Project which are applicable during the performance of the Work.

## 7.11 Laws and Regulations

- A. Contractor shall give all notices required by and shall comply with all Laws and Regulations applicable to the performance of the Work. Neither Owner nor Engineer shall be responsible for monitoring Contractor's compliance with any Laws or Regulations.
- B. If Contractor performs any Work or takes any other action knowing or having reason to know that it is contrary to Laws or Regulations, Contractor shall bear all resulting costs and losses, and shall indemnify and hold harmless Owner and Engineer, and the officers, directors, members, partners, employees, agents, consultants, and subcontractors of each and any of them, from and against all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to such Work or other action. It is not Contractor's responsibility to make certain that the Work described in the Contract Documents is in accordance with Laws and Regulations, but this does not relieve Contractor of its obligations under Paragraph 3.03.
- C. Owner or Contractor may give written notice to the other party of any changes after the submission of Contractor's Bid (or after the date when Contractor became bound under a negotiated contract) in Laws or Regulations having an effect on the cost or time of performance of the Work, including but not limited to changes in Laws or Regulations having an effect on procuring permits and on sales, use, value-added, consumption, and other similar taxes. If Owner and Contractor are unable to agree on entitlement to or on the amount or extent, if any, of any adjustment in Contract Price or Contract Times resulting from such changes, then within 30 days of such written notice Contractor may submit a Change Proposal, or Owner may initiate a Claim.

#### 7.12 Record Documents

A. Contractor shall maintain in a safe place at the Site one printed record copy of all Drawings, Specifications, Addenda, Change Orders, Work Change Directives, Field Orders, written interpretations and clarifications, and approved Shop Drawings. Contractor shall keep such record documents in good order and annotate them to show changes made during construction. These record documents, together with all approved Samples, will be available to Engineer for reference. Upon completion of the Work, Contractor shall deliver these record documents to Engineer.

## 7.13 Safety and Protection

A. Contractor shall be solely responsible for initiating, maintaining, and supervising all safety precautions and programs in connection with the Work. Such responsibility does not relieve Subcontractors of their responsibility for the safety of persons or property in the performance of their work, nor for compliance with applicable safety Laws and Regulations.

- B. Contractor shall designate a qualified and experienced safety representative whose duties and responsibilities are the prevention of Work-related accidents and the maintenance and supervision of safety precautions and programs.
- C. Contractor shall take all necessary precautions for the safety of, and shall provide the necessary protection to prevent damage, injury, or loss to:
  - 1. all persons on the Site or who may be affected by the Work;
  - 2. all the Work and materials and equipment to be incorporated therein, whether in storage on or off the Site; and
  - other property at the Site or adjacent thereto, including trees, shrubs, lawns, walks, pavements, roadways, structures, other work in progress, utilities, and Underground Facilities not designated for removal, relocation, or replacement in the course of construction.
- D. All damage, injury, or loss to any property referred to in Paragraph 7.13.C.2 or 7.13.C.3 caused, directly or indirectly, in whole or in part, by Contractor, any Subcontractor, Supplier, or any other individual or entity directly or indirectly employed by any of them to perform any of the Work, or anyone for whose acts any of them may be liable, shall be remedied by Contractor at its expense (except damage or loss attributable to the fault of Drawings or Specifications or to the acts or omissions of Owner or Engineer or anyone employed by any of them, or anyone for whose acts any of them may be liable, and not attributable, directly or indirectly, in whole or in part, to the fault or negligence of Contractor or any Subcontractor, Supplier, or other individual or entity directly or indirectly employed by any of them).
- E. Contractor shall comply with all applicable Laws and Regulations relating to the safety of persons or property, or to the protection of persons or property from damage, injury, or loss; and shall erect and maintain all necessary safeguards for such safety and protection.
- F. Contractor shall notify Owner; the owners of adjacent property; the owners of Underground Facilities and other utilities (if the identity of such owners is known to Contractor); and other contractors and utility owners performing work at or adjacent to the Site, in writing, when Contractor knows that prosecution of the Work may affect them, and shall cooperate with them in the protection, removal, relocation, and replacement of their property or work in progress.
- G. Contractor shall comply with the applicable requirements of Owner's safety programs, if any. Any Owner's safety programs that are applicable to the Work are identified or included in the Supplementary Conditions or Specifications.
- H. Contractor shall inform Owner and Engineer of the specific requirements of Contractor's safety program with which Owner's and Engineer's employees and representatives must comply while at the Site.
- Contractor's duties and responsibilities for safety and protection will continue until all the Work is completed, Engineer has issued a written notice to Owner and Contractor in accordance with Paragraph 15.06.C that the Work is acceptable, and Contractor has left the Site (except as otherwise expressly provided in connection with Substantial Completion).

J. Contractor's duties and responsibilities for safety and protection will resume whenever Contractor or any Subcontractor or Supplier returns to the Site to fulfill warranty or correction obligations, or to conduct other tasks arising from the Contract Documents.

# 7.14 Hazard Communication Programs

A. Contractor shall be responsible for coordinating any exchange of safety data sheets (formerly known as material safety data sheets) or other hazard communication information required to be made available to or exchanged between or among employers at the Site in accordance with Laws or Regulations.

# 7.15 Emergencies

A. In emergencies affecting the safety or protection of persons or the Work or property at the Site or adjacent thereto, Contractor is obligated to act to prevent damage, injury, or loss. Contractor shall give Engineer prompt written notice if Contractor believes that any significant changes in the Work or variations from the Contract Documents have been caused by an emergency, or are required as a result of Contractor's response to an emergency. If Engineer determines that a change in the Contract Documents is required because of an emergency or Contractor's response, a Work Change Directive or Change Order will be issued.

#### 7.16 Submittals

- A. Shop Drawing and Sample Requirements
  - 1. Before submitting a Shop Drawing or Sample, Contractor shall:
    - review and coordinate the Shop Drawing or Sample with other Shop Drawings and Samples and with the requirements of the Work and the Contract Documents;
    - b. determine and verify:
      - 16) 1) all field measurements, quantities, dimensions, specified performance and design criteria, installation requirements, materials, catalog numbers, and similar information with respect to the Submittal;
      - 17) 2) the suitability of all materials and equipment offered with respect to the indicated application, fabrication, shipping, handling, storage, assembly, and installation pertaining to the performance of the Work; and
      - 18) 3) all information relative to Contractor's responsibilities for means, methods, techniques, sequences, and procedures of construction, and safety precautions and programs incident thereto;
    - c. confirm that the Submittal is complete with respect to all related data included in the Submittal.
  - Each Shop Drawing or Sample must bear a stamp or specific written certification that Contractor has satisfied Contractor's obligations under the Contract Documents with respect to Contractor's review of that Submittal, and that Contractor approves the Submittal.
  - 3. With each Shop Drawing or Sample, Contractor shall give Engineer specific written notice of any variations that the Submittal may have from the requirements of the

Contract Documents. This notice must be set forth in a written communication separate from the Submittal; and, in addition, in the case of a Shop Drawing by a specific notation made on the Shop Drawing itself.

B. Submittal Procedures for Shop Drawings and Samples: Contractor shall label and submit Shop Drawings and Samples to Engineer for review and approval in accordance with the accepted Schedule of Submittals.

## 1. Shop Drawings

- a. Contractor shall submit the number of copies required in the Specifications.
- b. Data shown on the Shop Drawings must be complete with respect to quantities, dimensions, specified performance and design criteria, materials, and similar data to show Engineer the services, materials, and equipment Contractor proposes to provide, and to enable Engineer to review the information for the limited purposes required by Paragraph 7.16.C.

#### 2. Samples

- a. Contractor shall submit the number of Samples required in the Specifications.
- b. Contractor shall clearly identify each Sample as to material, Supplier, pertinent data such as catalog numbers, the use for which intended and other data as Engineer may require to enable Engineer to review the Submittal for the limited purposes required by Paragraph 7.16.C.
- 3. Where a Shop Drawing or Sample is required by the Contract Documents or the Schedule of Submittals, any related Work performed prior to Engineer's review and approval of the pertinent submittal will be at the sole expense and responsibility of Contractor.

## C. Engineer's Review of Shop Drawings and Samples

- Engineer will provide timely review of Shop Drawings and Samples in accordance with
  the accepted Schedule of Submittals. Engineer's review and approval will be only to
  determine if the items covered by the Submittals will, after installation or
  incorporation in the Work, comply with the requirements of the Contract Documents,
  and be compatible with the design concept of the completed Project as a functioning
  whole as indicated by the Contract Documents.
- 2. Engineer's review and approval will not extend to means, methods, techniques, sequences, or procedures of construction, or to safety precautions or programs incident thereto.
- 3. Engineer's review and approval of a separate item as such will not indicate approval of the assembly in which the item functions.
- 4. Engineer's review and approval of a Shop Drawing or Sample will not relieve Contractor from responsibility for any variation from the requirements of the Contract Documents unless Contractor has complied with the requirements of Paragraph 7.16.A.3 and Engineer has given written approval of each such variation by specific written notation thereof incorporated in or accompanying the Shop Drawing or Sample. Engineer will document any such approved variation from the

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- requirements of the Contract Documents in a Field Order or other appropriate Contract modification.
- 5. Engineer's review and approval of a Shop Drawing or Sample will not relieve Contractor from responsibility for complying with the requirements of Paragraphs 7.16.A and B.
- 6. Engineer's review and approval of a Shop Drawing or Sample, or of a variation from the requirements of the Contract Documents, will not, under any circumstances, change the Contract Times or Contract Price, unless such changes are included in a Change Order.
- 7. Neither Engineer's receipt, review, acceptance, or approval of a Shop Drawing or Sample will result in such item becoming a Contract Document.
- Contractor shall perform the Work in compliance with the requirements and commitments set forth in approved Shop Drawings and Samples, subject to the provisions of Paragraph 7.16.C.4.

## D. Resubmittal Procedures for Shop Drawings and Samples

- Contractor shall make corrections required by Engineer and shall return the required number of corrected copies of Shop Drawings and submit, as required, new Samples for review and approval. Contractor shall direct specific attention in writing to revisions other than the corrections called for by Engineer on previous Submittals.
- 2. Contractor shall furnish required Shop Drawing and Sample submittals with sufficient information and accuracy to obtain required approval of an item with no more than two resubmittals. Engineer will record Engineer's time for reviewing a third or subsequent resubmittal of a Shop Drawing or Sample, and Contractor shall be responsible for Engineer's charges to Owner for such time. Owner may impose a set-off against payments due Contractor to secure reimbursement for such charges.
- 3. If Contractor requests a change of a previously approved Shop Drawing or Sample, Contractor shall be responsible for Engineer's charges to Owner for its review time, and Owner may impose a set-off against payments due Contractor to secure reimbursement for such charges, unless the need for such change is beyond the control of Contractor.

## E. Submittals Other than Shop Drawings, Samples, and Owner-Delegated Designs

- The following provisions apply to all Submittals other than Shop Drawings, Samples, and Owner-delegated designs:
  - Contractor shall submit all such Submittals to the Engineer in accordance with the Schedule of Submittals and pursuant to the applicable terms of the Contract Documents.
  - b. Engineer will provide timely review of all such Submittals in accordance with the Schedule of Submittals and return such Submittals with a notation of either Accepted or Not Accepted. Any such Submittal that is not returned within the time established in the Schedule of Submittals will be deemed accepted.

- c. Engineer's review will be only to determine if the Submittal is acceptable under the requirements of the Contract Documents as to general form and content of the Submittal.
- d. If any such Submittal is not accepted, Contractor shall confer with Engineer regarding the reason for the non-acceptance, and resubmit an acceptable document.
- 2. Procedures for the submittal and acceptance of the Progress Schedule, the Schedule of Submittals, and the Schedule of Values are set forth in Paragraphs 2.03. 2.04, and 2.05.
- F. Owner-delegated Designs: Submittals pursuant to Owner-delegated designs are governed by the provisions of Paragraph 7.19.

# 7.17 Contractor's General Warranty and Guarantee

- A. Contractor warrants and guarantees to Owner that all Work will be in accordance with the Contract Documents and will not be defective. Engineer is entitled to rely on Contractor's warranty and guarantee.
- Owner's rights under this warranty and guarantee are in addition to, and are not limited by, Owner's rights under the correction period provisions of Paragraph 15.08. The time in which Owner may enforce its warranty and guarantee rights under this Paragraph 7.17 is limited only by applicable Laws and Regulations restricting actions to enforce such rights; provided, however, that after the end of the correction period under Paragraph 15.08:
  - Owner shall give Contractor written notice of any defective Work within 60 days of the discovery that such Work is defective; and
  - Such notice will be deemed the start of an event giving rise to a Claim under Paragraph 12.01.B, such that any related Claim must be brought within 30 days of the notice.
- C. Contractor's warranty and guarantee hereunder excludes defects or damage caused by:
  - abuse, or improper modification, maintenance, or operation, by persons other than Contractor, Subcontractors, Suppliers, or any other individual or entity for whom Contractor is responsible; or
  - 2. normal wear and tear under normal usage.
- D. Contractor's obligation to perform and complete the Work in accordance with the Contract Documents is absolute. None of the following will constitute an acceptance of Work that is not in accordance with the Contract Documents, a release of Contractor's obligation to perform the Work in accordance with the Contract Documents, or a release of Owner's warranty and guarantee rights under this Paragraph 7.17:
  - 1. Observations by Engineer;
  - 2. Recommendation by Engineer or payment by Owner of any progress or final payment;
  - 3. The issuance of a certificate of Substantial Completion by Engineer or any payment related thereto by Owner;
  - 4. Use or occupancy of the Work or any part thereof by Owner;
  - 5. Any review and approval of a Shop Drawing or Sample submittal;

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- 6. The issuance of a notice of acceptability by Engineer;
- 7. The end of the correction period established in Paragraph 15.08;
- 8. Any inspection, test, or approval by others; or
- 9. Any correction of defective Work by Owner.
- E. If the Contract requires the Contractor to accept the assignment of a contract entered into by Owner, then the specific warranties, guarantees, and correction obligations contained in the assigned contract will govern with respect to Contractor's performance obligations to Owner for the Work described in the assigned contract.

#### 7.18 Indemnification

- A. To the fullest extent permitted by Laws and Regulations, and in addition to any other obligations of Contractor under the Contract or otherwise, Contractor shall indemnify and hold harmless Owner and Engineer, and the officers, directors, members, partners, employees, agents, consultants and subcontractors of each and any of them, from losses, damages, costs, and judgments (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals, and all court or arbitration or other dispute resolution costs) arising from third-party claims or actions relating to or resulting from the performance or furnishing of the Work, provided that any such claim, action, loss, cost, judgment or damage is attributable to bodily injury, sickness, disease, or death, or to damage to or destruction of tangible property (other than the Work itself), including the loss of use resulting therefrom, but only to the extent caused by any negligent act or omission of Contractor, any Subcontractor, any Supplier, or any individual or entity directly or indirectly employed by any of them to perform any of the Work, or anyone for whose acts any of them may be liable.
- B. In any and all claims against Owner or Engineer, or any of their officers, directors, members, partners, employees, agents, consultants, or subcontractors, by any employee (or the survivor or personal representative of such employee) of Contractor, any Subcontractor, any Supplier, or any individual or entity directly or indirectly employed by any of them to perform any of the Work, or anyone for whose acts any of them may be liable, the indemnification obligation under Paragraph 7.18.A will not be limited in any way by any limitation on the amount or type of damages, compensation, or benefits payable by or for Contractor or any such Subcontractor, Supplier, or other individual or entity under workers' compensation acts, disability benefit acts, or other employee benefit acts.

# 7.19 Delegation of Professional Design Services

- A. Owner may require Contractor to provide professional design services for a portion of the Work by express delegation in the Contract Documents. Such delegation will specify the performance and design criteria that such services must satisfy, and the Submittals that Contractor must furnish to Engineer with respect to the Owner-delegated design.
- B. Contractor shall cause such Owner-delegated professional design services to be provided pursuant to the professional standard of care by a properly licensed design professional, whose signature and seal must appear on all drawings, calculations, specifications, certifications, and Submittals prepared by such design professional. Such design professional must issue all certifications of design required by Laws and Regulations.

- C. If a Shop Drawing or other Submittal related to the Owner-delegated design is prepared by Contractor, a Subcontractor, or others for submittal to Engineer, then such Shop Drawing or other Submittal must bear the written approval of Contractor's design professional when submitted by Contractor to Engineer.
- D. Owner and Engineer shall be entitled to rely upon the adequacy, accuracy, and completeness of the services, certifications, and approvals performed or provided by the design professionals retained or employed by Contractor under an Owner-delegated design, subject to the professional standard of care and the performance and design criteria stated in the Contract Documents.
- E. Pursuant to this Paragraph 7.19, Engineer's review, approval, and other determinations regarding design drawings, calculations, specifications, certifications, and other Submittals furnished by Contractor pursuant to an Owner-delegated design will be only for the following limited purposes:
  - 1. Checking for conformance with the requirements of this Paragraph 7.19;
  - 2. Confirming that Contractor (through its design professionals) has used the performance and design criteria specified in the Contract Documents; and
  - 3. Establishing that the design furnished by Contractor is consistent with the design concept expressed in the Contract Documents.
- F. Contractor shall not be responsible for the adequacy of performance or design criteria specified by Owner or Engineer.
- G. Contractor is not required to provide professional services in violation of applicable Laws and Regulations.

#### ARTICLE 8—ARTICLE 8—OTHER WORK AT THE SITE

#### 8.01 Other Work

- A. In addition to and apart from the Work under the Contract Documents, the Owner may perform other work at or adjacent to the Site. Such other work may be performed by Owner's employees, or through contracts between the Owner and third parties. Owner may also arrange to have third-party utility owners perform work on their utilities and facilities at or adjacent to the Site.
- B. If Owner performs other work at or adjacent to the Site with Owner's employees, or through contracts for such other work, then Owner shall give Contractor written notice thereof prior to starting any such other work. If Owner has advance information regarding the start of any third-party utility work that Owner has arranged to take place at or adjacent to the Site, Owner shall provide such information to Contractor.
- C. Contractor shall afford proper and safe access to the Site to each contractor that performs such other work, each utility owner performing other work, and Owner, if Owner is performing other work with Owner's employees, and provide a reasonable opportunity for the introduction and storage of materials and equipment and the execution of such other work.
- D. Contractor shall do all cutting, fitting, and patching of the Work that may be required to properly connect or otherwise make its several parts come together and properly integrate

with such other work. Contractor shall not endanger any work of others by cutting, excavating, or otherwise altering such work; provided, however, that Contractor may cut or alter others' work with the written consent of Engineer and the others whose work will be affected.

- E. If the proper execution or results of any part of Contractor's Work depends upon work performed by others, Contractor shall inspect such other work and promptly report to Engineer in writing any delays, defects, or deficiencies in such other work that render it unavailable or unsuitable for the proper execution and results of Contractor's Work. Contractor's failure to so report will constitute an acceptance of such other work as fit and proper for integration with Contractor's Work except for latent defects and deficiencies in such other work.
- F. The provisions of this article are not applicable to work that is performed by third-party utilities or other third-party entities without a contract with Owner, or that is performed without having been arranged by Owner. If such work occurs, then any related delay, disruption, or interference incurred by Contractor is governed by the provisions of Paragraph 4.05.C.3.

#### 8.02 Coordination

- A. If Owner intends to contract with others for the performance of other work at or adjacent to the Site, to perform other work at or adjacent to the Site with Owner's employees, or to arrange to have utility owners perform work at or adjacent to the Site, the following will be set forth in the Supplementary Conditions or provided to Contractor prior to the start of any such other work:
  - 1. The identity of the individual or entity that will have authority and responsibility for coordination of the activities among the various contractors;
  - 2. An itemization of the specific matters to be covered by such authority and responsibility; and
  - 3. The extent of such authority and responsibilities.
- B. Unless otherwise provided in the Supplementary Conditions, Owner shall have sole authority and responsibility for such coordination.

## 8.03 Legal Relationships

A. If, in the course of performing other work for Owner at or adjacent to the Site, the Owner's employees, any other contractor working for Owner, or any utility owner that Owner has arranged to perform work, causes damage to the Work or to the property of Contractor or its Subcontractors, or delays, disrupts, interferes with, or increases the scope or cost of the performance of the Work, through actions or inaction, then Contractor shall be entitled to an equitable adjustment in the Contract Price or the Contract Times. Contractor must submit any Change Proposal seeking an equitable adjustment in the Contract Price or the Contract Times under this paragraph within 30 days of the damaging, delaying, disrupting, or interfering event. The entitlement to, and extent of, any such equitable adjustment will take into account information (if any) regarding such other work that was provided to Contractor in the Contract Documents prior to the submittal of the Bid or the final negotiation of the terms of the Contract, and any remedies available to Contractor under Laws or Regulations concerning utility action or inaction. When applicable, any such

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equitable adjustment in Contract Price will be conditioned on Contractor assigning to Owner all Contractor's rights against such other contractor or utility owner with respect to the damage, delay, disruption, or interference that is the subject of the adjustment. Contractor's entitlement to an adjustment of the Contract Times or Contract Price is subject to the provisions of Paragraphs 4.05.D and 4.05.E.

- B. Contractor shall take reasonable and customary measures to avoid damaging, delaying, disrupting, or interfering with the work of Owner, any other contractor, or any utility owner performing other work at or adjacent to the Site.
  - If Contractor fails to take such measures and as a result damages, delays, disrupts, or interferes with the work of any such other contractor or utility owner, then Owner may impose a set-off against payments due Contractor, and assign to such other contractor or utility owner the Owner's contractual rights against Contractor with respect to the breach of the obligations set forth in this Paragraph 8.03.B.
  - 2. When Owner is performing other work at or adjacent to the Site with Owner's employees, Contractor shall be liable to Owner for damage to such other work, and for the reasonable direct delay, disruption, and interference costs incurred by Owner as a result of Contractor's failure to take reasonable and customary measures with respect to Owner's other work. In response to such damage, delay, disruption, or interference, Owner may impose a set-off against payments due Contractor.
- C. If Contractor damages, delays, disrupts, or interferes with the work of any other contractor, or any utility owner performing other work at or adjacent to the Site, through Contractor's failure to take reasonable and customary measures to avoid such impacts, or if any claim arising out of Contractor's actions, inactions, or negligence in performance of the Work at or adjacent to the Site is made by any such other contractor or utility owner against Contractor, Owner, or Engineer, then Contractor shall (1) promptly attempt to settle the claim as to all parties through negotiations with such other contractor or utility owner, or otherwise resolve the claim by arbitration or other dispute resolution proceeding or at law, and (2) indemnify and hold harmless Owner and Engineer, and the officers, directors, members, partners, employees, agents, consultants and subcontractors of each and any of them from and against any such claims, and against all costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to such damage, delay, disruption, or interference.

## ARTICLE 9—ARTICLE 9—OWNER'S RESPONSIBILITIES

## 9.01 Communications to Contractor

A. Except as otherwise provided in these General Conditions, Owner shall issue all communications to Contractor through Engineer.

## 9.02 Replacement of Engineer

A. Owner may at its discretion appoint an engineer to replace Engineer, provided Contractor makes no reasonable objection to the replacement engineer. The replacement engineer's status under the Contract Documents will be that of the former Engineer.

#### 9.03 Furnish Data

A. Owner shall promptly furnish the data required of Owner under the Contract Documents.

#### 9.04 Pay When Due

A. Owner shall make payments to Contractor when they are due as provided in the Agreement.

## 9.05 Lands and Easements; Reports, Tests, and Drawings

- A. Owner's duties with respect to providing lands and easements are set forth in Paragraph 5.01.
- B. Owner's duties with respect to providing engineering surveys to establish reference points are set forth in Paragraph 4.03.
- C. Article 5 refers to Owner's identifying and making available to Contractor copies of reports of explorations and tests of conditions at the Site, and drawings of physical conditions relating to existing surface or subsurface structures at the Site.

#### 9.06 Insurance

A. Owner's responsibilities, if any, with respect to purchasing and maintaining liability and property insurance are set forth in Article 6.

## 9.07 Change Orders

A. Owner's responsibilities with respect to Change Orders are set forth in Article 11.

## 9.08 Inspections, Tests, and Approvals

A. Owner's responsibility with respect to certain inspections, tests, and approvals is set forth in Paragraph 14.02.B.

## 9.09 Limitations on Owner's Responsibilities

A. The Owner shall not supervise, direct, or have control or authority over, nor be responsible for, Contractor's means, methods, techniques, sequences, or procedures of construction, or the safety precautions and programs incident thereto, or for any failure of Contractor to comply with Laws and Regulations applicable to the performance of the Work. Owner will not be responsible for Contractor's failure to perform the Work in accordance with the Contract Documents.

## 9.10 Undisclosed Hazardous Environmental Condition

A. Owner's responsibility in respect to an undisclosed Hazardous Environmental Condition is set forth in Paragraph 5.06.

## 9.11 Evidence of Financial Arrangements

A. Upon request of Contractor, Owner shall furnish Contractor reasonable evidence that financial arrangements have been made to satisfy Owner's obligations under the Contract (including obligations under proposed changes in the Work).

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## 9.12 Safety Programs

- A. While at the Site, Owner's employees and representatives shall comply with the specific applicable requirements of Contractor's safety programs of which Owner has been informed.
- B. Owner shall furnish copies of any applicable Owner safety programs to Contractor.

#### ARTICLE 10—ARTICLE 10—ENGINEER'S STATUS DURING CONSTRUCTION

#### 10.01 Owner's Representative

A. Engineer will be Owner's representative during the construction period. The duties and responsibilities and the limitations of authority of Engineer as Owner's representative during construction are set forth in the Contract.

## 10.02 Visits to Site

- A. Engineer will make visits to the Site at intervals appropriate to the various stages of construction as Engineer deems necessary in order to observe, as an experienced and qualified design professional, the progress that has been made and the quality of the various aspects of Contractor's executed Work. Based on information obtained during such visits and observations, Engineer, for the benefit of Owner, will determine, in general, if the Work is proceeding in accordance with the Contract Documents. Engineer will not be required to make exhaustive or continuous inspections on the Site to check the quality or quantity of the Work. Engineer's efforts will be directed toward providing for Owner a greater degree of confidence that the completed Work will conform generally to the Contract Documents. On the basis of such visits and observations, Engineer will keep Owner informed of the progress of the Work and will endeavor to guard Owner against defective Work.
- B. Engineer's visits and observations are subject to all the limitations on Engineer's authority and responsibility set forth in Paragraph 10.07. Particularly, but without limitation, during or as a result of Engineer's visits or observations of Contractor's Work, Engineer will not supervise, direct, control, or have authority over or be responsible for Contractor's means, methods, techniques, sequences, or procedures of construction, or the safety precautions and programs incident thereto, or for any failure of Contractor to comply with Laws and Regulations applicable to the performance of the Work.

## 10.03 Resident Project Representative

- A. If Owner and Engineer have agreed that Engineer will furnish a Resident Project Representative to represent Engineer at the Site and assist Engineer in observing the progress and quality of the Work, then the authority and responsibilities of any such Resident Project Representative will be as provided in the Supplementary Conditions, and limitations on the responsibilities thereof will be as provided in the Supplementary Conditions and in Paragraph 10.07.
- B. If Owner designates an individual or entity who is not Engineer's consultant, agent, or employee to represent Owner at the Site, then the responsibilities and authority of such individual or entity will be as provided in the Supplementary Conditions.

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## 10.04 Engineer's Authority

- A. Engineer has the authority to reject Work in accordance with Article 14.
- B. Engineer's authority as to Submittals is set forth in Paragraph 7.16.
- C. Engineer's authority as to design drawings, calculations, specifications, certifications and other Submittals from Contractor in response to Owner's delegation (if any) to Contractor of professional design services, is set forth in Paragraph 7.19.
- D. Engineer's authority as to changes in the Work is set forth in Article 11.
- E. Engineer's authority as to Applications for Payment is set forth in Article 15.

#### 10.05 Determinations for Unit Price Work

A. Engineer will determine the actual quantities and classifications of Unit Price Work performed by Contractor as set forth in Paragraph 13.03.

## 10.06 Decisions on Requirements of Contract Documents and Acceptability of Work

A. Engineer will render decisions regarding the requirements of the Contract Documents, and judge the acceptability of the Work, pursuant to the specific procedures set forth herein for initial interpretations, Change Proposals, and acceptance of the Work. In rendering such decisions and judgments, Engineer will not show partiality to Owner or Contractor, and will not be liable to Owner, Contractor, or others in connection with any proceedings, interpretations, decisions, or judgments conducted or rendered in good faith.

## 10.07 Limitations on Engineer's Authority and Responsibilities

- A. Neither Engineer's authority or responsibility under this Article 10 or under any other provision of the Contract, nor any decision made by Engineer in good faith either to exercise or not exercise such authority or responsibility or the undertaking, exercise, or performance of any authority or responsibility by Engineer, will create, impose, or give rise to any duty in contract, tort, or otherwise owed by Engineer to Contractor, any Subcontractor, any Supplier, any other individual or entity, or to any surety for or employee or agent of any of them.
- B. Engineer will not supervise, direct, control, or have authority over or be responsible for Contractor's means, methods, techniques, sequences, or procedures of construction, or the safety precautions and programs incident thereto, or for any failure of Contractor to comply with Laws and Regulations applicable to the performance of the Work. Engineer will not be responsible for Contractor's failure to perform the Work in accordance with the Contract Documents.
- C. Engineer will not be responsible for the acts or omissions of Contractor or of any Subcontractor, any Supplier, or of any other individual or entity performing any of the Work.
- D. Engineer's review of the final Application for Payment and accompanying documentation, and all maintenance and operating instructions, schedules, guarantees, bonds, certificates of inspection, tests and approvals, and other documentation required to be delivered by Contractor under Paragraph 15.06.A, will only be to determine generally that their content complies with the requirements of, and in the case of certificates of inspections, tests, and approvals, that the results certified indicate compliance with the Contract Documents.

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E. The limitations upon authority and responsibility set forth in this Paragraph 10.07 also apply to the Resident Project Representative, if any.

#### 10.08 Compliance with Safety Program

A. While at the Site, Engineer's employees and representatives will comply with the specific applicable requirements of Owner's and Contractor's safety programs of which Engineer has been informed.

#### ARTICLE 11—ARTICLE 11—CHANGES TO THE CONTRACT

#### 11.01 Amending and Supplementing the Contract

- A. The Contract may be amended or supplemented by a Change Order, a Work Change Directive, or a Field Order.
- B. If an amendment or supplement to the Contract includes a change in the Contract Price or the Contract Times, such amendment or supplement must be set forth in a Change Order.
- C. All changes to the Contract that involve (1) the performance or acceptability of the Work, (2) the design (as set forth in the Drawings, Specifications, or otherwise), or (3) other engineering or technical matters, must be supported by Engineer's recommendation. Owner and Contractor may amend other terms and conditions of the Contract without the recommendation of the Engineer.

## 11.02 Change Orders

- A. Owner and Contractor shall execute appropriate Change Orders covering:
  - 1. Changes in Contract Price or Contract Times which are agreed to by the parties, including any undisputed sum or amount of time for Work actually performed in accordance with a Work Change Directive;
  - Changes in Contract Price resulting from an Owner set-off, unless Contractor has duly contested such set-off;
  - 3. Changes in the Work which are: (a) ordered by Owner pursuant to Paragraph 11.05, (b) required because of Owner's acceptance of defective Work under Paragraph 14.04 or Owner's correction of defective Work under Paragraph 14.07, or (c) agreed to by the parties, subject to the need for Engineer's recommendation if the change in the Work involves the design (as set forth in the Drawings, Specifications, or otherwise) or other engineering or technical matters; and
  - 4. Changes that embody the substance of any final and binding results under: Paragraph 11.03.B, resolving the impact of a Work Change Directive; Paragraph 11.09, concerning Change Proposals; Article 12, Claims; Paragraph 13.02.D, final adjustments resulting from allowances; Paragraph 13.03.D, final adjustments relating to determination of quantities for Unit Price Work; and similar provisions.
- B. If Owner or Contractor refuses to execute a Change Order that is required to be executed under the terms of Paragraph 11.02.A, it will be deemed to be of full force and effect, as if fully executed.

## 11.03 Work Change Directives

A. A Work Change Directive will not change the Contract Price or the Contract Times but is evidence that the parties expect that the modification ordered or documented by a Work Change Directive will be incorporated in a subsequently issued Change Order, following negotiations by the parties as to the Work Change Directive's effect, if any, on the Contract Price and Contract Times; or, if negotiations are unsuccessful, by a determination under the terms of the Contract Documents governing adjustments, expressly including Paragraph 11.07 regarding change of Contract Price.

#### B. If Owner has issued a Work Change Directive and:

- 1. Contractor believes that an adjustment in Contract Times or Contract Price is necessary, then Contractor shall submit any Change Proposal seeking such an adjustment no later than 30 days after the completion of the Work set out in the Work Change Directive.
- 2. Owner believes that an adjustment in Contract Times or Contract Price is necessary, then Owner shall submit any Claim seeking such an adjustment no later than 60 days after issuance of the Work Change Directive.

#### 11.04 Field Orders

- A. Engineer may authorize minor changes in the Work if the changes do not involve an adjustment in the Contract Price or the Contract Times and are compatible with the design concept of the completed Project as a functioning whole as indicated by the Contract Documents. Such changes will be accomplished by a Field Order and will be binding on Owner and also on Contractor, which shall perform the Work involved promptly.
- B. If Contractor believes that a Field Order justifies an adjustment in the Contract Price or Contract Times, then before proceeding with the Work at issue, Contractor shall submit a Change Proposal as provided herein.

#### 11.05 Owner-Authorized Changes in the Work

- A. Without invalidating the Contract and without notice to any surety, Owner may, at any time or from time to time, order additions, deletions, or revisions in the Work. Changes involving the design (as set forth in the Drawings, Specifications, or otherwise) or other engineering or technical matters will be supported by Engineer's recommendation.
- B. Such changes in the Work may be accomplished by a Change Order, if Owner and Contractor have agreed as to the effect, if any, of the changes on Contract Times or Contract Price; or by a Work Change Directive. Upon receipt of any such document, Contractor shall promptly proceed with the Work involved; or, in the case of a deletion in the Work, promptly cease construction activities with respect to such deleted Work. Added or revised Work must be performed under the applicable conditions of the Contract Documents.
- C. Nothing in this Paragraph 11.05 obligates Contractor to undertake work that Contractor reasonably concludes cannot be performed in a manner consistent with Contractor's safety obligations under the Contract Documents or Laws and Regulations.

## 11.06 Unauthorized Changes in the Work

A. Contractor shall not be entitled to an increase in the Contract Price or an extension of the Contract Times with respect to any work performed that is not required by the Contract Documents, as amended, modified, or supplemented, except in the case of an emergency as provided in Paragraph 7.15 or in the case of uncovering Work as provided in Paragraph 14.05.C.2.

#### 11.07 Change of Contract Price

- A. The Contract Price may only be changed by a Change Order. Any Change Proposal for an adjustment in the Contract Price must comply with the provisions of Paragraph 11.09. Any Claim for an adjustment of Contract Price must comply with the provisions of Article 12.
- B. An adjustment in the Contract Price will be determined as follows:
  - 1. Where the Work involved is covered by unit prices contained in the Contract Documents, then by application of such unit prices to the quantities of the items involved (subject to the provisions of Paragraph 13.03);
  - Where the Work involved is not covered by unit prices contained in the Contract Documents, then by a mutually agreed lump sum (which may include an allowance for overhead and profit not necessarily in accordance with Paragraph 11.07.C.2); or
  - 3. Where the Work involved is not covered by unit prices contained in the Contract Documents and the parties do not reach mutual agreement to a lump sum, then on the basis of the Cost of the Work (determined as provided in Paragraph 13.01) plus a Contractor's fee for overhead and profit (determined as provided in Paragraph 11.07.C).
- C. *Contractor's Fee*: When applicable, the Contractor's fee for overhead and profit will be determined as follows:
  - 1. A mutually acceptable fixed fee; or
  - 2. If a fixed fee is not agreed upon, then a fee based on the following percentages of the various portions of the Cost of the Work:
    - a. For costs incurred under Paragraphs 13.01.B.1 and 13.01.B.2, the Contractor's fee will be 15 percent;
    - b. For costs incurred under Paragraph 13.01.B.3, the Contractor's fee will be 5 percent;
    - c. Where one or more tiers of subcontracts are on the basis of Cost of the Work plus a fee and no fixed fee is agreed upon, the intent of Paragraphs 11.07.C.2.a and 11.07.C.2.b is that the Contractor's fee will be based on: (1) a fee of 15 percent of the costs incurred under Paragraphs 13.01.B.1 and 13.01.B.2 by the Subcontractor that actually performs the Work, at whatever tier, and (2) with respect to Contractor itself and to any Subcontractors of a tier higher than that of the Subcontractor that actually performs the Work, a fee of 5 percent of the amount (fee plus underlying costs incurred) attributable to the next lower tier Subcontractor; provided, however, that for any such subcontracted Work the maximum total fee to be paid by Owner will be no greater than 27 percent of the costs incurred by the Subcontractor that actually performs the Work;

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d. No fee will be payable on the basis of costs itemized under Paragraphs 13.01.B.4, 13.01.B.5, and 13.01.C;

- e. The amount of credit to be allowed by Contractor to Owner for any change which results in a net decrease in Cost of the Work will be the amount of the actual net decrease in Cost of the Work and a deduction of an additional amount equal to 5 percent of such actual net decrease in Cost of the Work; and
- f. When both additions and credits are involved in any one change or Change Proposal, the adjustment in Contractor's fee will be computed by determining the sum of the costs in each of the cost categories in Paragraph 13.01.B (specifically, payroll costs, Paragraph 13.01.B.1; incorporated materials and equipment costs, Paragraph 13.01.B.2; Subcontract costs, Paragraph 13.01.B.3; special consultants costs, Paragraph 13.01.B.4; and other costs, Paragraph 13.01.B.5) and applying to each such cost category sum the appropriate fee from Paragraphs 11.07.C.2.a through 11.07.C.2.e, inclusive.

#### 11.08 Change of Contract Times

- A. The Contract Times may only be changed by a Change Order. Any Change Proposal for an adjustment in the Contract Times must comply with the provisions of Paragraph 11.09. Any Claim for an adjustment in the Contract Times must comply with the provisions of Article 12.
- B. Delay, disruption, and interference in the Work, and any related changes in Contract Times, are addressed in and governed by Paragraph 4.05.

## 11.09 Change Proposals

A. Purpose and Content: Contractor shall submit a Change Proposal to Engineer to request an adjustment in the Contract Times or Contract Price; contest an initial decision by Engineer concerning the requirements of the Contract Documents or relating to the acceptability of the Work under the Contract Documents; challenge a set-off against payment due; or seek other relief under the Contract. The Change Proposal will specify any proposed change in Contract Times or Contract Price, or other proposed relief, and explain the reason for the proposed change, with citations to any governing or applicable provisions of the Contract Documents. Each Change Proposal will address only one issue, or a set of closely related issues.

#### B. Change Proposal Procedures

- 1. Submittal: Contractor shall submit each Change Proposal to Engineer within 30 days after the start of the event giving rise thereto, or after such initial decision.
- 2. Supporting Data: The Contractor shall submit supporting data, including the proposed change in Contract Price or Contract Time (if any), to the Engineer and Owner within 15 days after the submittal of the Change Proposal.
  - a. Change Proposals based on or related to delay, interruption, or interference must comply with the provisions of Paragraphs 4.05.D and 4.05.E.
  - b. Change proposals related to a change of Contract Price must include full and detailed accounts of materials incorporated into the Work and labor and equipment used for the subject Work.

The supporting data must be accompanied by a written statement that the supporting data are accurate and complete, and that any requested time or price adjustment is the entire adjustment to which Contractor believes it is entitled as a result of said event.

- 3. Engineer's Initial Review: Engineer will advise Owner regarding the Change Proposal, and consider any comments or response from Owner regarding the Change Proposal. If in its discretion Engineer concludes that additional supporting data is needed before conducting a full review and making a decision regarding the Change Proposal, then Engineer may request that Contractor submit such additional supporting data by a date specified by Engineer, prior to Engineer beginning its full review of the Change Proposal.
- 4. Engineer's Full Review and Action on the Change Proposal: Upon receipt of Contractor's supporting data (including any additional data requested by Engineer), Engineer will conduct a full review of each Change Proposal and, within 30 days after such receipt of the Contractor's supporting data, either approve the Change Proposal in whole, deny it in whole, or approve it in part and deny it in part. Such actions must be in writing, with a copy provided to Owner and Contractor. If Engineer does not take action on the Change Proposal within 30 days, then either Owner or Contractor may at any time thereafter submit a letter to the other party indicating that as a result of Engineer's inaction the Change Proposal is deemed denied, thereby commencing the time for appeal of the denial under Article 12.
- 5. *Binding Decision*: Engineer's decision is final and binding upon Owner and Contractor, unless Owner or Contractor appeals the decision by filing a Claim under Article 12.
- C. Resolution of Certain Change Proposals: If the Change Proposal does not involve the design (as set forth in the Drawings, Specifications, or otherwise), the acceptability of the Work, or other engineering or technical matters, then Engineer will notify the parties in writing that the Engineer is unable to resolve the Change Proposal. For purposes of further resolution of such a Change Proposal, such notice will be deemed a denial, and Contractor may choose to seek resolution under the terms of Article 12.
- D. *Post-Completion*: Contractor shall not submit any Change Proposals after Engineer issues a written recommendation of final payment pursuant to Paragraph 15.06.B.

#### 11.10 Notification to Surety

A. If the provisions of any bond require notice to be given to a surety of any change affecting the general scope of the Work or the provisions of the Contract Documents (including, but not limited to, Contract Price or Contract Times), the giving of any such notice will be Contractor's responsibility. The amount of each applicable bond will be adjusted to reflect the effect of any such change.

## ARTICLE 12—ARTICLE 12—CLAIMS

#### 12.01 Claims

- A. *Claims Process*: The following disputes between Owner and Contractor are subject to the Claims process set forth in this article:
  - 1. Appeals by Owner or Contractor of Engineer's decisions regarding Change Proposals;

- Owner demands for adjustments in the Contract Price or Contract Times, or other relief under the Contract Documents;
- 3. Disputes that Engineer has been unable to address because they do not involve the design (as set forth in the Drawings, Specifications, or otherwise), the acceptability of the Work, or other engineering or technical matters; and
- 4. Subject to the waiver provisions of Paragraph 15.07, any dispute arising after Engineer has issued a written recommendation of final payment pursuant to Paragraph 15.06.B.
- B. Submittal of Claim: The party submitting a Claim shall deliver it directly to the other party to the Contract promptly (but in no event later than 30 days) after the start of the event giving rise thereto; in the case of appeals regarding Change Proposals within 30 days of the decision under appeal. The party submitting the Claim shall also furnish a copy to the Engineer, for its information only. The responsibility to substantiate a Claim rests with the party making the Claim. In the case of a Claim by Contractor seeking an increase in the Contract Times or Contract Price, Contractor shall certify that the Claim is made in good faith, that the supporting data are accurate and complete, and that to the best of Contractor's knowledge and belief the amount of time or money requested accurately reflects the full amount to which Contractor is entitled.
- C. Review and Resolution: The party receiving a Claim shall review it thoroughly, giving full consideration to its merits. The two parties shall seek to resolve the Claim through the exchange of information and direct negotiations. The parties may extend the time for resolving the Claim by mutual agreement. All actions taken on a Claim will be stated in writing and submitted to the other party, with a copy to Engineer.

#### D. Mediation

- 1. At any time after initiation of a Claim, Owner and Contractor may mutually agree to mediation of the underlying dispute. The agreement to mediate will stay the Claim submittal and response process.
- 2. If Owner and Contractor agree to mediation, then after 60 days from such agreement, either Owner or Contractor may unilaterally terminate the mediation process, and the Claim submittal and decision process will resume as of the date of the termination. If the mediation proceeds but is unsuccessful in resolving the dispute, the Claim submittal and decision process will resume as of the date of the conclusion of the mediation, as determined by the mediator.
- 3. Owner and Contractor shall each pay one-half of the mediator's fees and costs.
- E. Partial Approval: If the party receiving a Claim approves the Claim in part and denies it in part, such action will be final and binding unless within 30 days of such action the other party invokes the procedure set forth in Article 17 for final resolution of disputes.
- F. Denial of Claim: If efforts to resolve a Claim are not successful, the party receiving the Claim may deny it by giving written notice of denial to the other party. If the receiving party does not take action on the Claim within 90 days, then either Owner or Contractor may at any time thereafter submit a letter to the other party indicating that as a result of the inaction, the Claim is deemed denied, thereby commencing the time for appeal of the denial. A denial of the Claim will be final and binding unless within 30 days of the denial the other party invokes the procedure set forth in Article 17 for the final resolution of disputes.

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G. Final and Binding Results: If the parties reach a mutual agreement regarding a Claim, whether through approval of the Claim, direct negotiations, mediation, or otherwise; or if a Claim is approved in part and denied in part, or denied in full, and such actions become final and binding; then the results of the agreement or action on the Claim will be incorporated in a Change Order or other written document to the extent they affect the Contract, including the Work, the Contract Times, or the Contract Price.

#### ARTICLE 13—ARTICLE 13—COST OF THE WORK; ALLOWANCES; UNIT PRICE WORK

#### 13.01 Cost of the Work

- A. Purposes for Determination of Cost of the Work: The term Cost of the Work means the sum of all costs necessary for the proper performance of the Work at issue, as further defined below. The provisions of this Paragraph 13.01 are used for two distinct purposes:
  - 1. To determine Cost of the Work when Cost of the Work is a component of the Contract Price, under cost-plus-fee, time-and-materials, or other cost-based terms; or
  - 2. When needed to determine the value of a Change Order, Change Proposal, Claim, set-off, or other adjustment in Contract Price. When the value of any such adjustment is determined on the basis of Cost of the Work, Contractor is entitled only to those additional or incremental costs required because of the change in the Work or because of the event giving rise to the adjustment.
- B. Costs Included: Except as otherwise may be agreed to in writing by Owner, costs included in the Cost of the Work will be in amounts no higher than those commonly incurred in the locality of the Project, will not include any of the costs itemized in Paragraph 13.01.C, and will include only the following items:
  - 1. Payroll costs for employees in the direct employ of Contractor in the performance of the Work under schedules of job classifications agreed upon by Owner and Contractor in advance of the subject Work. Such employees include, without limitation, superintendents, foremen, safety managers, safety representatives, and other personnel employed full time on the Work. Payroll costs for employees not employed full time on the Work will be apportioned on the basis of their time spent on the Work. Payroll costs include, but are not limited to, salaries and wages plus the cost of fringe benefits, which include social security contributions, unemployment, excise, and payroll taxes, workers' compensation, health and retirement benefits, sick leave, and vacation and holiday pay applicable thereto. The expenses of performing Work outside of regular working hours, on Saturday, Sunday, or legal holidays, will be included in the above to the extent authorized by Owner.
  - 2. Cost of all materials and equipment furnished and incorporated in the Work, including costs of transportation and storage thereof, and Suppliers' field services required in connection therewith. All cash discounts accrue to Contractor unless Owner deposits funds with Contractor with which to make payments, in which case the cash discounts will accrue to Owner. All trade discounts, rebates, and refunds and returns from sale of surplus materials and equipment will accrue to Owner, and Contractor shall make provisions so that they may be obtained.
  - 3. Payments made by Contractor to Subcontractors for Work performed by Subcontractors. If required by Owner, Contractor shall obtain competitive bids from

subcontractors acceptable to Owner and Contractor and shall deliver such bids to Owner, which will then determine, with the advice of Engineer, which bids, if any, will be acceptable. If any subcontract provides that the Subcontractor is to be paid on the basis of Cost of the Work plus a fee, the Subcontractor's Cost of the Work and fee will be determined in the same manner as Contractor's Cost of the Work and fee as provided in this Paragraph 13.01.

- Costs of special consultants (including but not limited to engineers, architects, testing laboratories, surveyors, attorneys, and accountants) employed or retained for services specifically related to the Work.
- 5. Other costs consisting of the following:
  - a. The proportion of necessary transportation, travel, and subsistence expenses of Contractor's employees incurred in discharge of duties connected with the Work.
  - b. Cost, including transportation and maintenance, of all materials, supplies, equipment, machinery, appliances, office, and temporary facilities at the Site, which are consumed in the performance of the Work, and cost, less market value, of such items used but not consumed which remain the property of Contractor.
    - 19) 1) In establishing included costs for materials such as scaffolding, plating, or sheeting, consideration will be given to the actual or the estimated life of the material for use on other projects; or rental rates may be established on the basis of purchase or salvage value of such items, whichever is less. Contractor will not be eligible for compensation for such items in an amount that exceeds the purchase cost of such item.

#### c. Construction Equipment Rental

- 20) 1) Rentals of all construction equipment and machinery, and the parts thereof, in accordance with rental agreements approved by Owner as to price (including any surcharge or special rates applicable to overtime use of the construction equipment or machinery), and the costs of transportation, loading, unloading, assembly, dismantling, and removal thereof. All such costs will be in accordance with the terms of said rental agreements. The rental of any such equipment, machinery, or parts must cease when the use thereof is no longer necessary for the Work.
- 21) 2) Costs for equipment and machinery owned by Contractor or a Contractor-related entity will be paid at a rate shown for such equipment in the equipment rental rate book specified in the Supplementary Conditions. An hourly rate will be computed by dividing the monthly rates by 176. These computed rates will include all operating costs.
- 22) 3) With respect to Work that is the result of a Change Order, Change Proposal, Claim, set-off, or other adjustment in Contract Price ("changed Work"), included costs will be based on the time the equipment or machinery is in use on the changed Work and the costs of transportation, loading, unloading, assembly, dismantling, and removal when directly attributable to the changed Work. The cost of any such equipment or machinery, or parts thereof, must cease to accrue when the use thereof is no longer necessary for the changed Work.

- d. Sales, consumer, use, and other similar taxes related to the Work, and for which Contractor is liable, as imposed by Laws and Regulations.
- e. Deposits lost for causes other than negligence of Contractor, any Subcontractor, or anyone directly or indirectly employed by any of them or for whose acts any of them may be liable, and royalty payments and fees for permits and licenses.
- f. Losses and damages (and related expenses) caused by damage to the Work, not compensated by insurance or otherwise, sustained by Contractor in connection with the performance of the Work (except losses and damages within the deductible amounts of builder's risk or other property insurance established in accordance with Paragraph 6.04), provided such losses and damages have resulted from causes other than the negligence of Contractor, any Subcontractor, or anyone directly or indirectly employed by any of them or for whose acts any of them may be liable. Such losses include settlements made with the written consent and approval of Owner. No such losses, damages, and expenses will be included in the Cost of the Work for the purpose of determining Contractor's fee.
- g. The cost of utilities, fuel, and sanitary facilities at the Site.
- h. Minor expenses such as communication service at the Site, express and courier services, and similar petty cash items in connection with the Work.
- i. The costs of premiums for all bonds and insurance that Contractor is required by the Contract Documents to purchase and maintain.
- C. Costs Excluded: The term Cost of the Work does not include any of the following items:
  - Payroll costs and other compensation of Contractor's officers, executives, principals, general managers, engineers, architects, estimators, attorneys, auditors, accountants, purchasing and contracting agents, expediters, timekeepers, clerks, and other personnel employed by Contractor, whether at the Site or in Contractor's principal or branch office for general administration of the Work and not specifically included in the agreed upon schedule of job classifications referred to in Paragraph 13.01.B.1 or specifically covered by Paragraph 13.01.B.4. The payroll costs and other compensation excluded here are to be considered administrative costs covered by the Contractor's fee.
  - 2. The cost of purchasing, renting, or furnishing small tools and hand tools.
  - 3. Expenses of Contractor's principal and branch offices other than Contractor's office at the Site.
  - 4. Any part of Contractor's capital expenses, including interest on Contractor's capital employed for the Work and charges against Contractor for delinquent payments.
  - Costs due to the negligence of Contractor, any Subcontractor, or anyone directly or indirectly employed by any of them or for whose acts any of them may be liable, including but not limited to, the correction of defective Work, disposal of materials or equipment wrongly supplied, and making good any damage to property.
  - 6. Expenses incurred in preparing and advancing Claims.
  - 7. Other overhead or general expense costs of any kind and the costs of any item not specifically and expressly included in Paragraph 13.01.B.

#### D. Contractor's Fee

- 1. When the Work as a whole is performed on the basis of cost-plus-a-fee, then:
  - a. Contractor's fee for the Work set forth in the Contract Documents as of the Effective Date of the Contract will be determined as set forth in the Agreement.
  - b. for any Work covered by a Change Order, Change Proposal, Claim, set-off, or other adjustment in Contract Price on the basis of Cost of the Work, Contractor's fee will be determined as follows:
    - 23) 1) When the fee for the Work as a whole is a percentage of the Cost of the Work, the fee will automatically adjust as the Cost of the Work changes.
    - 24) 2) When the fee for the Work as a whole is a fixed fee, the fee for any additions or deletions will be determined in accordance with Paragraph 11.07.C.2.
- 2. When the Work as a whole is performed on the basis of a stipulated sum, or any other basis other than cost-plus-a-fee, then Contractor's fee for any Work covered by a Change Order, Change Proposal, Claim, set-off, or other adjustment in Contract Price on the basis of Cost of the Work will be determined in accordance with Paragraph 11.07.C.2.
- E. Documentation and Audit: Whenever the Cost of the Work for any purpose is to be determined pursuant to this Article 13, Contractor and pertinent Subcontractors will establish and maintain records of the costs in accordance with generally accepted accounting practices. Subject to prior written notice, Owner will be afforded reasonable access, during normal business hours, to all Contractor's accounts, records, books, correspondence, instructions, drawings, receipts, vouchers, memoranda, and similar data relating to the Cost of the Work and Contractor's fee. Contractor shall preserve all such documents for a period of three years after the final payment by Owner. Pertinent Subcontractors will afford such access to Owner, and preserve such documents, to the same extent required of Contractor.

## 13.02 Allowances

- A. It is understood that Contractor has included in the Contract Price all allowances so named in the Contract Documents and shall cause the Work so covered to be performed for such sums and by such persons or entities as may be acceptable to Owner and Engineer.
- B. *Cash Allowances*: Contractor agrees that:
  - 1. the cash allowances include the cost to Contractor (less any applicable trade discounts) of materials and equipment required by the allowances to be delivered at the Site, and all applicable taxes; and
  - Contractor's costs for unloading and handling on the Site, labor, installation, overhead, profit, and other expenses contemplated for the cash allowances have been included in the Contract Price and not in the allowances, and no demand for additional payment for any of the foregoing will be valid.
- C. Owner's Contingency Allowance: Contractor agrees that an Owner's contingency allowance, if any, is for the sole use of Owner to cover unanticipated costs.

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D. Prior to final payment, an appropriate Change Order will be issued as recommended by Engineer to reflect actual amounts due Contractor for Work covered by allowances, and the Contract Price will be correspondingly adjusted.

#### 13.03 Unit Price Work

- A. Where the Contract Documents provide that all or part of the Work is to be Unit Price Work, initially the Contract Price will be deemed to include for all Unit Price Work an amount equal to the sum of the unit price for each separately identified item of Unit Price Work times the estimated quantity of each item as indicated in the Agreement.
- B. The estimated quantities of items of Unit Price Work are not guaranteed and are solely for the purpose of comparison of Bids and determining an initial Contract Price. Payments to Contractor for Unit Price Work will be based on actual quantities.
- C. Each unit price will be deemed to include an amount considered by Contractor to be adequate to cover Contractor's overhead and profit for each separately identified item.
- D. Engineer will determine the actual quantities and classifications of Unit Price Work performed by Contractor. Engineer will review with Contractor the Engineer's preliminary determinations on such matters before rendering a written decision thereon (by recommendation of an Application for Payment or otherwise). Engineer's written decision thereon will be final and binding (except as modified by Engineer to reflect changed factual conditions or more accurate data) upon Owner and Contractor, and the final adjustment of Contract Price will be set forth in a Change Order, subject to the provisions of the following paragraph.

#### E. Adjustments in Unit Price

- 1. Contractor or Owner shall be entitled to an adjustment in the unit price with respect to an item of Unit Price Work if:
  - the quantity of the item of Unit Price Work performed by Contractor differs materially and significantly from the estimated quantity of such item indicated in the Agreement; and
  - b. Contractor's unit costs to perform the item of Unit Price Work have changed materially and significantly as a result of the quantity change.
- The adjustment in unit price will account for and be coordinated with any related changes in quantities of other items of Work, and in Contractor's costs to perform such other Work, such that the resulting overall change in Contract Price is equitable to Owner and Contractor.
- 3. Adjusted unit prices will apply to all units of that item.

ARTICLE 14—ARTICLE 14—TESTS AND INSPECTIONS; CORRECTION, REMOVAL, OR ACCEPTANCE OF DEFECTIVE WORK

## 14.01 Access to Work

A. Owner, Engineer, their consultants and other representatives and personnel of Owner, independent testing laboratories, and authorities having jurisdiction have access to the Site and the Work at reasonable times for their observation, inspection, and testing. Contractor

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shall provide them proper and safe conditions for such access and advise them of Contractor's safety procedures and programs so that they may comply with such procedures and programs as applicable.

## 14.02 Tests, Inspections, and Approvals

- A. Contractor shall give Engineer timely notice of readiness of the Work (or specific parts thereof) for all required inspections and tests, and shall cooperate with inspection and testing personnel to facilitate required inspections and tests.
- B. Owner shall retain and pay for the services of an independent inspector, testing laboratory, or other qualified individual or entity to perform all inspections and tests expressly required by the Contract Documents to be furnished and paid for by Owner, except that costs incurred in connection with tests or inspections of covered Work will be governed by the provisions of Paragraph 14.05.
- C. If Laws or Regulations of any public body having jurisdiction require any Work (or part thereof) specifically to be inspected, tested, or approved by an employee or other representative of such public body, Contractor shall assume full responsibility for arranging and obtaining such inspections, tests, or approvals, pay all costs in connection therewith, and furnish Engineer the required certificates of inspection or approval.
- D. Contractor shall be responsible for arranging, obtaining, and paying for all inspections and tests required:
  - 1. by the Contract Documents, unless the Contract Documents expressly allocate responsibility for a specific inspection or test to Owner;
  - 2. to attain Owner's and Engineer's acceptance of materials or equipment to be incorporated in the Work;
  - 3. by manufacturers of equipment furnished under the Contract Documents;
  - 4. for testing, adjusting, and balancing of mechanical, electrical, and other equipment to be incorporated into the Work; and
  - 5. for acceptance of materials, mix designs, or equipment submitted for approval prior to Contractor's purchase thereof for incorporation in the Work.

Such inspections and tests will be performed by independent inspectors, testing laboratories, or other qualified individuals or entities acceptable to Owner and Engineer.

- E. If the Contract Documents require the Work (or part thereof) to be approved by Owner, Engineer, or another designated individual or entity, then Contractor shall assume full responsibility for arranging and obtaining such approvals.
- F. If any Work (or the work of others) that is to be inspected, tested, or approved is covered by Contractor without written concurrence of Engineer, Contractor shall, if requested by Engineer, uncover such Work for observation. Such uncovering will be at Contractor's expense unless Contractor had given Engineer timely notice of Contractor's intention to cover the same and Engineer had not acted with reasonable promptness in response to such notice.

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#### 14.03 Defective Work

- A. *Contractor's Obligation*: It is Contractor's obligation to assure that the Work is not defective.
- B. *Engineer's Authority*: Engineer has the authority to determine whether Work is defective, and to reject defective Work.
- C. *Notice of Defects*: Prompt written notice of all defective Work of which Owner or Engineer has actual knowledge will be given to Contractor.
- D. Correction, or Removal and Replacement: Promptly after receipt of written notice of defective Work, Contractor shall correct all such defective Work, whether or not fabricated, installed, or completed, or, if Engineer has rejected the defective Work, remove it from the Project and replace it with Work that is not defective.
- E. *Preservation of Warranties*: When correcting defective Work, Contractor shall take no action that would void or otherwise impair Owner's special warranty and guarantee, if any, on said Work.
- F. Costs and Damages: In addition to its correction, removal, and replacement obligations with respect to defective Work, Contractor shall pay all claims, costs, losses, and damages arising out of or relating to defective Work, including but not limited to the cost of the inspection, testing, correction, removal, replacement, or reconstruction of such defective Work, fines levied against Owner by governmental authorities because the Work is defective, and the costs of repair or replacement of work of others resulting from defective Work. Prior to final payment, if Owner and Contractor are unable to agree as to the measure of such claims, costs, losses, and damages resulting from defective Work, then Owner may impose a reasonable set-off against payments due under Article 15.

## 14.04 Acceptance of Defective Work

A. If, instead of requiring correction or removal and replacement of defective Work, Owner prefers to accept it, Owner may do so (subject, if such acceptance occurs prior to final payment, to Engineer's confirmation that such acceptance is in general accord with the design intent and applicable engineering principles, and will not endanger public safety). Contractor shall pay all claims, costs, losses, and damages attributable to Owner's evaluation of and determination to accept such defective Work (such costs to be approved by Engineer as to reasonableness), and for the diminished value of the Work to the extent not otherwise paid by Contractor. If any such acceptance occurs prior to final payment, the necessary revisions in the Contract Documents with respect to the Work will be incorporated in a Change Order. If the parties are unable to agree as to the decrease in the Contract Price, reflecting the diminished value of Work so accepted, then Owner may impose a reasonable set-off against payments due under Article 15. If the acceptance of defective Work occurs after final payment, Contractor shall pay an appropriate amount to Owner.

## 14.05 Uncovering Work

A. Engineer has the authority to require additional inspection or testing of the Work, whether or not the Work is fabricated, installed, or completed.

- B. If any Work is covered contrary to the written request of Engineer, then Contractor shall, if requested by Engineer, uncover such Work for Engineer's observation, and then replace the covering, all at Contractor's expense.
- C. If Engineer considers it necessary or advisable that covered Work be observed by Engineer or inspected or tested by others, then Contractor, at Engineer's request, shall uncover, expose, or otherwise make available for observation, inspection, or testing as Engineer may require, that portion of the Work in question, and provide all necessary labor, material, and equipment.
  - If it is found that the uncovered Work is defective, Contractor shall be responsible for all claims, costs, losses, and damages arising out of or relating to such uncovering, exposure, observation, inspection, and testing, and of satisfactory replacement or reconstruction (including but not limited to all costs of repair or replacement of work of others); and pending Contractor's full discharge of this responsibility the Owner shall be entitled to impose a reasonable set-off against payments due under Article 15.
  - 2. If the uncovered Work is not found to be defective, Contractor shall be allowed an increase in the Contract Price or an extension of the Contract Times, directly attributable to such uncovering, exposure, observation, inspection, testing, replacement, and reconstruction. If the parties are unable to agree as to the amount or extent thereof, then Contractor may submit a Change Proposal within 30 days of the determination that the Work is not defective.

#### 14.06 Owner May Stop the Work

A. If the Work is defective, or Contractor fails to supply sufficient skilled workers or suitable materials or equipment, or fails to perform the Work in such a way that the completed Work will conform to the Contract Documents, then Owner may order Contractor to stop the Work, or any portion thereof, until the cause for such order has been eliminated; however, this right of Owner to stop the Work will not give rise to any duty on the part of Owner to exercise this right for the benefit of Contractor, any Subcontractor, any Supplier, any other individual or entity, or any surety for, or employee or agent of any of them.

#### 14.07 Owner May Correct Defective Work

- A. If Contractor fails within a reasonable time after written notice from Engineer to correct defective Work, or to remove and replace defective Work as required by Engineer, then Owner may, after 7 days' written notice to Contractor, correct or remedy any such deficiency.
- B. In exercising the rights and remedies under this Paragraph 14.07, Owner shall proceed expeditiously. In connection with such corrective or remedial action, Owner may exclude Contractor from all or part of the Site, take possession of all or part of the Work and suspend Contractor's services related thereto, and incorporate in the Work all materials and equipment stored at the Site or for which Owner has paid Contractor but which are stored elsewhere. Contractor shall allow Owner, Owner's representatives, agents and employees, Owner's other contractors, and Engineer and Engineer's consultants access to the Site to enable Owner to exercise the rights and remedies under this paragraph.
- C. All claims, costs, losses, and damages incurred or sustained by Owner in exercising the rights and remedies under this Paragraph 14.07 will be charged against Contractor as setoffs against payments due under Article 15. Such claims, costs, losses and damages will

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include but not be limited to all costs of repair, or replacement of work of others destroyed or damaged by correction, removal, or replacement of Contractor's defective Work.

D. Contractor shall not be allowed an extension of the Contract Times because of any delay in the performance of the Work attributable to the exercise by Owner of Owner's rights and remedies under this Paragraph 14.07.

ARTICLE 15—ARTICLE 15—PAYMENTS TO CONTRACTOR; SET-OFFS; COMPLETION; CORRECTION PERIOD

## 15.01 Progress Payments

A. Basis for Progress Payments: The Schedule of Values established as provided in Article 2 will serve as the basis for progress payments and will be incorporated into a form of Application for Payment acceptable to Engineer. Progress payments for Unit Price Work will be based on the number of units completed during the pay period, as determined under the provisions of Paragraph 13.03. Progress payments for cost-based Work will be based on Cost of the Work completed by Contractor during the pay period.

### **Applications for Payments**

- At least 20 days before the date established in the Agreement for each progress payment (but not more often than once a month), Contractor shall submit to Engineer for review an Application for Payment filled out and signed by Contractor covering the Work completed as of the date of the Application and accompanied by such supporting documentation as is required by the Contract Documents.
- If payment is requested on the basis of materials and equipment not incorporated in the Work but delivered and suitably stored at the Site or at another location agreed to in writing, the Application for Payment must also be accompanied by: (a) a bill of sale, invoice, copies of subcontract or purchase order payments, or other documentation establishing full payment by Contractor for the materials and equipment; (b) at Owner's request, documentation warranting that Owner has received the materials and equipment free and clear of all Liens; and (c) evidence that the materials and equipment are covered by appropriate property insurance, a warehouse bond, or other arrangements to protect Owner's interest therein, all of which must be satisfactory to Owner.
- Beginning with the second Application for Payment, each Application must include an affidavit of Contractor stating that all previous progress payments received by Contractor have been applied to discharge Contractor's legitimate obligations associated with prior Applications for Payment.
- The amount of retainage with respect to progress payments will be as stipulated in the Agreement.

#### **Review of Applications**

Engineer will, within 10 days after receipt of each Application for Payment, including each resubmittal, either indicate in writing a recommendation of payment and present the Application to Owner, or return the Application to Contractor indicating in writing Engineer's reasons for refusing to recommend payment. In the latter case, Contractor may make the necessary corrections and resubmit the Application.

- 2. Engineer's recommendation of any payment requested in an Application for Payment will constitute a representation by Engineer to Owner, based on Engineer's observations of the executed Work as an experienced and qualified design professional, and on Engineer's review of the Application for Payment and the accompanying data and schedules, that to the best of Engineer's knowledge, information and belief:
  - a. the Work has progressed to the point indicated;
  - b. the quality of the Work is generally in accordance with the Contract Documents (subject to an evaluation of the Work as a functioning whole prior to or upon Substantial Completion, the results of any subsequent tests called for in the Contract Documents, a final determination of quantities and classifications for Unit Price Work under Paragraph 13.03, and any other qualifications stated in the recommendation); and
  - c. the conditions precedent to Contractor's being entitled to such payment appear to have been fulfilled in so far as it is Engineer's responsibility to observe the Work.
- 3. By recommending any such payment Engineer will not thereby be deemed to have represented that:
  - a. inspections made to check the quality or the quantity of the Work as it has been performed have been exhaustive, extended to every aspect of the Work in progress, or involved detailed inspections of the Work beyond the responsibilities specifically assigned to Engineer in the Contract; or
  - b. there may not be other matters or issues between the parties that might entitle Contractor to be paid additionally by Owner or entitle Owner to withhold payment to Contractor.
- 4. Neither Engineer's review of Contractor's Work for the purposes of recommending payments nor Engineer's recommendation of any payment, including final payment, will impose responsibility on Engineer:
  - a. to supervise, direct, or control the Work;
  - b. for the means, methods, techniques, sequences, or procedures of construction, or the safety precautions and programs incident thereto;
  - for Contractor's failure to comply with Laws and Regulations applicable to Contractor's performance of the Work;
  - d. to make any examination to ascertain how or for what purposes Contractor has used the money paid by Owner; or
  - e. to determine that title to any of the Work, materials, or equipment has passed to Owner free and clear of any Liens.
- 5. Engineer may refuse to recommend the whole or any part of any payment if, in Engineer's opinion, it would be incorrect to make the representations to Owner stated in Paragraph 15.01.C.2.

- 6. Engineer will recommend reductions in payment (set-offs) necessary in Engineer's opinion to protect Owner from loss because:
  - a. the Work is defective, requiring correction or replacement;
  - b. the Contract Price has been reduced by Change Orders;
  - c. Owner has been required to correct defective Work in accordance with Paragraph 14.07, or has accepted defective Work pursuant to Paragraph 14.04;
  - d. Owner has been required to remove or remediate a Hazardous Environmental Condition for which Contractor is responsible; or
  - e. Engineer has actual knowledge of the occurrence of any of the events that would constitute a default by Contractor and therefore justify termination for cause under the Contract Documents.

## D. Payment Becomes Due

 Ten days after presentation of the Application for Payment to Owner with Engineer's recommendation, the amount recommended (subject to any Owner set-offs) will become due, and when due will be paid by Owner to Contractor.

## E. Reductions in Payment by Owner

- 1. In addition to any reductions in payment (set-offs) recommended by Engineer, Owner is entitled to impose a set-off against payment based on any of the following:
  - a. Claims have been made against Owner based on Contractor's conduct in the performance or furnishing of the Work, or Owner has incurred costs, losses, or damages resulting from Contractor's conduct in the performance or furnishing of the Work, including but not limited to claims, costs, losses, or damages from workplace injuries, adjacent property damage, non-compliance with Laws and Regulations, and patent infringement;
  - Contractor has failed to take reasonable and customary measures to avoid damage, delay, disruption, and interference with other work at or adjacent to the Site;
  - c. Contractor has failed to provide and maintain required bonds or insurance;
  - d. Owner has been required to remove or remediate a Hazardous Environmental Condition for which Contractor is responsible;
  - e. Owner has incurred extra charges or engineering costs related to submittal reviews, evaluations of proposed substitutes, tests and inspections, or return visits to manufacturing or assembly facilities;
  - f. The Work is defective, requiring correction or replacement;
  - g. Owner has been required to correct defective Work in accordance with Paragraph 14.07, or has accepted defective Work pursuant to Paragraph 14.04;
  - h. The Contract Price has been reduced by Change Orders;
  - i. An event has occurred that would constitute a default by Contractor and therefore justify a termination for cause;

- j. Liquidated or other damages have accrued as a result of Contractor's failure to achieve Milestones, Substantial Completion, or final completion of the Work;
- Liens have been filed in connection with the Work, except where Contractor has delivered a specific bond satisfactory to Owner to secure the satisfaction and discharge of such Liens; or
- I. Other items entitle Owner to a set-off against the amount recommended.
- 2. If Owner imposes any set-off against payment, whether based on its own knowledge or on the written recommendations of Engineer, Owner will give Contractor immediate written notice (with a copy to Engineer) stating the reasons for such action and the specific amount of the reduction, and promptly pay Contractor any amount remaining after deduction of the amount so withheld. Owner shall promptly pay Contractor the amount so withheld, or any adjustment thereto agreed to by Owner and Contractor, if Contractor remedies the reasons for such action. The reduction imposed will be binding on Contractor unless it duly submits a Change Proposal contesting the reduction.
- 3. Upon a subsequent determination that Owner's refusal of payment was not justified, the amount wrongfully withheld will be treated as an amount due as determined by Paragraph 15.01.D.1 and subject to interest as provided in the Agreement.

#### 15.02 Contractor's Warranty of Title

A. Contractor warrants and guarantees that title to all Work, materials, and equipment furnished under the Contract will pass to Owner free and clear of (1) all Liens and other title defects, and (2) all patent, licensing, copyright, or royalty obligations, no later than 7 days after the time of payment by Owner.

## 15.03 Substantial Completion

- A. When Contractor considers the entire Work ready for its intended use Contractor shall notify Owner and Engineer in writing that the entire Work is substantially complete and request that Engineer issue a certificate of Substantial Completion. Contractor shall at the same time submit to Owner and Engineer an initial draft of punch list items to be completed or corrected before final payment.
- B. Promptly after Contractor's notification, Owner, Contractor, and Engineer shall make an inspection of the Work to determine the status of completion. If Engineer does not consider the Work substantially complete, Engineer will notify Contractor in writing giving the reasons therefor.
- C. If Engineer considers the Work substantially complete, Engineer will deliver to Owner a preliminary certificate of Substantial Completion which will fix the date of Substantial Completion. Engineer shall attach to the certificate a punch list of items to be completed or corrected before final payment. Owner shall have 7 days after receipt of the preliminary certificate during which to make written objection to Engineer as to any provisions of the certificate or attached punch list. If, after considering the objections to the provisions of the preliminary certificate, Engineer concludes that the Work is not substantially complete, Engineer will, within 14 days after submission of the preliminary certificate to Owner, notify Contractor in writing that the Work is not substantially complete, stating the reasons therefor. If Owner does not object to the provisions of the certificate, or if despite

consideration of Owner's objections Engineer concludes that the Work is substantially complete, then Engineer will, within said 14 days, execute and deliver to Owner and Contractor a final certificate of Substantial Completion (with a revised punch list of items to be completed or corrected) reflecting such changes from the preliminary certificate as Engineer believes justified after consideration of any objections from Owner.

- D. At the time of receipt of the preliminary certificate of Substantial Completion, Owner and Contractor will confer regarding Owner's use or occupancy of the Work following Substantial Completion, review the builder's risk insurance policy with respect to the end of the builder's risk coverage, and confirm the transition to coverage of the Work under a permanent property insurance policy held by Owner. Unless Owner and Contractor agree otherwise in writing, Owner shall bear responsibility for security, operation, protection of the Work, property insurance, maintenance, heat, and utilities upon Owner's use or occupancy of the Work.
- E. After Substantial Completion the Contractor shall promptly begin work on the punch list of items to be completed or corrected prior to final payment. In appropriate cases Contractor may submit monthly Applications for Payment for completed punch list items, following the progress payment procedures set forth above.
- F. Owner shall have the right to exclude Contractor from the Site after the date of Substantial Completion subject to allowing Contractor reasonable access to remove its property and complete or correct items on the punch list.

## 15.04 Partial Use or Occupancy

- A. Prior to Substantial Completion of all the Work, Owner may use or occupy any substantially completed part of the Work which has specifically been identified in the Contract Documents, or which Owner, Engineer, and Contractor agree constitutes a separately functioning and usable part of the Work that can be used by Owner for its intended purpose without significant interference with Contractor's performance of the remainder of the Work, subject to the following conditions:
  - At any time, Owner may request in writing that Contractor permit Owner to use or occupy any such part of the Work that Owner believes to be substantially complete. If and when Contractor agrees that such part of the Work is substantially complete, Contractor, Owner, and Engineer will follow the procedures of Paragraph 15.03.A through 15.03.E for that part of the Work.
  - 2. At any time, Contractor may notify Owner and Engineer in writing that Contractor considers any such part of the Work substantially complete and request Engineer to issue a certificate of Substantial Completion for that part of the Work.
  - 3. Within a reasonable time after either such request, Owner, Contractor, and Engineer shall make an inspection of that part of the Work to determine its status of completion. If Engineer does not consider that part of the Work to be substantially complete, Engineer will notify Owner and Contractor in writing giving the reasons therefor. If Engineer considers that part of the Work to be substantially complete, the provisions of Paragraph 15.03 will apply with respect to certification of Substantial Completion of that part of the Work and the division of responsibility in respect thereof and access thereto.

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4. No use or occupancy or separate operation of part of the Work may occur prior to compliance with the requirements of Paragraph 6.04 regarding builder's risk or other property insurance.

## 15.05 Final Inspection

A. Upon written notice from Contractor that the entire Work or an agreed portion thereof is complete, Engineer will promptly make a final inspection with Owner and Contractor and will notify Contractor in writing of all particulars in which this inspection reveals that the Work, or agreed portion thereof, is incomplete or defective. Contractor shall immediately take such measures as are necessary to complete such Work or remedy such deficiencies.

## 15.06 Final Payment

## A. Application for Payment

- 1. After Contractor has, in the opinion of Engineer, satisfactorily completed all corrections identified during the final inspection and has delivered, in accordance with the Contract Documents, all maintenance and operating instructions, schedules, guarantees, bonds, certificates or other evidence of insurance, certificates of inspection, annotated record documents (as provided in Paragraph 7.12), and other documents, Contractor may make application for final payment.
- 2. The final Application for Payment must be accompanied (except as previously delivered) by:
  - a. all documentation called for in the Contract Documents;
  - b. consent of the surety, if any, to final payment;
  - c. satisfactory evidence that all title issues have been resolved such that title to all Work, materials, and equipment has passed to Owner free and clear of any Liens or other title defects, or will so pass upon final payment.
  - d. a list of all duly pending Change Proposals and Claims; and
  - e. complete and legally effective releases or waivers (satisfactory to Owner) of all Lien rights arising out of the Work, and of Liens filed in connection with the Work.
- 3. In lieu of the releases or waivers of Liens specified in Paragraph 15.06.A.2 and as approved by Owner, Contractor may furnish receipts or releases in full and an affidavit of Contractor that: (a) the releases and receipts include all labor, services, material, and equipment for which a Lien could be filed; and (b) all payrolls, material and equipment bills, and other indebtedness connected with the Work for which Owner might in any way be responsible, or which might in any way result in liens or other burdens on Owner's property, have been paid or otherwise satisfied. If any Subcontractor or Supplier fails to furnish such a release or receipt in full, Contractor may furnish a bond or other collateral satisfactory to Owner to indemnify Owner against any Lien, or Owner at its option may issue joint checks payable to Contractor and specified Subcontractors and Suppliers.
- B. Engineer's Review of Final Application and Recommendation of Payment: If, on the basis of Engineer's observation of the Work during construction and final inspection, and Engineer's review of the final Application for Payment and accompanying documentation as required by the Contract Documents, Engineer is satisfied that the Work has been completed and

Contractor's other obligations under the Contract have been fulfilled, Engineer will, within 10 days after receipt of the final Application for Payment, indicate in writing Engineer's recommendation of final payment and present the final Application for Payment to Owner for payment. Such recommendation will account for any set-offs against payment that are necessary in Engineer's opinion to protect Owner from loss for the reasons stated above with respect to progress payments. Otherwise, Engineer will return the Application for Payment to Contractor, indicating in writing the reasons for refusing to recommend final payment, in which case Contractor shall make the necessary corrections and resubmit the Application for Payment.

- C. Notice of Acceptability: In support of its recommendation of payment of the final Application for Payment, Engineer will also give written notice to Owner and Contractor that the Work is acceptable, subject to stated limitations in the notice and to the provisions of Paragraph 15.07.
- D. Completion of Work: The Work is complete (subject to surviving obligations) when it is ready for final payment as established by the Engineer's written recommendation of final payment and issuance of notice of the acceptability of the Work.
- E. Final Payment Becomes Due: Upon receipt from Engineer of the final Application for Payment and accompanying documentation, Owner shall set off against the amount recommended by Engineer for final payment any further sum to which Owner is entitled, including but not limited to set-offs for liquidated damages and set-offs allowed under the provisions of this Contract with respect to progress payments. Owner shall pay the resulting balance due to Contractor within 30 days of Owner's receipt of the final Application for Payment from Engineer.

## 15.07 Waiver of Claims

- A. By making final payment, Owner waives its claim or right to liquidated damages or other damages for late completion by Contractor, except as set forth in an outstanding Claim, appeal under the provisions of Article 17, set-off, or express reservation of rights by Owner. Owner reserves all other claims or rights after final payment.
- B. The acceptance of final payment by Contractor will constitute a waiver by Contractor of all claims and rights against Owner other than those pending matters that have been duly submitted as a Claim, or appealed under the provisions of Article 17.

#### 15.08 Correction Period

- A. If within one year after the date of Substantial Completion (or such longer period of time as may be prescribed by the Supplementary Conditions or the terms of any applicable special guarantee required by the Contract Documents), Owner gives Contractor written notice that any Work has been found to be defective, or that Contractor's repair of any damages to the Site or adjacent areas has been found to be defective, then after receipt of such notice of defect Contractor shall promptly, without cost to Owner and in accordance with Owner's written instructions:
  - 1. correct the defective repairs to the Site or such adjacent areas;
  - 2. correct such defective Work;
  - 3. remove the defective Work from the Project and replace it with Work that is not defective, if the defective Work has been rejected by Owner, and

- 4. satisfactorily correct or repair or remove and replace any damage to other Work, to the work of others, or to other land or areas resulting from the corrective measures.
- B. Owner shall give any such notice of defect within 60 days of the discovery that such Work or repairs is defective. If such notice is given within such 60 days but after the end of the correction period, the notice will be deemed a notice of defective Work under Paragraph 7.17.B.
- C. If, after receipt of a notice of defect within 60 days and within the correction period, Contractor does not promptly comply with the terms of Owner's written instructions, or in an emergency where delay would cause serious risk of loss or damage, Owner may have the defective Work corrected or repaired or may have the rejected Work removed and replaced. Contractor shall pay all costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to such correction or repair or such removal and replacement (including but not limited to all costs of repair or replacement of work of others). Contractor's failure to pay such costs, losses, and damages within 10 days of invoice from Owner will be deemed the start of an event giving rise to a Claim under Paragraph 12.01.B, such that any related Claim must be brought within 30 days of the failure to pay.
- D. In special circumstances where a particular item of equipment is placed in continuous service before Substantial Completion of all the Work, the correction period for that item may start to run from an earlier date if so provided in the Specifications.
- E. Where defective Work (and damage to other Work resulting therefrom) has been corrected or removed and replaced under this paragraph, the correction period hereunder with respect to such Work will be extended for an additional period of one year after such correction or removal and replacement has been satisfactorily completed.
- F. Contractor's obligations under this paragraph are in addition to all other obligations and warranties. The provisions of this paragraph are not to be construed as a substitute for, or a waiver of, the provisions of any applicable statute of limitation or repose.

#### ARTICLE 16—ARTICLE 16—SUSPENSION OF WORK AND TERMINATION

## 16.01 Owner May Suspend Work

A. At any time and without cause, Owner may suspend the Work or any portion thereof for a period of not more than 90 consecutive days by written notice to Contractor and Engineer. Such notice will fix the date on which Work will be resumed. Contractor shall resume the Work on the date so fixed. Contractor shall be entitled to an adjustment in the Contract Price or an extension of the Contract Times directly attributable to any such suspension. Any Change Proposal seeking such adjustments must be submitted no later than 30 days after the date fixed for resumption of Work.

## 16.02 Owner May Terminate for Cause

- A. The occurrence of any one or more of the following events will constitute a default by Contractor and justify termination for cause:
  - Contractor's persistent failure to perform the Work in accordance with the Contract Documents (including, but not limited to, failure to supply sufficient skilled workers or suitable materials or equipment, or failure to adhere to the Progress Schedule);
  - 2. Failure of Contractor to perform or otherwise to comply with a material term of the Contract Documents;
  - 3. Contractor's disregard of Laws or Regulations of any public body having jurisdiction; or
  - 4. Contractor's repeated disregard of the authority of Owner or Engineer.
- B. If one or more of the events identified in Paragraph 16.02.A occurs, then after giving Contractor (and any surety) 10 days' written notice that Owner is considering a declaration that Contractor is in default and termination of the Contract, Owner may proceed to:
  - declare Contractor to be in default, and give Contractor (and any surety) written notice that the Contract is terminated; and
  - enforce the rights available to Owner under any applicable performance bond.
- C. Subject to the terms and operation of any applicable performance bond, if Owner has terminated the Contract for cause, Owner may exclude Contractor from the Site, take possession of the Work, incorporate in the Work all materials and equipment stored at the Site or for which Owner has paid Contractor but which are stored elsewhere, and complete the Work as Owner may deem expedient.
- D. Owner may not proceed with termination of the Contract under Paragraph 16.02.B if Contractor within 7 days of receipt of notice of intent to terminate begins to correct its failure to perform and proceeds diligently to cure such failure.
- E. If Owner proceeds as provided in Paragraph 16.02.B, Contractor shall not be entitled to receive any further payment until the Work is completed. If the unpaid balance of the Contract Price exceeds the cost to complete the Work, including all related claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals) sustained by Owner, such excess will be paid to Contractor. If the cost to complete the Work including such related claims, costs, losses, and damages exceeds such unpaid balance, Contractor shall pay the difference to Owner. Such claims, costs, losses, and damages incurred by Owner will be reviewed by Engineer as to their reasonableness and, when so approved by Engineer, incorporated in a Change Order. When exercising any rights or remedies under this paragraph, Owner shall not be required to obtain the lowest price for the Work performed.
- F. Where Contractor's services have been so terminated by Owner, the termination will not affect any rights or remedies of Owner against Contractor then existing or which may thereafter accrue, or any rights or remedies of Owner against Contractor or any surety under any payment bond or performance bond. Any retention or payment of money due Contractor by Owner will not release Contractor from liability.

G. If and to the extent that Contractor has provided a performance bond under the provisions of Paragraph 6.01.A, the provisions of that bond will govern over any inconsistent provisions of Paragraphs 16.02.B and 16.02.D.

## 16.03 Owner May Terminate for Convenience

- A. Upon 7 days' written notice to Contractor and Engineer, Owner may, without cause and without prejudice to any other right or remedy of Owner, terminate the Contract. In such case, Contractor shall be paid for (without duplication of any items):
  - completed and acceptable Work executed in accordance with the Contract Documents prior to the effective date of termination, including fair and reasonable sums for overhead and profit on such Work;
  - expenses sustained prior to the effective date of termination in performing services and furnishing labor, materials, or equipment as required by the Contract Documents in connection with uncompleted Work, plus fair and reasonable sums for overhead and profit on such expenses; and
  - other reasonable expenses directly attributable to termination, including costs incurred to prepare a termination for convenience cost proposal.
- B. Contractor shall not be paid for any loss of anticipated profits or revenue, post-termination overhead costs, or other economic loss arising out of or resulting from such termination.

## 16.04 Contractor May Stop Work or Terminate

- A. If, through no act or fault of Contractor, (1) the Work is suspended for more than 90 consecutive days by Owner or under an order of court or other public authority, or (2) Engineer fails to act on any Application for Payment within 30 days after it is submitted, or (3) Owner fails for 30 days to pay Contractor any sum finally determined to be due, then Contractor may, upon 7 days' written notice to Owner and Engineer, and provided Owner or Engineer do not remedy such suspension or failure within that time, terminate the contract and recover from Owner payment on the same terms as provided in Paragraph 16.03.
- B. In lieu of terminating the Contract and without prejudice to any other right or remedy, if Engineer has failed to act on an Application for Payment within 30 days after it is submitted, or Owner has failed for 30 days to pay Contractor any sum finally determined to be due, Contractor may, 7 days after written notice to Owner and Engineer, stop the Work until payment is made of all such amounts due Contractor, including interest thereon. The provisions of this paragraph are not intended to preclude Contractor from submitting a Change Proposal for an adjustment in Contract Price or Contract Times or otherwise for expenses or damage directly attributable to Contractor's stopping the Work as permitted by this paragraph.

#### ARTICLE 17—ARTICLE 17—FINAL RESOLUTION OF DISPUTES

#### 17.01 Methods and Procedures

A. *Disputes Subject to Final Resolution*: The following disputed matters are subject to final resolution under the provisions of this article:

A timely appeal of an approval in part and denial in part of a Claim, or of a denial in full, pursuant to Article 12; and

Disputes between Owner and Contractor concerning the Work, or obligations under the Contract Documents, that arise after final payment has been made.

B. *Final Resolution of Disputes*: For any dispute subject to resolution under this article, Owner or Contractor may:

elect in writing to invoke the dispute resolution process provided for in the Supplementary Conditions;

agree with the other party to submit the dispute to another dispute resolution process; or

if no dispute resolution process is provided for in the Supplementary Conditions or mutually agreed to, give written notice to the other party of the intent to submit the dispute to a court of competent jurisdiction.

#### ARTICLE 18—ARTICLE 18—MISCELLANEOUS

## 18.01 Giving Notice

A. Whenever any provision of the Contract requires the giving of written notice to Owner, Engineer, or Contractor, it will be deemed to have been validly given only if delivered:

in person, by a commercial courier service or otherwise, to the recipient's place of business;

by registered or certified mail, postage prepaid, to the recipient's place of business; or

by e-mail to the recipient, with the words "Formal Notice" or similar in the e-mail's subject line.

## 18.02 Computation of Times

A. When any period of time is referred to in the Contract by days, it will be computed to exclude the first and include the last day of such period. If the last day of any such period falls on a Saturday or Sunday or on a day made a legal holiday by the law of the applicable jurisdiction, such day will be omitted from the computation.

#### 18.03 Cumulative Remedies

A. The duties and obligations imposed by these General Conditions and the rights and remedies available hereunder to the parties hereto are in addition to, and are not to be construed in any way as a limitation of, any rights and remedies available to any or all of them which are otherwise imposed or available by Laws or Regulations, by special warranty or guarantee, or by other provisions of the Contract. The provisions of this paragraph will

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be as effective as if repeated specifically in the Contract Documents in connection with each particular duty, obligation, right, and remedy to which they apply.

#### 18.04 Limitation of Damages

A. With respect to any and all Change Proposals, Claims, disputes subject to final resolution, and other matters at issue, neither Owner nor Engineer, nor any of their officers, directors, members, partners, employees, agents, consultants, or subcontractors, shall be liable to Contractor for any claims, costs, losses, or damages sustained by Contractor on or in connection with any other project or anticipated project.

#### 18.05 No Waiver

A party's non-enforcement of any provision will not constitute a waiver of that provision, nor will it affect the enforceability of that provision or of the remainder of this Contract.

## 18.06 Survival of Obligations

All representations, indemnifications, warranties, and guarantees made in, required by, or given in accordance with the Contract, as well as all continuing obligations indicated in the Contract, will survive final payment, completion, and acceptance of the Work or termination of the Contract or of the services of Contractor.

## 18.07 Controlling Law

A. This Contract is to be governed by the law of the state in which the Project is located.

## 18.08 Assignment of Contract

A. Unless expressly agreed to elsewhere in the Contract, no assignment by a party to this Contract of any rights under or interests in the Contract will be binding on the other party without the written consent of the party sought to be bound; and, specifically but without limitation, money that may become due and money that is due may not be assigned without such consent (except to the extent that the effect of this restriction may be limited by law), and unless specifically stated to the contrary in any written consent to an assignment, no assignment will release or discharge the assignor from any duty or responsibility under the Contract.

## 18.09 Successors and Assigns

A. Owner and Contractor each binds itself, its successors, assigns, and legal representatives to the other party hereto, its successors, assigns, and legal representatives in respect to all covenants, agreements, and obligations contained in the Contract Documents.

#### 18.10 Headings

A. Article and paragraph headings are inserted for convenience only and do not constitute parts of these General Conditions.

## **END OF SECTION**

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CITY OF DAWSONVILLE – MAIN STREET PARK LANDSCAPE PHASE I

SECTION 00800

SUPPLEMENTARY CONDITIONS OF THE CONSTRUCTION CONTRACT

## SECTION 00800 SUPPLEMENTARY CONDITIONS OF THE CONSTRUCTION CONTRACT

ARTICLE 1—DEFINITIONS AND TERMINOLOGY

No suggested Supplementary Conditions in this Article.

ARTICLE 2—PRELIMINARY MATTERS

No suggested Supplementary Conditions in this Article.

ARTICLE 3—CONTRACT DOCUMENTS: INTENT, REQUIREMENTS, REUSE

No suggested Supplementary Conditions in this Article.

ARTICLE 4—COMMENCEMENT AND PROGRESS OF THE WORK

No suggested Supplementary Conditions in this Article.

ARTICLE 5—SITE, SUBSURFACE AND PHYSICAL CONDITIONS, HAZARDOUS ENVIRONMENTAL CONDITIONS

No suggested Supplementary Conditions in this Article.

ARTICLE 6—BONDS AND INSURANCE

No suggested Supplementary Conditions in this Article.

ARTICLE 7—CONTRACTOR'S RESPONSIBILITIES

No suggested Supplementary Conditions in this Article.

ARTICLE 8—OWNER'S RESPONSIBILITIES

No suggested Supplementary Conditions in this Article.

ARTICLE 9—ENGINEER'S STATUS DURING CONSTRUCTION

No suggested Supplementary Conditions in this Article.

ARTICLE 10—CHANGES TO THE CONTRACT

No suggested Supplementary Conditions in this Article.

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SUPPLEMENTARY CONDITIONS OF THE CONSTRUCTION CONTRACT

ARTICLE 11—CLAIMS

No suggested Supplementary Conditions in this Article.

ARTICLE 12—COST OF WORK; ALLOWANCES, UNIT PRICE WORK

No suggested Supplementary Conditions in this Article.

ARTICLE 13—TESTS AND INSPECTIONS; CORRECTION, REMOVAL, OR ACCCEPTANCE OF DEFECTIVE WORK

No suggested Supplementary Conditions in this Article.

ARTICLE 14—PAYMENTS TO CONTRACTOR, SET OFFS; COMPLETIONS; CORRECTION PERIOD

No suggested Supplementary Conditions in this Article.

ARTICLE 15—SUSPENSION OF WORK AND TERMINATION

No suggested Supplementary Conditions in this Article.

ARTICLE 16—FINAL RESOLUTIONS OF DISPUTES

17.02 Arbitration

SC-17.02 Add the following new paragraph immediately after Paragraph 17.01.

#### 17.02 Arbitration

- A. All matters subject to final resolution under this Article will be settled by arbitration administered by the American Arbitration Association in accordance with its Construction Industry Arbitration Rules (subject to the conditions and limitations of this Paragraph SC-17.02). Any controversy or claim in the amount of \$100,000 or less will be settled in accordance with the American Arbitration Association's supplemental rules for Fixed Time and Cost Construction Arbitration. This agreement to arbitrate will be specifically enforceable under the prevailing law of any court having jurisdiction.
- B. The demand for arbitration will be filed in writing with the other party to the Contract and with the selected arbitration administrator, and a copy will be sent to Engineer for information. The demand for arbitration will be made within the specific time required in Article 17, or if no specified time is applicable within a reasonable time after the matter in question has arisen, and in no event will any such demand be made after the date when institution of legal or equitable proceedings based on such matter in question would be barred by the applicable statute of limitations.
- C. The arbitrator(s) must be licensed engineers, contractors, attorneys, or construction managers. Hearings will take place pursuant to the standard procedures of the Construction Arbitration Rules that contemplate in-person hearings. The arbitrators will have no authority to award punitive or other damages not measured by the prevailing

SUPPLEMENTARY CONDITIONS OF THE CONSTRUCTION CONTRACT

party's actual damages, except as may be required by statute or the Contract. Any award in an arbitration initiated under this clause will be limited to monetary damages and include no injunction or direction to any party other than the direction to pay a monetary amount.

- D. The Arbitrators will have the authority to allocate the costs of the arbitration process among the parties, but will only have the authority to allocate attorneys' fees if a specific Law or Regulation or this Contract permits them to do so.
- E. The award of the arbitrators must be accompanied by a reasoned written opinion and a concise breakdown of the award. The written opinion will cite the Contract provisions deemed applicable and relied on in making the award.
- F. The parties agree that failure or refusal of a party to pay its required share of the deposits for arbitrator compensation or administrative charges will constitute a waiver by that party to present evidence or cross-examine witness. In such event, the other party shall be required to present evidence and legal argument as the arbitrator(s) may require for the making of an award. Such waiver will not allow for a default judgment against the non-paying party in the absence of evidence presented as provided for above.
- G. No arbitration arising out of or relating to the Contract will include by consolidation, joinder, or in any other manner any other individual or entity (including Engineer, and Engineer's consultants and the officers, directors, partners, agents, employees or consultants of any of them) who is not a party to this Contract unless:
  - 1. the inclusion of such other individual or entity will allow complete relief to be afforded among those who are already parties to the arbitration;
  - such other individual or entity is substantially involved in a question of law or fact which is common to those who are already parties to the arbitration, and which will arise in such proceedings;
  - 3. such other individual or entity is subject to arbitration under a contract with either Owner or Contractor, or consents to being joined in the arbitration; and
  - 4. the consolidation or joinder is in compliance with the arbitration administrator's procedural rules.
- H. The award will be final. Judgment may be entered upon it in any court having jurisdiction thereof, and it will not be subject to modification or appeal, subject to provisions of the Laws and Regulations relating to vacating or modifying an arbitral award.
- I. Except as may be required by Laws or Regulations, neither party nor an arbitrator may disclose the existence, content, or results of any arbitration hereunder without the prior written consent of both parties, with the exception of any disclosure required by Laws and Regulations or the Contract. To the extent any disclosure is allowed pursuant to the exception, the disclosure must be strictly and narrowly limited to maintain confidentiality to the extent possible.

## 17.03 Attorneys' Fees

SC-17.03 Add the following new paragraph immediately after Paragraph 17.02. [Note: If there is no Paragraph 17.02, because neither arbitration nor any other dispute resolution process has been specified here in the Supplementary Conditions, then revise this to state "Add the

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following new Paragraph immediately after Paragraph 17.01" and revise the numbering accordingly].

## 17.03 Attorneys' Fees

A. For any matter subject to final resolution under this Article, the prevailing party shall be entitled to an award of its attorneys' fees incurred in the final resolution proceedings, in an equitable amount to be determined in the discretion of the court, arbitrator, arbitration panel, or other arbiter of the matter subject to final resolution, taking into account the parties' initial demand or defense positions in comparison with the final result.

## ARTICLE 17—MISCELLANEOUS

No suggested Supplementary Conditions in this Article.

**END OF SECTION** 

SUMMARY OF WORK

## SECTION 01010 SUMMARY OF WORK

#### PART 1 GENERAL

## 1.01 DESCRIPTION

- A. The Work to be performed under this Contract shall consist of furnishing all labor, materials, tools, equipment and incidentals and performing all Work required to for the construction of the MAIN STREET PARK LANDSCAPE PHASE I, consisting of the installation of landscaping, irrigation, fencing, and all other appurtenances in accordance with the site development plans and specifications for the project and the City of Dawsonville, Georgia
- B. All Work described above shall be performed as shown on the Drawings and as specified.

#### 1.02 PROJECT LOCATION

The equipment and materials to be furnished will be installed at the locations shown on the Drawings.

## 1.03 QUANTITIES

The Owner reserves the right to alter the quantities of work to be performed or to extend or shorten the improvements at any time when and as found necessary, and the Contractor shall perform the work as altered, increased or decreased. Payment for such increased or decreased quantity will be made in accordance with the Instructions to Bidders. No allowance will be made for any change in anticipated profits nor shall such changes be considered as waiving or invalidating any conditions or provisions of the Contract and Bond.

#### 1.04 PARTIAL OWNER OCCUPANCY

The roads and area to which these improvements are being made will continue in operation during the period of construction.

## **END OF SECTION**

# SECTION 01025 MEASUREMENT AND PAYMENT

## PART 1 GENERAL

#### 1.01 SCOPE

- A. The Bid lists each item of the Project for which payment will be made. No payment will be made for any items other than those listed in the Bid.
- B. Required items of work and incidentals necessary for the satisfactory completion of the work which are not specifically listed in the Bid, and which are not specified in this Section to be measured or to be included in one of the items listed in the Bid, shall be considered as incidental to the work. All costs thereof, including Contractor's overhead costs and profit, shall be considered as included in the lump sum or unit prices bid for the various Bid items. The Contractor shall prepare the Bid accordingly.
- C. Work includes furnishing all labor, equipment, tools and materials, which are not furnished by the Owner and performing all operations required to complete the work satisfactorily, in place, as specified and as indicated on the Drawings.

## 1.02 DESCRIPTIONS

- A. Measurement of an item of work will be by the unit indicated in the Bid.
- B. Payment will include all necessary and incidental related work not specified to be included in any other item of work listed in the Bid.
- C. Unless otherwise stated in individual sections of the Specifications or in the Bid, no separate payment will be made for any item of work, materials, parts, equipment, supplies or related items required to perform and complete the work. The costs for all such items required shall be included in the price bid for item of which it is a part.
- D. Payment will be made by extending unit prices multiplied by quantities provided and then summing the extended prices to reflect actual work. Such price and payment shall constitute full compensation to the Contractor for furnishing all labor, equipment, tools and materials not furnished by the Owner and for performing all operations required to provide to the Owner the entire Project, complete in place, as specified and as indicated on the Drawings.
- E. Products shall mean materials or equipment permanently incorporated into the work.

## 1.03 CASH ALLOWANCES

## A. General

- 1. The Contractor shall include in the Bid Total all allowances stated in the Contract Documents. These allowances shall cover the net cost of the services provided by a firm selected by the Owner. The Contractor's handling costs, labor, overhead, profit and other expenses contemplated for the original allowance shall be included in the items to which they pertain and not in allowances.
- 2. No payment will be made for nonproductive time on the part of testing personnel due to the Contractor's failure to properly coordinate testing activities with the work schedule or the Contractor's problems with maintaining equipment in good working condition. The Contractor shall make all necessary excavations and shall supply any samples of materials necessary for conducting compaction and density tests.
- 3. No payment shall be provided for services which fail to verify required results.
- B. Should the net cost be more or less than the specified amount of the allowance, the Contract will be adjusted accordingly by change order. The amount of change order will not recognize any changes in handling costs at the site, labor, overhead, profit and other expenses caused by the adjustment to the allowance.

## C. Documentation

- 1. Submit copies of the invoices with each periodic payment request from the firm providing the services.
- 2. Submit results of services provided which verify required results.

## D. Schedule of Cash Allowances

## 1. Construction Surveying

- a. Allow the amount provided in the Bid for construction surveying by an independent surveying firm, selected by the Owner, to perform horizontal and vertical alignment checks at the discretion of the Engineer.
- b. This allowance is solely for the use of the Engineer for verification of the Contractor's reference points, centerlines and work performed. The presence of this bid item in no way relieves the Contractor of the responsibility of installing reference points, centerlines, temporary bench marks or verifying that the work has been performed accurately.

## 2. Geotechnical and Material Testing

- a. Allow the amount provided in the Bid for Geotechnical and Material Testing by an independent, licensed firm, selected by the Owner, to perform testing for compaction, compression, footing, and other tests at the discretion of the Engineer.
- b. This allowance is solely for the use of the Engineer for verification of the Contractor's accuracy and quality of work performed. The presence of this bid item in no way relieves the Contractor of the responsibility of meeting or exceeding engineer's, manufacturer's, or the owner's requirements.

## 1.04 EROSION AND SEDIMENT CONTROL

## A. General

- No separate payment shall be made for temporary and/or permanent erosion and sediment controls, except as noted below. All other temporary and/or permanent erosion and sedimentation control costs shall be included in the unit price bid for the item to which it pertains.
- 2. No payment will be made for any portion of the Project for which temporary erosion and sediment controls are not properly maintained.
- 3. Quantities for payment shall be based upon actual quantity constructed and authorized by the Engineer.
- B. Construction Exits: All costs for construction exits, including installation, maintenance, repair, and removal, shall be included in the unit price bid for Construction Exits.
- C. Silt Fence: All costs for silt fence, including installation, maintenance, repair, replacement, and removal, whether silt fence or hay bales are use, shall be included in the unit price bid for the appropriate type Silt Fence.

## D. Grassing

- 1. No separate payment will be made for temporary grassing.
- 3. Payment shall be made only for the final permanent perennial grassing. All costs for grassing, including seeding, fertilizing, mulching as well as temporary measures, shall be included in the price bid for Grassing.

**CONSTRUCTION STAKING** 

# SECTION 01055 CONSTRUCTION STAKING

## PART 1 GENERAL

## 1.01 SCOPE

- A. Construction staking shall include all of the surveying work required to layout the Work and control the location of the finished Project. The Contractor shall have the full responsibility for constructing the Project to the correct horizontal and vertical alignment, as shown on the Drawings, as specified, or as ordered by the Engineer. The Contractor shall assume all costs associated with rectifying work constructed in the wrong location.
- B. From the information shown on the Drawings and the information to be provided as indicated under Project Conditions below, the Contractor shall:
  - 1. be responsible for setting reference points and/or offsets, establishment of baselines, and all other layout, staking, and all other surveying required for the construction of the Project.
  - 2. safeguard all reference points, stakes, grade marks, horizontal and vertical control points, and shall bear the cost of re-establishing same if disturbed.
  - 3. stake out the permanent and temporary easements or the limits of construction to ensure that the Work is not deviating from the indicated limits.
  - 4. be responsible for all damage done to reference points, baselines, center lines and temporary bench marks, and shall be responsible for the cost of re-establishment of reference points, baselines, center lines and temporary bench marks as a result of the operations.
- C. Baselines shall be defined as the line to which the location of the Work is referenced, i.e., edge of pavement, road centerline, property line, right-of-way or survey line.

## 1.02 PROJECT CONDITIONS

A. The Drawings provide the location and/or coordinates of principal components of the Project. The alignment of some components of the Project may be indicated in the Specifications. The Engineer may order changes to the location of some of the components of the Project or provide clarification to questions regarding the correct alignment.

CONSTRUCTION STAKING

B. The survey points, control points, and baseline to be provided to the Contractor shall be limited to only that information which can be found on the Project site by the Contractor.

C. A topographic survey is included on the Drawings.

## 1.03 QUALITY ASSURANCE

- A. The Contractor shall furnish documentation, prepared by a surveyor currently registered in the State in which the Project is located, confirming that staking is being done to the horizontal and vertical alignment shown in the Contract Documents. This requires that the Contractor hire, at the Contractor's own expense, a currently registered surveyor, acceptable to the Owner, to provide ongoing construction staking or confirmation of such.
- B. Any deviations from the Drawings shall be confirmed by the Engineer prior to construction of that portion of the Project.
- C. Quantities for payments measured under this Contract shall be certified by the registered surveyor.
- D. Construction Surveying
  - 1. This bid item is solely for the use of the Engineer for verification of the Contractor's reference points, centerlines and work performed.
  - 2. The presence of this bid item in no way relieves the Contractor of the responsibility of installing reference points, centerlines, temporary bench marks, verifying that the work has been performed accurately, and all other work covered by this Section.

## 1.04 SITE WORK

A. Staking Precision: The precision of construction staking shall match the precision of component locations indicated on the Drawings. Staking of utilities shall be done in accordance with generally accepted practice for the type of utility.

REGULATORY REQUIREMENTS

# SECTION 01060 REGULATORY REQUIREMENTS

## PART 1 GENERAL

## 1.01 SCOPE

- A. Permits and Responsibilities: The Contractor shall, without additional expense to the Owner, be responsible for obtaining all necessary licenses and permits, including building permits, and for complying with any applicable federal, state, county and municipal laws, codes and regulations, in connection with the prosecution of the Work.
- B. The Contractor shall take proper safety and health precautions to protect the Work, the workers, the public and the property of others.
- C. The Contractor shall also be responsible for all materials delivered and work performed until completion and acceptance of the Work, except for any completed unit of construction thereof which may heretofore have been accepted.

**CODES AND STANDARDS** 

# SECTION 01091 CODES AND STANDARDS

## PART 1 GENERAL

## 1.01 DESCRIPTION

- A. Whenever reference is made to conforming to the standards of any technical society, organization, body, code or standard, it shall be construed to mean the latest standard, code, specification or tentative specification adopted and published at the time of advertisement for Bids. This shall include the furnishing of materials, testing of materials, fabrication and installation practices. In those cases where the Contractor's quality standards establish more stringent quality requirements, the more stringent requirement shall prevail. Such standards are made a part hereof to the extent which is indicated or intended.
- B. The inclusion of an organization under one category does not preclude that organization's standards from applying to another category.
- C. In addition, all work shall comply with the applicable requirements of local codes, utilities and other authorities having jurisdiction.
- D. All material and equipment, for which a UL Standard, an AGA or NSF approval or an ASME requirement is established, shall be so approved and labeled or stamped. The label or stamp shall be conspicuous and not covered, painted, or otherwise obscured from visual inspection.
- E. The standards which apply to this Project are not necessarily restricted to those organizations which are listed in Article 1.02.

## 1.02 STANDARD ORGANIZATIONS

## A. Piping and Valves

ACPA American Concrete Pipe Association
ANSI American National Standards Institute

API American Petroleum Institute

ASME American Society of Mechanical Engineers

AWWA American Water Works Association

CISPI Cast Iron Soil Pipe Institute

DIPRA Ductile Iron Pipe Research Association

FCI Fluid Controls Institute

MSS Manufacturers Standardization Society

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**CODES AND STANDARDS** 

NCPI National Clay Pipe Institute
NSF National Sanitation Foundation

PPI Plastic Pipe Institute

UBPPA Uni-Bell PVC Pipe Association

## B. Materials

AASHTO American Association of State Highway

and Transportation Officials

ANSI American National Standards Institute
ASTM American Society for Testing and Materials

## C. Painting and Surface Preparation

NACE National Association of Corrosion Engineers

SSPC Steel Structures Painting Council

## D. Electrical and Instrumentation

AEIC Association of Edison Illuminating Companies
AIEE American Institute of Electrical Engineers

EIA Electronic Industries Association
ICEA Insulated Cable Engineers Association

ICEA Insulated Cable Engineers Association
IEEE Institute of Electrical and Electronic Engineers

IES Illuminating Engineering Society
IPC Institute of Printed Circuits

IPCEA Insulated Power Cable Engineers Association

ISA Instrument Society of America

NEC National Electric Code

NEMA National Electrical Manufacturers Association

NFPA National Fire Protection Association

TIA Telecommunications Industries Association

UL Underwriter's Laboratories

VRCI Variable Resistive Components Institute

## E. Aluminum

AA Aluminum Association

AAMA American Architectural Manufacturers Association

## F. Steel and Concrete

ACI American Concrete Institute

**CODES AND STANDARDS** 

AISC American Institute of Steel Construction, Inc.

AISI American Iron and Steel Institute
CRSI Concrete Reinforcing Steel Institute
NRMA National Ready-Mix Association
PCA Portland Cement Association
PCI Pre-stressed Concrete Institute

## G. Welding

ASME American Society of Mechanical Engineers

AWS American Welding Society

## H. Government and Technical Organizations

AIA American Institute of Architects
APHA American Public Health Association
APWA American Public Works Association
ASA American Standards Association

ASAE American Society of Agricultural Engineers

ASCE American Society of Civil Engineers
ASQC American Society of Quality Control
ASSE American Society of Sanitary Engineers

CFR Code of Federal Regulations

CSI Construction Specifications Institute
EDA Economic Development Administration
EPA Environmental Protection Agency
FCC Federal Communications Commission

FmHA Farmers Home Administration

FS Federal Specifications

IAI International Association of Identification ISEA Industrial Safety Equipment Association

ISO International Organization for Standardization

ITE Institute of Traffic Engineers

NBFU National Board of Fire Underwriters (NFPA) National Fluid Power Association NBS National Bureau of Standards

NISO National Information Standards Organization
OSHA Occupational Safety and Health Administration

SI Salt Institute

SPI The Society of the Plastics Industry, Inc.
USDC United States Department of Commerce

WEF Water Environment Federation

CODES AND STANDARDS

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## General Building Construction

AHA American Hardboard Association

AHAM Association of Home Appliance Manufacturers
AITC American Institute of Timber Construction

APA American Parquet Association, Inc.
APA American Plywood Association

BHMA Builders Hardware Manufacturers Association

BIFMA Business and Institutional Furniture Manufacturers Association

DHI Door and Hardware Institute

FM Factory Mutual Fire Insurance Company

HPMA Hardwood Plywood Manufacturers Association

HTI Hand Tools Institute

IME Institute of Makers of Explosives

ISANTA International Staple, Nail and Tool Association

ISDSI Insulated Steel Door Systems Institute
IWS Insect Screening Weavers Association
MBMA Metal Building Manufacturers Association

NAAMM National Association of Architectural Metal Manufacturers

NAGDM National Association of Garage Door Manufacturers
NCCLS National Committee for Clinical Laboratory Standards

NFPA National Fire Protection Association
NFSA National Fertilizer Solutions Association
NKCA National Kitchen Cabinet Association

NWMA National Woodwork Manufacturers Association
NWWDA National Wood Window and Door Association

RMA Rubber Manufacturers Association

SBC SBCC Standard Building Code
SDI Steel Door Institute

SIA Scaffold Industry Association
SMA Screen Manufacturers Association

SPRI Single-Ply Roofing Institute
TCA Tile Council of America
UBC Uniform Building Code

## J. Roadways

AREA American Railway Engineering Association

DOT Department of Transportation

SSRBC Standard Specifications for Construction of Transportation Systems,

Georgia Department of Transportation

**CODES AND STANDARDS** 

## K. Plumbing

AGA American Gas Association

NSF National Sanitation Foundation

PDI Plumbing Drainage Institute

SPC SBCC Standard Plumbing Code

## L. Refrigeration, Heating, and Air Conditioning

AMCA Air Movement and Control Association

ARI American Refrigeration Institute

ASHRAE American Society of Heating, Refrigeration, and Air Conditioning

**Engineers** 

ASME American Society of Mechanical Engineers

CGA Compressed Gas Association
CTI Cooling Tower Institute
HEI Heat Exchange Institute

IIAR International Institute of Ammonia Refrigeration

NB National Board of Boilers and Pressure Vessel Inspectors

PFMA Power Fan Manufacturers Association SAE Society of Automotive Engineers

SMACNA Sheet Metal and Air Conditioning Contractors National Association

SMC SBCC Standard Mechanical Code

TEMA Tubular Exchangers Manufacturers Association

## M. Equipment

AFBMA Anti-Friction Bearing Manufacturers Association, Inc.

AGMA American Gear Manufacturers Association

ALI Automotive Lift Institute

CEMA Conveyor Equipment Manufacturers Association
CMAA Crane Manufacturers Association of America
DEMA Diesel Engine Manufacturers Association
MMA Monorail Manufacturers Association
OPEI Outdoor Power Equipment Institute, Inc.

PTI Power Tool Institute, Inc.
RIA Robotic Industries Association

SAMA Scientific Apparatus Makers Association

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CODES AND STANDARDS

## 1.03 SYMBOLS

Symbols and material legends shall be as scheduled on the Drawings.

**PROJECT MEETINGS** 

## SECTION 01200 PROJECT MEETINGS

## PART 1 GENERAL

## 1.01 SCOPE

- A. Work under this Section includes all scheduling and administering of pre-construction and progress meetings as herein specified and necessary for the proper and complete performance of this Work.
- B. Scheduling and Administration by Engineer:
  - 1. Prepare agenda.
  - 2. Make physical arrangements for the meetings.
  - 3. Preside at meetings.
  - 4. Record minutes and include significant proceedings and decisions.
  - 5. Distribute copies of the minutes to participants.

## 1.02 PRECONSTRUCTION CONFERENCE

- A. The Engineer shall schedule the preconstruction conference prior to the issuance of the Notice to Proceed.
- B. Representatives of the following parties are to be in attendance at the meeting:
  - 1. Owner.
  - 2. Landscape Architect/Engineer.
  - 3. Contractor and superintendent.
  - 4. Major subcontractors.
  - 5. Representatives of governmental or regulatory agencies when appropriate.

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PROJECT MEETINGS

C. The agenda for the preconstruction conference shall consist of the following as a minimum:

- 1. Distribute and discuss a list of major subcontractors and a tentative construction schedule.
- 2. Critical work sequencing.
- 3. Designation of responsible personnel and emergency telephone numbers.
- 4. Processing of field decisions and change orders.
- 5. Adequacy of distribution of Contract Documents.
- 6. Schedule and submittal of shop drawings, product data and samples.
- 7. Pay request format, submittal cutoff date, pay date and retainage.
- 8. Procedures for maintaining record documents.
- 9. Use of premises, including office and storage areas and Owner's requirements.
- Major equipment deliveries and priorities. 10.
- 11. Safety and first aid procedures.
- 12. Security procedures.
- 13. Housekeeping procedures.
- 14. Work hours.

#### 1.03 PROJECT COORDINATION MEETINGS

Project Coordination Meetings may be requested at any time at the discretion of the Owner, Engineer or Contractor. The party requesting a meeting shall provide the other two parties with as much notice as possible, as well as a written agenda for such meeting.

SHOP DRAWINGS, PRODUCT DATA AND SAMPLES

# SECTION 01340 SHOP DRAWINGS, PRODUCT DATA AND SAMPLES

## PART 1 GENERAL

## 1.01 SCOPE

- A. The work under this Section includes submittal to the Engineer of shop drawings, product data and samples required by the various sections of these Specifications.
- B. Submittal Contents: The submittal contents required are specified in each section.
- C. Definitions: Submittals are categorized as follows:

## 1. Shop Drawings

- a. Shop drawings shall include technical data, drawings, diagrams, procedure and methodology, performance curves, schedules, templates, patterns, test reports, calculations, instructions, measurements and similar information as applicable to the specific item for which the shop drawing is prepared.
- b. Provide newly-prepared information, on reproducible sheets, with graphic information at accurate scale (except as otherwise indicated) or appropriate number of prints hereof, with name or preparer (firm name) indicated. The Contract Drawings shall not be traced or reproduced by any method for use as or in lieu of detail shop drawings. Show dimensions and note which are based on field measurement. Identify materials and products in the work shown. Indicate compliance with standards and special coordination requirements. Do not allow shop drawing copies without appropriate final Action markings by the Engineer to be used in connection with the Work.
- c. Drawings shall be presented in a clear and thorough manner. Details shall be identified by reference to sheet and detail, specification section, schedule or room numbers shown on the Contract Drawings.
- d. Minimum assembly drawings sheet size shall be 24 x 36-inches.
- e. Minimum detail sheet size shall be 8-1/2 x 11-inches.
- f. Minimum Scale:
  - (1) Assembly Drawings Sheet, Scale: 1-inch = 30 feet.
  - (2) Detail Sheet, Scale: 1/4-inch = 1 foot.

## 2. Product Data

- a. Product data includes standard printed information on materials, products and systems, not specially prepared for this Project, other than the designation of selections from among available choices printed therein.
- b. Collect required data into one submittal for each unit of work or system, and mark each copy to show which choices and options are applicable to the Project. Include manufacturer's standard printed recommendations for application and use, compliance with standards, application of labels and seals, notation of field measurements which have been checked and special coordination requirements.

## 3. Samples

- a. Samples include both fabricated and un-fabricated physical examples of materials, products and units of work, both as complete units and as smaller portions of units of work, either for limited visual inspection or, where indicated, for more detailed testing and analysis.
- b. Provide units identical with final condition of proposed materials or products for the work. Include range samples, not less than three units, where unavoidable variations must be expected, and describe or identify variations between units of each set. Provide full set of optional samples where the Engineer's selection is required. Prepare samples to match the Engineer's sample where indicated. Include information with each sample to show generic description, source or product name and manufacturer, limitations and compliance with standards. Samples are submitted for review and confirmation of color, pattern, texture and kind by the Engineer. Engineer will note test samples, except as otherwise indicated, for other requirements, which are the exclusive responsibility of the Contractor.
- 4. Miscellaneous submittals related directly to the Work (non-administrative) include warranties, maintenance agreements, workmanship bonds, project photographs, survey data and reports, physical work records, statements of applicability, quality testing and certifying reports, copies of industry standards, record drawings, field measurement data, operating and maintenance materials, overrun stock, security/protection/safety keys and similar information, devices and materials applicable to the Work but not processed as shop drawings, product data or samples.

## 1.02 SPECIFIC CATEGORY REQUIREMENTS

A. General: Except as otherwise indicated in the individual work sections, comply with general requirements specified herein for each indicated category of submittal.

## 1. Submittals shall contain:

- a. The date of submittal and the dates of any previous submittals.
- b. The Project title.
- c. Numerical submittal numbers, starting with 1.0, 2.0, etc. Revisions to be numbered 1.1, 1.2, etc.
- d. The Names of:
  - 1) Contractor
  - 2) Supplier
  - 3) Manufacturer
- e. Identification of the product, with the Specification section number, permanent equipment tag numbers and applicable Drawing No.
- f. Field dimensions, clearly identified as such.
- g. Relation to adjacent or critical features of the Work or materials.
- h. Applicable standards, such as ASTM or Federal Specification numbers.
- Notification to the Engineer in writing, at time of submissions, of any deviations on the submittals from requirements of the Contract Documents.
- j. Identification of revisions on resubmittals.
- k. An 8 x 3-inch blank space for Contractor and Engineer stamps.
- I. Contractor's stamp, initialed or signed, certifying to review of submittal, verification of products, field measurements and field construction criteria and coordination of the information within the submittal with requirements of the Work and of Contract Documents.
- m. Submittal sheets or drawings showing more than the particular item under consideration shall have all but the pertinent description of the item for which review is requested crossed out.

## 1.03 ROUTING OF SUBMITTALS

- A. Submittals and routine correspondence shall be routed as follows:
  - 1. Supplier to Contractor (through representative if applicable)
  - 2. Contractor to Engineer
  - 3. Engineer to Contractor and Owner
  - 4. Contractor to Supplier

SHOP DRAWINGS, PRODUCT DATA AND SAMPLES

#### 1.04 ADDRESS FOR COMMUNICATIONS

Landscape Architect: Davis Engineering & Surveying, LLC

Attn: Rachel Burton, PLA

133 Prominence Court, Suite 210 Dawsonville, Georgia 30534

Phone: 706.265.1234 Fax: 678.248.9177 Email: rburton@davisengineers.com

## PART 2 PRODUCTS

## 2.01 SHOP DRAWINGS

- A. Unless otherwise specifically directed by the Engineer, make all shop drawings accurately to a scale sufficiently large to show all pertinent features of the item and its method of connection to the Work.
- B. Submit all shop assembly drawings, larger than 11 x 17-inches, in the form of two bond prints.
- C. Submit all shop drawings, 11 x 17-inches and smaller, in the form of two bond prints.
- D. One reproducible for all submittals larger than 11 x 17-inches and no more than three prints of other submittals will be returned to the Contractor.

## 2.02 MANUFACTURER'S LITERATURE

- A. Where content of submitted literature from manufacturers includes data not pertinent to this submittal, clearly indicate which portion of the contents is being submitted for the Engineer's review.
- B. Submit the number of copies which are required to be returned (not to exceed three) plus three copies which will be retained by the Engineer.

## 2.03 SAMPLES

- A. Samples shall illustrate materials, equipment or workmanship and established standards by which completed work is judged.
- B. Unless otherwise specifically directed by the Engineer, all samples shall be of the precise article proposed to be furnished.

SHOP DRAWINGS, PRODUCT DATA AND SAMPLES

C. Submit all samples in the quantity which is required to be returned plus one sample which will be retained by the Engineer.

#### 2.04 COLORS

- A. Unless the precise color and pattern is specifically described in the Contract Documents, wherever a choice of color or pattern is available in a specified product, submit accurate color charts and pattern charts to the Engineer for review and selection.
- B. Unless all available colors and patterns have identical costs and identical wearing capabilities, and are identically suited to the installation, completely describe the relative costs and capabilities of each.

## PART 3 EXECUTION

## 3.01 CONTRACTOR'S COORDINATION OF SUBMITTALS

- A. Prior to submittal for the Engineer's review, the Contractor shall use all means necessary to fully coordinate all material, including the following procedures:
  - 1. Determine and verify all field dimensions and conditions, catalog numbers and similar data.
  - 2. Coordinate as required with all trades and all public agencies involved.
  - 3. Submit a written statement of review and compliance with the requirements of all applicable technical Specifications as well as the requirements of this Section.
  - 4. Clearly indicate in a letter or memorandum on the manufacturer's or fabricator's letterhead, all deviations from the Contract Documents.
- B. Each and every copy of the shop drawings and data shall bear the Contractor's stamp showing that they have been so checked. Shop drawings submitted to the Engineer without the Contractor's stamp will be returned to the Contractor for conformance with this requirement.
- C. The Owner may back charge the Contractor for costs associated with having to review a particular shop drawing, product data or sample more than two times to receive a No Exceptions Taken mark.
- D. Grouping of Submittals

SHOP DRAWINGS, PRODUCT DATA AND SAMPLES

1. Unless otherwise specifically permitted by the Engineer, make all submittals in groups containing all associated items.

2. No review will be given to partial submittals of shop drawings for items which interconnect and/or are interdependent. It is the Contractor's responsibility to assemble the shop drawings for all such interconnecting and/or interdependent items, check them and then make one submittal to the Engineer along with Contractor's comments as to compliance, non-compliance or features requiring special attention.

## E. Schedule of Submittals

Within 30 days of Contract award and prior to any shop drawing submittal, the Contractor shall submit a schedule showing the estimated date of submittal and the desired approval date for each shop drawing anticipated. A reasonable period shall be scheduled for review and comments. Time lost due to unacceptable submittals shall be the Contractor's responsibility and some time allowance for resubmittal shall be provided. The schedule shall provide for submittal of items which relate to one another to be submitted concurrently.

## 3.02 TIMING OF SUBMITTALS

- A. Make all submittals far enough in advance of scheduled dates for installation to provide all required time for reviews, for securing necessary approvals, for possible revision and resubmittal, and for placing orders and securing delivery.
- B. In scheduling, allow sufficient time for the Engineer's review following the receipt of the submittal.

## 3.03 REVIEWED SHOP DRAWINGS

## A. Engineer Review

Allow a minimum of 7 days for the Engineer's initial processing of each submittal requiring review and response, except allow longer periods where processing must be delayed for coordination with subsequent submittals. The Engineer will advise the Contractor promptly when it is determined that a submittal being processed must be delayed for coordination. Allow a minimum of 5 days for reprocessing each submittal. Advise the Engineer on each submittal as to whether processing time is critical to progress of the Work, and therefore the Work would be expedited if processing time could be foreshortened.

SHOP DRAWINGS, PRODUCT DATA AND SAMPLES

CITY OF DAWSONVILLE - MAIN STREET PARK LANDSCAPE PHASE I

- 2. Acceptable submittals will be marked No Exceptions Taken. A minimum of three copies will be retained by the Engineer for Engineer's and the Owner's use and the remaining copies will be returned to the Contractor.
- 3. Submittals requiring minor corrections before the product is acceptable will be marked Make Corrections Noted. The Contractor may order, fabricate and ship the items included in the submittals, provided the indicated corrections are made. Drawings must be resubmitted for review and marked No Exceptions Taken prior to installation or use of products.
- 4. Submittals marked Amend and Resubmit must be revised to reflect required changes and the initial review procedure repeated.
- 5. The Rejected See Remarks notation is used to indicate products which are not acceptable. Upon return of a submittal so marked, the Contractor shall repeat the initial review procedure utilizing acceptable products.
- 6. Only two copies of items marked Amend and Resubmit and Rejected See Remarks will be reviewed and marked. One copy will be retained by the Engineer and the other copy with all remaining unmarked copies will be returned to the Contractor for resubmittal.
- B. No work or products shall be installed without a drawing or submittal bearing the No Exceptions Taken notation. The Contractor shall maintain at the job site a complete set of shop drawings bearing the Engineer's stamp.
- C. Substitutions: In the event the Contractor obtains the Engineer's approval for the use of products other than those which are listed first in the Contract Documents, the Contractor shall, at the Contractor's own expense and using methods approved by the Engineer, make any changes to structures, piping and electrical work that may be necessary to accommodate these products.
- D. Use of the No Exceptions Taken notation on shop drawings or other submittals is general and shall not relieve the Contractor of the responsibility of furnishing products of the proper dimension, size, quality, quantity, materials and all performance characteristics, to efficiently perform the requirements and intent of the Contract Documents. The Engineer's review shall not relieve the Contractor of responsibility for errors of any kind on the shop drawings. Review is intended only to assure conformance with the design concept of the Project and compliance with the information given in the Contract Documents. The Contractor is responsible for dimensions to be confirmed and correlated at the job site. The Contractor is also responsible for information that pertains solely to the fabrication processes or to the technique of construction and for the coordination of the work of all trades.

SHOP DRAWINGS, PRODUCT DATA AND SAMPLES

## 3.04 RESUBMISSION REQUIREMENTS

## A. Shop Drawings

- 1. Revise initial drawings as required and resubmit as specified for initial submittal, with the resubmittal number shown.
- 2. Indicate on drawings all changes which have been made other than those requested by the Engineer.
- B. Project Data and Samples: Resubmit new data and samples as specified for initial submittal, with the resubmittal number shown.

**TEMPORARY FACILITIES** 

# SECTION 01510 TEMPORARY FACILITIES

## PART 1 GENERAL

## 1.01 SCOPE

- A. Temporary facilities required for this work include, but are not necessarily limited to:
  - 1. Temporary utilities such as water and electricity.
  - 2. First aid facilities.
  - 3. Sanitary facilities.
  - 4. Potable water.
  - 5. Temporary enclosures and construction facilities.
- B. Temporary utilities for field offices shall be provided by the Contractor.

## 1.02 GENERAL

- A. First aid facilities, sanitary facilities and potable water shall be available on the Project site on the first day that any activities are conducted on site. The other facilities shall be provided as the schedule of the Project warrants.
- B. Maintenance: Use all means necessary to maintain temporary facilities in proper and safe condition throughout progress of the Work. In the event of loss or damage, immediately make all repairs and replacements necessary, at no additional cost to the Owner.
- C. Removal: Remove all such temporary facilities and controls as rapidly as progress of the Work will permit.

## 1.03 UTILITIES

## A. Temporary Utilities

1. Provide and pay all costs for all water, electricity and other utilities required for the performance of the Work.

- 2. Contractor shall coordinate with the City of Dawsonville for use of water from existing water services during construction.
- 3. Pay all costs for temporary utilities until Project completion.
- 4. Costs for temporary utilities shall include all power, water and the like necessary for testing equipment as required by the Contract Documents.
- B. Temporary Water: Provide all necessary temporary piping, and upon completion of the Work, remove all such temporary piping. Provide and remove water meters.

## C. Temporary Electricity

- 1. Provide all necessary wiring for the Contractor's use.
- 2. Furnish, locate and install area distribution boxes such that the individual trades may use, their own construction type extension cords to obtain adequate power, and artificial lighting at all points where required by inspectors and for safety.

## 1.04 FIRST AID FACILITIES

The Contractor shall provide a suitable first aid station, equipped with all facilities and medical supplies necessary to administer emergency first aid treatment. The Contractor shall have standing arrangements for the removal and hospital treatment of any injured person. All first aid facilities and emergency ambulance service shall be made available by the Contractor to the Owner and the Engineer's personnel.

## 1.05 SANITARY FACILITIES

Prior to starting the Work, the Contractor shall furnish, for use of Contractor's personnel on the job, all necessary toilet facilities which shall be secluded from public observation. These facilities shall be either chemical toilets or shall be connected to the Owner's sanitary sewer system. All facilities, regardless of type, shall be kept in a clean and sanitary condition and shall comply with the requirements and regulations of the area in which the Work is performed. Adequacy of these facilities will be subject to the Engineer's review and maintenance of same must be satisfactory to the Engineer at all times.

## 1.06 POTABLE WATER

The Contractor shall be responsible for furnishing a supply of potable drinking water for employees, subcontractors, inspectors, engineers and the Owner who are associated with the Work.

**TEMPORARY FACILITIES** 

## 1.07 ENCLOSURES AND CONSTRUCTION FACILITIES

Furnish, install and maintain for the duration of construction, all required scaffolds, tarpaulins, canopies, steps, bridges, platforms and other temporary construction necessary for proper completion of the Work in compliance with all pertinent safety and other regulations.

## 1.08 PARKING FACILITIES

Parking facilities for the Contractor's and Contractor's subcontractors' personnel shall be the Contractor's responsibility. The storage and work facilities provided by the Owner will not be used for parking by the Contractor's or subcontractor's personnel.

JOB SITE SECURITY

# SECTION 01540 JOB SITE SECURITY

## PART 1 GENERAL

## 1.01 BARRICADES, LIGHTS AND SIGNALS

- A. The Contractor shall furnish and erect such barricades, fences, lights and danger signals and shall provide such other precautionary measures for the protection of persons or property and of the Work as necessary. Barricades shall be painted in a color that will be visible at night. From sunset to sunrise, the Contractor shall furnish and maintain at least one light at each barricade and sufficient numbers of barricades shall be erected to keep vehicles from being driven on or into any Work under construction.
- B. The Contractor will be held responsible for all damage to the Work due to failure of barricades, signs and lights and whenever evidence is found of such damage, the Contractor shall immediately remove the damaged portion and replace it at Contractor's cost and expense. The Contractor's responsibility for the maintenance of barricades, signs and lights shall not cease until the Project has been accepted by the Owner.

## SECTION 01610 TRANSPORATION AND HANDLING

## PART 1 GENERAL

## 1.01 SCOPE

- A. The Contractor shall provide transportation of all equipment, materials and products furnished under these Contract Documents to the Work site. In addition, the Contractor shall provide preparation for shipment, loading, unloading, handling and preparation for installation and all other work and incidental items necessary or convenient to the Contractor for the satisfactory prosecution and completion of the Work.
- B. All equipment, materials and products damaged during transportation or handling shall be repaired or replaced by the Contractor at no additional cost to the Owner prior to being incorporated into the Work.

## 1.02 TRANSPORTATION

- A. All equipment shall be suitably boxed, crated or otherwise protected during transportation.
- B. Where equipment will be installed using existing cranes or hoisting equipment, the Contractor shall ensure that the weights of the assembled sections do not exceed the capacity of the cranes or hoisting equipment.
- C. Small items and appurtenances such as gauges, valves, switches, instruments and probes which could be damaged during shipment shall be removed from the equipment prior to shipment, packaged and shipped separately. All openings shall be plugged or sealed to prevent the entrance of water or dirt.

## 1.03 HANDLING

- A. All equipment, materials and products shall be carefully handled to prevent damage or excessive deflections during unloading or transportation.
- B. Lifting and handling drawings and instructions furnished by the manufacturer or supplier shall be strictly followed. Eyebolts or lifting lugs furnished on the equipment shall be used in handling the equipment. Shafts and operating mechanisms shall not be used as lifting points. Spreader bars or lifting beams shall be used when the distance between lifting points exceeds that permitted by standard industry practice.

APRIL 26, 2019 SECTION 01610

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TRANSPORTATION AND HANDLING

C. Under no circumstances shall equipment or products such as pipe, structural steel, castings, reinforcement, lumber, piles, poles, etc., be thrown or rolled off of trucks onto the ground.

D. Slings and chains shall be padded as required to prevent damage to protective coatings and finishes.

APRIL 26, 2019 SECTION 01611

STORAGE AND PROTECTION

# SECTION 01611 STORAGE AND PROTECTION

## PART 1 GENERAL

## 1.01 SCOPE

The work under this Section includes, but is not necessarily limited to, the furnishing of all labor, tools and materials necessary to properly store and protect all materials, equipment, products and the like, as necessary for the proper and complete performance of the Work.

## 1.02 STORAGE AND PROTECTION

## A. Storage

- 1. Maintain ample way for foot traffic at all times, except as otherwise approved by the Engineer.
- 2. All property damaged by reason of storing of material shall be properly replaced at no additional cost to the Owner.
- 3. Packaged materials shall be delivered in original unopened containers and so stored until ready for use.
- 4. All materials shall meet the requirements of these Specifications at the time that they are used in the Work.
- 5. Store products in accordance with manufacturer's instructions.

#### B. Protection

- 1. Use all means necessary to protect the materials, equipment and products of every section before, during and after installation and to protect the installed work and materials of all other trades.
- 2. All materials shall be delivered, stored and handled to prevent the inclusion of foreign materials and damage by water, breakage, vandalism or other causes.
- 3. Substantially constructed weather tight storage sheds, with raised floors, shall be provided and maintained as may be required to adequately protect those materials and products stored on the site which may require protection from damage by the elements.

STORAGE AND PROTECTION

- C. Replacements: In the event of damage, immediately make all repairs and replacements necessary for the approval of the Engineer and at no additional cost to the Owner.
- D. Equipment and products stored outdoors shall be supported above the ground on suitable wooden blocks or braces arranged to prevent excessive deflection or bending between supports. Items such as pipe, structural steel and sheet construction products shall be stored with one end elevated to facilitate drainage.
- E. Unless otherwise permitted in writing by the Engineer, building products and materials such as cement, grout, plaster, gypsum board, particleboard, resilient flooring, acoustical tile, paneling, finish lumber, insulation, wiring, etc., shall be stored indoors in a dry location. Building products such as rough lumber, plywood, concrete block and structural tile may be stored outdoors under a properly secured waterproof covering.
- F. Tarps and other coverings shall be supported above the stored equipment or materials on wooden strips to provide ventilation under the cover and minimize condensation. Tarps and covers shall be arranged to prevent ponding of water.

## 1.03 EXTENDED STORAGE

In the event that certain items of major equipment such as air compressors, pumps and mechanical aerators have to be stored for an extended period of time, the Contractor shall provide satisfactory long-term storage facilities which are acceptable to the City of Dawsonville. The Contractor shall provide all special packaging, protective coverings, protective coatings, power, nitrogen purge, desiccants, lubricants and exercising necessary or recommended by the manufacturer to properly maintain and protect the equipment during the period of extended storage.

## SUBSTITUTIONS AND OPTIONS

## SECTION 01630 SUBSTITUTIONS AND OPTIONS

## PART 1 GENERAL

## 1.01 SCOPE

This Section outlines the restrictions and requirements for substitutions, product and manufacturer options, and construction method options.

## 1.02 DEFINITIONS

- A. For the purposes of these Contract Documents, a substitute item shall be defined as one of the following:
  - 1. A product or manufacturer offered as a replacement to a specified product or manufacturer.
  - 2. A product or manufacturer offered in addition to a specified product or manufacturer.
- B. For the purposes of these Contract Documents, a substitute construction method shall be defined as one of the following:
  - 1. A mean, method, technique, sequence or procedure of construction offered as a replacement for a specified mean, method, technique, sequence or procedure of construction.
  - A mean, method, technique, sequence or procedure of construction offered in addition to a specified mean, method, technique, sequence or procedure of construction.

## 1.03 GENERAL

- A. An item or construction method, which is offered where no specific product, manufacturer, mean, method, technique, sequence or procedure of construction is specified or shown on the Drawings, shall not be considered a substitute and shall be at the option of the Contractor, subject to the provisions in the Contract Documents for that item or construction method.
- B. For products specified only by a referenced standard, the Contractor may select any product by any manufacturer, which meets the requirements of the Specifications, unless indicated otherwise in the Contract Documents.

SUBSTITUTIONS AND OPTIONS

CITY OF DAWSONVILLE – MAIN STREET PARK LANDSCAPE PHASE I

- C. If the manufacturer is named on the Drawings or in the Specifications as an acceptable manufacturer, products of that manufacturer meeting all requirements of the Specifications and Drawings are acceptable.
- D. Whenever the Engineer's design is based on a specific product of a particular manufacturer, that manufacturer will be shown on the Drawings and/or listed first in the list of approved manufacturers in the Specifications. Any Bidder intending to furnish products of other than the first listed manufacturer, or furnish substitute items, shall
  - 1. Verify that the item being furnished will fit in the space allowed, perform the same functions and have the same capabilities as the item specified.
  - 2. Include in its Bid the cost of all accessory items which may be required by the other listed substitute product,
  - 3. Include the cost of any architectural, structural, mechanical, piping, electrical or other modifications required, and
  - 4. Include the cost of required additional work by the Engineer, if any, to accommodate the item.
- E. Whenever a product specification includes minimum experience requirements which the manufacturer selected by the Contractor cannot meet, the manufacturer shall furnish the Owner with a cash deposit, or bond acceptable to the Owner in an amount equal to the cost of the product, which shall remain in effect until the experience requirement has been met.

## 1.04 APPROVALS

- A. Approval, of a substitution as an acceptable manufacturer, of the Engineer is dependent on determination that the product offered
  - is essentially equal in function, performance, quality of manufacture, ease of maintenance, reliability, service life and other criteria to that on which the design is based, and
  - 2. will require no major modifications to structures, electrical systems, control systems or piping systems.

## 1.05 SUBSTITUTIONS AND OPTIONS

A. No substitutions will be considered for the manufacturers listed in the Bid.

**SECTION 01630** CITY OF DAWSONVILLE - MAIN STREET PARK LANDSCAPE PHASE I

#### B. After Notice to Proceed

1. Substitute items will be considered only if the term equal to precedes the names of acceptable manufacturers in the Specification.

- 2. Where items are specified by referenced standard or specified as indicated in Article 1.03, Paragraph A. above, such items shall be submitted to the Engineer for review.
- The Contractor shall submit shop drawings on the substitute item for the 3. Engineer's review in accordance with the Section 01340.

#### C. Prior to Opening of Bids

- 1. No consideration or approvals will be made for products specified by a referenced standard, or specified as indicated in Article 1.03, Paragraph A. above. Such consideration may occur only after the Notice to Proceed.
- No consideration or approvals will be made for products being offered where 2. the term equal to precedes the name of an approved product. Such substitution consideration may occur only after the Notice to Proceed.

## SECTION 01710 CLEANING

## PART 1 GENERAL

## 1.01 SCOPE

This Section covers the general cleaning which the Contractor shall be required to perform both during construction and before final acceptance of the Project unless otherwise shown on the Drawings or specified elsewhere in these Specifications.

## 1.02 QUALITY ASSURANCE

- A. Daily, and more often if necessary, conduct inspections verifying that requirements of cleanliness are being met.
- B. In addition to the standards described in this Section, comply with all pertinent requirements of governmental agencies having jurisdiction.

## 1.03 HAZARDOUS MATERIAL AND WASTE

- A. The Contractor shall handle hazardous waste and materials in accordance with applicable local, state, and federal regulations. Waste shall also be disposed of in approved landfills as applicable.
- B. The Contractor shall prevent accumulation of wastes which create hazardous conditions.
- C. Burning or burying rubbish and waste materials on the site shall not be allowed.
- D. Disposal of hazardous wastes or materials into sanitary or storm sewers shall not be allowed.

#### 1.04 DISPOSAL OF SURPLUS MATERIALS

Unless otherwise shown on the Drawings, specified or directed, the Contractor shall legally dispose off the site all surplus materials and equipment from demolition and shall provide suitable off-site disposal site, or utilize a site designated by the Owner.

**CLEANING** 

## PART 2 PRODUCTS

## 2.01 CLEANING MATERIALS AND EQUIPMENT

Provide all required personnel, equipment and materials needed to maintain the specified standard of cleanliness.

## 2.02 COMPATIBILITY

Use only the cleaning materials, methods and equipment which are compatible with the surface being cleaned, as recommended by the manufacturer of the material or as approved by the Engineer.

## PART 3 EXECUTION

## 3.01 PROGRESS CLEANING

## A. General

- 1. Do not allow the accumulation of scrap, debris, waste material and other items not required for construction of this Work.
- 2. At least each week, and more often if necessary, completely remove all scrap, debris and waste material from the job site.
- 3. Provide adequate storage for all items awaiting removal from the job site, observing all requirements for fire protection and protection of the environment.

## B. Site

- 1. Daily, and more often if necessary, inspect the site and pick up all scrap, debris and waste material. Remove all such items to the place designated for their storage.
- 2. Restack materials stored on site weekly.
- 3. At all times maintain the site in a neat and orderly condition which meets the approval of the Engineer.

**CLEANING** 

## 3.02 FINAL CLEANING

A. Definitions: Unless otherwise specifically specified, clean for the purpose of this Article shall be interpreted as the level of cleanliness generally provided by commercial building maintenance subcontractors using commercial quality building maintenance equipment and materials.

- B. General: Prior to completion of the Work, remove from the job site all tools, surplus materials, equipment, scrap, debris and waste. Conduct final progress cleaning as described in 3.01 above.
- C. Site: Unless otherwise specifically directed by the Engineer, hose down all paved areas on the site and all public sidewalks directly adjacent to the site; rake clean other surfaces of the grounds. Completely remove all resultant debris.
- D. Post-Construction Cleanup: All evidence of temporary construction facilities, haul roads, work areas, structures, foundations of temporary structures, stockpiles of excess or waste materials, or any other evidence of construction, as directed by the Engineer.
- E. Restoration of Landscape Damage: Any landscape feature damaged by the Contractor shall be restored as nearly as possible to its original condition at the Contractor's expense. The Engineer will decide what method of restoration shall be used.
- F. Timing: Schedule final cleaning as approved by the Engineer to enable the Owner to accept the Project.

## 3.03 CLEANING DURING OWNER'S OCCUPANCY

Should the Owner occupy the Work or any portion thereof prior to its completion by the Contractor and acceptance by the Owner, responsibilities for interim and final cleaning of the occupied spaces shall be as determined by the Engineer in accordance with the Supplementary Conditions of the Contract Documents.

WARRANTIES AND BONDS

# SECTION 01740 WARRANTIES AND BONDS

## PART 1 GENERAL

## 1.01 PROJECT MAINTENANCE AND WARRANTY

- A. Maintain and keep in good repair the Work covered by these Drawings and Specifications until acceptance by the Owner.
- B. The Contractor shall warrant for a period of one year from the date of Owner's written acceptance of certain segments of the Work and/or Owner's written final acceptance of the Project, as defined in the Contract Documents, that the completed Work is free from all defects due to faulty products or workmanship and the Contractor shall promptly make such corrections as may be necessary by reason of such defects. The Owner will give notice of observed defects with reasonable promptness. In the event that the Contractor should fail to make such repairs, adjustments or other work that may be made necessary by such defects, the Owner may do so and charge the Contractor the cost thereby incurred. The Performance Bond shall remain in full force and effect throughout the warranty period.
- C. The Contractor shall not be obligated to make replacements which become necessary because of ordinary wear and tear, or as a result of improper operation or maintenance, or as a result of improper work or damage by another Contractor or the Owner, or to perform any work which is normally performed by a maintenance crew during operation.
- D. In the event of multiple failures of major consequences prior to the expiration of the one year warranty described above, the affected unit shall be disassembled, inspected and modified or replaced as necessary to prevent further occurrences. All related components which may have been damaged or rendered non-serviceable as a consequence of the failure shall be replaced. A new 12 month warranty against defective or deficient design, workmanship, and materials shall commence on the day that the item is reassembled and placed back into operation. As used herein, multiple failure shall be interpreted to mean two or more successive failures of the same kind in the same item or failures of the same kind in two or more items. Major failures may include, but are not limited to, cracked or broken housings, piping, or vessels, excessive deflections, bent or broken shafts, broken or chipped gear teeth, premature bearing failure, excessive wear or excessive leakage around seals. Failures which are directly and clearly traceable to operator abuse, such as operations in conflict with published operating procedures or improper maintenance, such as substitution of unauthorized replacement parts, use of incorrect lubricants or chemicals, flagrant over-or under-lubrication and using maintenance procedures not conforming with

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published maintenance instructions, shall be exempted from the scope of the one year warranty. Should multiple failures occur in a given item, all products of the same size and type shall be disassembled, inspected, modified or replaced as necessary and rewarranted for one year.

WARRANTIES AND BONDS

- E. The Contractor shall, at Contractor's own expense, furnish all labor, materials, tools and equipment required and shall make such repairs and removals and shall perform such work or reconstruction as may be made necessary by any structural or functional defect or failure resulting from neglect, faulty workmanship or faulty materials, in any part of the Work performed by the Contractor. Such repair shall also include refilling of trenches, excavations or embankments which show settlement or erosion after backfilling or placement.
- F. Except as noted on the Drawings or as specified, all structures such as embankments and fences shall be returned to their original condition prior to the completion of the Contract. Any and all damage to any facility not designated for removal, resulting from the Contractor's operations, shall be promptly repaired by the Contractor at no cost to the Owner.
- G. The Contractor shall be responsible for all road and entrance reconstruction and repairs and maintenance of same for a period of one year from the date of final acceptance. In the event the repairs and maintenance are not made immediately and it becomes necessary for the owner of the road to make such repairs, the Contractor shall reimburse the owner of the road for the cost of such repairs.
- H. In the event the Contractor fails to proceed to remedy the defects upon notification within 15 days of the date of such notice, the Owner reserves the right to cause the required materials to be procured and the work to be done, as described in the Drawings and Specifications, and to hold the Contractor and the sureties on Contractor's bond liable for the cost and expense thereof.
- I. Notice to Contractor for repairs and reconstruction will be made in the form of a registered letter addressed to the Contractor at Contractor's home office.
- J. Neither the foregoing paragraphs nor any provision in the Contract Documents, nor any special guarantee time limit implies any limitation of the Contractor's liability within the law of the place of construction.

#### **END OF SECTION**

CITY OF DAWSONVILLE - MAIN STREET PARK LANDSCAPE PHASE I

#### SOILS FOR EXTERIOR IMPROVEMENTS

## SECTION 320513 SOILS FOR EXTERIOR IMPROVEMENTS

#### PART 1 - GENERAL

#### 1.1 SUMMARY

#### A. Section Includes:

- 1. Subsoil materials.
- 2. Topsoil materials.

#### B. Related Sections:

- 1. Section 329119 Landscape Grading.
- 2. Section 329219 Seeding and Soil Supplements.
- 3. Section 329223 Sodding.
- 4. Section 329300 Plants.

#### 1.2 UNIT PRICES - MEASUREMENT AND PAYMENT

#### A. Subsoil:

- 1. Basis of Measurement: By cubic yard.
- 2. Basis of Payment: Includes excavating existing subsoil and stockpiling.

#### B. Topsoil:

- 1. Basis of Measurement: By cubic yard.
- 2. Basis of Payment: Includes excavating existing topsoil and stockpiling.

#### 1.3 REFERENCES

- A. American Association of State Highway and Transportation Officials:
  - 1. AASHTO T180 Standard Specification for Moisture-Density Relations of Soils Using a 4.54-kg (10-lb) Rammer and a 457-mm (18-in.) Drop.

#### B. ASTM International:

- 1. ASTM D698 Standard Test Method for Laboratory Compaction Characteristics of Soil Using Standard Effort (12,400 ft-lbf/ft3 (600 kN-m/m3).
- 2. ASTM D1557 Standard Test Methods for Laboratory Compaction Characteristics of Soil Using Modified Effort (56,000 ft-lbf/ft3. (2,700 kN-m/m3).
- 3. ASTM D2487 Standard Classification of Soils for Engineering Purposes (Unified Soil Classification System).

#### 1.4 SUBMITTALS

- A. Section 01340 Shop Drawings, Product Data and Samples.
- B. Samples: Submit, in air-tight containers, 10 lb sample of each type of fill to testing laboratory.
- C. Materials Source: Submit name of imported materials source.
- D. Manufacturer's Certificate: Certify Products meet or exceed specified requirements.

#### 1.5 QUALITY ASSURANCE

- A. Furnish each topsoil material from single source throughout the Work.
- B. Perform Work in accordance with State and City of Dawsonville's standard.
- C. Maintain one copy on site.

#### PART 2 - PRODUCTS

#### 2.1 SUSTAINABILITY CHARACTERISTICS

- A. Materials and Resources Characteristics:
  - 1. Regional Materials: Furnish materials extracted, processed, and manufactured within 500 miles of Project site.

#### 2.2 SUBSOIL MATERIALS

- A. Subsoil Type S1: Conforming to State or City of Dawsonville's standard.
- B. Subsoil Type S2:
  - 1. Excavated and re-used material.
  - 2. Graded.
  - 3. Free of lumps larger than 3 inches, rocks larger than 2 inches, and debris.
  - 4. Conforming to ASTM D2487 Group Symbol CL.

#### 2.3 TOPSOIL MATERIALS

- A. Topsoil Type S3: Conforming to State or City of Dawsonville's standard.
- B. Topsoil Type S4:
  - 1. Excavated and reused material.
  - 2. Graded.

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3. Free of roots, rocks larger than 1/2 inch, subsoil, debris, large weeds and foreign matter.

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- Screening: Single screened. a.
- Conforming to ASTM D2487 Group Symbol OH. 4.

#### C. Topsoil Type S5:

- 1. Imported borrow.
- 2. Friable loam.
- 3. Reasonably free of roots, rocks larger than 1/2 inch, subsoil, debris, large weeds, and foreign matter.
  - Screening: Single screened. a.
- Acidity range (pH) of 5.5 to 7.5. 4.
- 5. Containing minimum of 4 percent and maximum of 25 percent inorganic matter.
- Conforming to ASTM D2487 Group Symbol OH. 6.

#### 2.4 **SOURCE QUALITY CONTROL**

- Testing and Analysis of Subsoil Material: Perform in accordance with ASTM D698. A.
- В. Testing and Analysis of Topsoil Material: Analyze to determine percentage of nitrogen, phosphorus, potash, soluble salt, organic matter, and pH.
- C. When tests indicate materials do not meet specified requirements, change material and retest.
- Furnish materials of each type from same source throughout the Work. D.

#### PART 3 - EXECUTION

#### 3.1 **EXCAVATION**

- Excavate topsoil from areas designated. Strip topsoil to full depth of topsoil in A. designated areas.
- Stockpile excavated material meeting requirements for topsoil materials. В.
- C. Remove excess excavated materials not intended for reuse, from site.
- Remove excavated materials not meeting requirements for topsoil materials from site. D.

#### 3.2 STOCKPILING

Stockpile materials on site at locations indicated. Α.

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- B. Stockpile in sufficient quantities to meet Project schedule and requirements.
- C. Separate differing materials with dividers or stockpile apart to prevent mixing.
- D. Stockpile topsoil 8 feet high maximum.
- E. Prevent intermixing of soil types or contamination.
- F. Direct surface water away from stockpile site to prevent erosion or deterioration of materials.
- G. Stockpile unsuitable materials on impervious material and cover to prevent erosion and leaching, until disposed of.

#### 3.3 STOCKPILE CLEANUP

- A. Remove stockpile, leave area in clean and neat condition. Grade site surface to prevent free standing surface water.
- B. Leave unused materials in neat, compact stockpile.
- C. When borrow area is indicated, leave area in clean and neat condition. Grade site surface to prevent free standing surface water.

**END OF SECTION** 

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**CHAIN LINK FENCES AND GATES** 

# SECTION 323113 CHAIN LINK FENCES AND GATES

#### PART 4 - GENERAL

#### 4.1 SUMMARY

#### A. Section Includes:

- 1. Fence framework, fabric, and accessories.
- 2. Excavation for post bases.
- 3. Concrete foundation for posts and center drop for gates.
- 4. Manual gates and related hardware.
- 5. Privacy slats.

#### 4.2 UNIT PRICE - MEASUREMENT AND PAYMENT

#### A. Fencing:

- 1. Basis of Measurement: By linear foot to fence height specified, based on specified post spacing.
- 2. Basis of Payment: Includes posts, rails, tension wire, fabric, accessories, attachments.

#### B. Post Footings:

- 1. Basis of Measurement: Each unit footing, to depth specified.
- 2. Basis of Payment: Includes excavation, concrete placed, finishing.

#### C. Gates:

- 1. Basis of Measurement: Each specified type.
- 2. Basis of Payment: Includes frame posts, fabric, accessories, hardware.

#### 4.3 REFERENCES

#### A. ASTM International:

- ASTM A121 Standard Specification for Metallic-Coated Carbon Steel Barbed Wire.
- 2. ASTM A123/A123M Standard Specification for Zinc (Hot-Dip Galvanized) Coatings on Iron and Steel Products.
- 3. ASTM A153/A153M Standard Specification for Zinc Coating (Hot-Dip) on Iron and Steel Hardware.
- 4. ASTM A392 Standard Specification for Zinc-Coated Steel Chain-Link Fence Fabric.
- 5. ASTM A491 Standard Specification for Aluminum-Coated Steel Chain-Link Fence Fabric.
- 6. ASTM A817 Standard Specification for Metallic-Coated Steel Wire for Chain-Link Fence Fabric and Marcelled Tension Wire.

- 7. A1011/A1011M-07 Standard Specification for Steel, Sheet and Strip, Hot-Rolled, Carbon, Structural, High-Strength Low-Alloy, High-Strength Low-Alloy with Improved Formability, and Ultra-High Strength
- 8. ASTM B429/B429M Standard Specification for Aluminum-Alloy Extruded Structural Pipe and Tube.
- 9. ASTM C94/C94M Standard Specification for Ready-Mixed Concrete.
- 10. ASTM F552 Standard Terminology relating to Chain Link Fencing.
- 11. ASTM F567 Standard Practice for Installation of Chain-Link Fence.
- 12. ASTM F626 Standard Specification for Fence Fittings.
- 13. ASTM F668 Standard Specification for Polyvinyl Chloride (PVC) and Other Organic Polymer-Coated Steel Chain-Link Fence Fabric.
- 14. ASTM F900 Standard Specification for Industrial and Commercial Swing Gates.
- 15. ASTM F934 Standard Specification for Standard Colors for Polymer-Coated Chain Link Fence Materials.
- 16. ASTM F1043 Standard Specification for Strength and Protective Coatings on Metal Industrial Chain Link Fence Framework.
- 17. ASTM F1083 Standard Specification for Pipe, Steel, Hot-Dipped Zinc-Coated (Galvanized) Welded, for Fence Structures.
- 18. ASTM F1183 Standard Specification for Aluminum Alloy Chain Link Fence Fabric.
- ASTM F1184 Standard Specification for Industrial and Commercial Horizontal Slide Gates.
- 20. ASTM F1345 Standard Specification for Zinc 5% Aluminum -Mischmetal Alloy-Coated Steel Chain-Link Fence Fabric.
- B. Chain Link Fence Manufacturers Institute:
  - 1. CLFMI Product Manual.

#### 4.4 SYSTEM DESCRIPTION

- A. Fence Height: as indicated on Drawings.
- B. Line Post Spacing: At intervals not exceeding 10 feet.
- C. Fence Post and Rail Strength: Conform to ASTM F1043 Light Industrial Fence quality.

#### 4.5 SUBMITTALS

- A. Shop Drawings: Indicate plan layout, spacing of components, post foundation dimensions, hardware anchorage, gates, and schedule of components.
- B. Product Data: Submit data on fabric, posts, accessories, fittings and hardware.
- C. Samples: Submit two 12x12 inch samples of fence fabric, in size illustrating construction and black finish.

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D. Manufacturer's Installation Instructions: Submit installation requirements and post foundation anchor bolt templates.

#### 4.6 CLOSEOUT SUBMITTALS

- A. Project Record Documents: Accurately record actual locations of property perimeter posts relative to property lines and easements.
- B. Operation and Maintenance Data: Procedures for submittals.

#### 4.7 QUALITY ASSURANCE

- A. Supply material according to CLFMI Product Manual.
- B. Perform installation according to ASTM F567.
- C. Perform Work according to City of Dawsonville standards.
- D. Maintain one copy of each document on site.

#### 4.8 QUALIFICATIONS

- A. Manufacturer: Company specializing in manufacturing Products specified in this section with minimum three years documented experience.
- B. Installer: Company specializing in performing work of this section with minimum 5 years documented experience.

#### 4.9 DELIVERY, STORAGE AND HANDLING

- A. Section 01610 Transportation and Handling
- B. Deliver fence fabric and accessories in packed cartons or firmly tied rolls.
- C. Identify each package with manufacturer's name.
- D. Store fence fabric and accessories in secure and dry place.

#### PART 5 - PRODUCTS

#### 5.1 MANUFACTURERS

#### A. Manufacturers:

- 1. Allied Tube & Conduit; a part of Atkore International.
- 2. <u>Amico Corporation.</u>
- 3. Master Halco.
- 4. <u>Pacific Fence and Wire Company.</u>

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5. Substitutions: Section 01630 – Substitutions and Options.

#### 5.2 MATERIALS AND COMPONENTS

- A. Materials and Components: Conform to CLFMI Product Manual.
- B. Fabric Size: CLFMI Light Industrial, Standard Residential service.
- C. Intermediate Posts: Type I round.
- 5.3 Terminal, Corner, Rail, Brace, and Gate Posts: Type I round.

#### 5.4 MATERIALS

- A. Framing (Steel): ASTM F1083 Schedule 40 galvanized steel pipe, welded construction, minimum yield strength of 25 ksi; coating conforming to ASTM F1043 Type A on pipe exterior and interior.
- B. Framing (Steel): ASTM A1011/A1011M; hot rolled steel strip, cold formed to pipe configuration, longitudinally welded construction, minimum Grade 50; coating conforming to ASTM F1043 Type B on pipe exterior and interior.
- C. Fabric Wire (Steel): ASTM F668 PVC coated steel wire.
- D. Concrete: see Drawings.
- E. Concrete: ASTM C94/C94M, Option A; Portland Cement, 2,500 psi strength at 28 days.

#### 5.5 COMPONENTS

- A. Line Posts: 2.38 inch diameter.
- B. Corner and Terminal Posts: 2.38 inch.
- C. Gate Posts: 4.5 inch diameter.
- D. Top and Brace Rail: 1.66 inch diameter, plain end, sleeve coupled.
- E. Gate Frame: 1.66 inch diameter for welded fabrication.
- F. Fabric: 2 inch diamond mesh interwoven wire, 9 gage thick, top salvage knuckle end closed bottom selvage knuckle end closed.
- G. Tension Wire: 6 gage (5 mm) thick steel, single strand, marcelled, spiraled or crimped, aluminum-coated tension wire conforming to ASTM A824.
- H. Tension Band: 14 gauge thick steel.

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- I. Tension Strap: 14 gauge thick steel.
- J. Tie Wire: Aluminum alloy steel wire.

#### 5.6 ACCESSORIES

- A. Caps: Molded rigid vinyl; sized to post diameter, set screw retainer.
- B. Fittings: Sleeves, bands, clips, rail ends, tension bars, fasteners and fittings; black vinyl coated.
- C. Gate Hardware: Center gate stop and drop rod; two 180 degree gate hinges for each leaf and hardware for padlock keyed.

#### 5.7 GATES

#### A. General:

- 1. Gate Types, Opening Widths and Directions of Operation: As indicated on Drawings.
- 2. Factory assemble gates.
- Conform to requirements specified for PVC coated steel chain link fence except that PVC coated aluminum alloy framing conforming to ASTM B429/B429M may be used.
- 4. Design gates for operation by one person.

#### B. Swing Gates:

- 1. Fabricate gates to permit 180 degree swing.
- 2. Gates Construction: ASTM F900 with welded corners. Use of corner fittings is not permitted.

#### 5.8 FINISHES

- A. Components and Fabric: Galvanized to ASTM A123/A123M for components; ASTM A153/A153M for hardware; ASTM A392 for fabric; 1.8 oz/sq ft coating.
- B. Components and Fabric: Aluminum coating to ASTM A792/A792M for components and ASTM A491 for fabric; 0.40 oz/sq ft.
- C. Components and Fabric: Vinyl coating, black color according to ASTM F934 over galvanized coating.
- D. Vinyl Components: black color to match fabric.
- E. Hardware: Galvanized to ASTM A153/A153M, 1.8 oz/sq ft coating.
- F. Accessories: Same finish as framing.

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**CHAIN LINK FENCES AND GATES** 

#### PART 6 - EXECUTION

#### 6.1 INSTALLATION

- A. Install framework, fabric, accessories and gates according to ASTM F567.
- B. Set intermediate, terminal, and gate posts plumb, in concrete footings with top of footing 2 inches above finish grade. Slope top of concrete for water runoff.
- C. Line Post Footing Depth Below Finish Grade: ASTM F567 3 feet.
- D. Corner, Gate and Terminal Post Footing Depth Below Finish Grade: ASTM F567 3 feet.
- E. Brace each gate and corner post to adjacent line post with horizontal center brace rail and diagonal truss rods. Install brace rail one bay from end and gate posts.
- F. Install top rail through line post tops and splice with 6 inch long rail sleeves.
- G. Install center and bottom brace rail on corner gate leaves.
- H. Place fabric on outside of posts and rails.
- I. Do not stretch fabric until concrete foundation has cured 28 days.
- J. Stretch fabric between terminal posts or at intervals of 100 feet maximum, whichever is less.
- K. Position bottom of fabric 3.5 inches above finished grade.
- L. Fasten fabric to top rail, line posts, braces, and bottom tension wire with tie wire at maximum 12 inches on centers.
- M. Attach fabric to end, corner, and gate posts with tension bars and tension bar clips.
- N. Install bottom tension strap stretched taut between terminal posts.
- O. Support gates from gate posts. Do not attach hinged side of gate from building wall.
- P. Install gate with fabric to match fence. Install three hinges on each gate leaf, latch, catches, retainer and locking clamp.
- Q. Provide concrete center drop to footing depth and drop rod retainers at center of double gate openings.
- R. Install posts with 6 inches maximum clear opening from end posts to buildings, fences and other structures.
- S. Excavate holes for posts to diameter and spacing indicated on Drawings without disturbing underlying materials.

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T. Reuse holes resulting from removal of existing post footings for installation of new posts.

- U. Center and align posts. Place concrete around posts, and vibrate or tamp for consolidation. Verify vertical and top alignment of posts and make necessary corrections.
- V. Extend concrete footings 1 inches above grade, and trowel, forming crown to shed water.
- W. Allow footings to cure minimum 7 days before installing fabric and other materials attached to posts.

### 6.2 ERECTION TOLERANCES

- A. Maximum Variation From Plumb: 1/4 inch.
- B. Maximum Offset From Indicated Position: 1 inch.
- C. Minimum distance from property line: 6 inches.

**END OF SECTION** 

# SECTION 323119 DECORATIVE FENCES AND GATES

#### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

#### 1.2 SUMMARY

#### A. Section Includes:

- 1. Decorative metallic-coated-steel tubular picket fences.
- 2. Decorative steel fences.
- 3. Decorative aluminum fences.
- 4. Swing gates.
- 5. Gate operators, including controls.

#### 1.3 ACTION SUBMITTALS

- A. Product Data: For each type of product.
- B. Shop Drawings: For fencing and gates.
  - 1. Include plans, elevations, sections, gate locations, post spacing, and mounting details, and grounding details.
  - 2. Gate Operator: Show locations and details for installing operator components, switches, and controls. Indicate motor size, electrical characteristics, drive arrangement, mounting, and grounding provisions.
  - 3. Wiring Diagrams: Include diagrams for power, signal, and control wiring.
- C. Samples: For each fence material and for each color specified.
  - 1. Provide Samples 12 inches in length for linear materials.
  - 2. Provide Samples 12 inches square for bar grating and sheet or plate materials.

#### 1.4 INFORMATIONAL SUBMITTALS

- A. Field quality-control reports.
- B. Product Test Reports: For decorative metallic-coated-steel tubular picket fences, including finish, indicating compliance with referenced standard and other specified requirements.

#### 1.5 CLOSEOUT SUBMITTALS

A. Maintenance Data: For gate operators to include in maintenance manuals.

#### 1.6 QUALITY ASSURANCE

- A. Installer Qualifications: Fabricator of products.
- B. Mockups: Build mockups to verify selections made under Sample submittals, to demonstrate aesthetic effects, and to set quality standards for fabrication and installation.
  - 1. Include 10-foot length of fence complying with requirements.
  - 2. Subject to compliance with requirements, approved mockups may become part of the completed Work if undisturbed at time of Substantial Completion.

#### PART 2 - PRODUCTS

### 2.1 PERFORMANCE REQUIREMENTS

- A. Wind Loading:
  - 1. Fence Height: 0 to 15 feet.
  - 2. Wind Exposure Category: B.
  - 3. Design Wind Speed: 105 mph.
- B. Lightning-Protection System: Maximum grounding-resistance value of 25 ohms under normal dry conditions.

#### 2.2 DECORATIVE METALLIC-COATED-STEEL TUBULAR PICKET FENCES

- A. Decorative Metallic-Coated-Steel Tubular Picket Fences: Comply with ASTM F 2408 for light-industrial (commercial) application (class) unless otherwise indicated.
  - 1. Basis-of-Design Product: Subject to compliance with requirements, provide Ameristar Perimeter Security, USA; an ASSA ABLOY company; Montage II® Welded Ornamental Steel Fence Series or comparable product by one of the following:
    - a. BetaFence USA LLC.
    - b. Builders Fence Company, Inc.
    - c. Fortress Iron.
    - d. Iron Eagle Industries, Inc.
    - e. Iron World Manufacturing, LLC.
    - f. Master Halco.
    - g. Merchants Metals.

- h. Virginia Railing and Gates, LLC.
- i. Xcel Fence.

#### B. Posts:

- 1. End and Corner Posts: Square tubes 2-1/2 by 2-1/2 inches formed from 0.108-inch nominal-thickness, metallic-coated steel sheet or formed from 0.105-inch nominal-thickness steel sheet and hot-dip galvanized after fabrication.
- 2. Posts at Swing Gate Openings: Square tubes 3 by 3 inches formed from 0.108-inch nominal-thickness, metallic-coated steel sheet or formed from 0.105-inch nominal-thickness steel sheet and hot-dip galvanized after fabrication.
- 3. Posts at Swing Gate Openings: Square steel tubing 3 by 3 inches with 3/16-inch wall thickness, hot-dip galvanized.
- C. Post Caps: Formed from steel sheet and hot-dip galvanized after forming
- D. Rails: Square tubes
  - 1. Size: 1-1/2 by 1-1/2 inches Metal and Thickness: 0.079-inch nominal-thickness, metallic-coated steel sheet or 0.075-inch nominal-thickness, uncoated steel sheet, hot-dip galvanized after fabrication.
- E. Pickets: Square tubes.
  - 1. Terminate tops of pickets at top rail for flush top appearance.
  - 2. Picket Spacing: 4 inches clear, maximum.
- F. Fasteners: Manufacturer's standard concealed fastening system.
- G. Fasteners: Manufacturer's standard tamperproof, corrosion-resistant, color-coated fasteners matching fence components with resilient polymer washers.
- H. Metallic-Coated Steel Sheet: Galvanized-steel sheet or aluminum-zinc, alloy-coated steel sheet.
- Interior surface of tubes formed from uncoated steel sheet shall be hot-dip zinc coated same as exterior or coated with zinc-rich thermosetting coating to comply with ASTM F 2408.
- J. Galvanizing: For components indicated to be galvanized and for which galvanized coating is not specified in ASTM F 2408, hot-dip galvanize to comply with ASTM A 123/A 123M. For hardware items, hot-dip galvanize to comply with ASTM A 153/A 153M.
- K. Finish: Organic coating complying with requirements in ASTM F 2408.

#### 2.3 GATE OPERATORS

#### A. Gate Operators:

- 1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
  - a. Amazing Gates of America LLC.
  - b. AutoGate, Inc.
  - c. Begley Automated Gate Systems.
  - d. Byan Systems, Inc.
  - e. CAME Americas Automation LLC.
  - f. Chamberlain Group, Inc. (The).
  - g. DoorKing, Inc.
  - h. Eagle Access Control Systems, Inc.
  - i. FAAC USA.
  - j. Gates That Open, LLC.
  - k. HySecurity.
  - I. Nice Apollo.
  - m. Tymetal Corp.
  - n. USAutomatic Inc.
  - o. Viking Access Systems.
- B. Provide factory-assembled automatic operating system designed for gate size, type, weight, and operation frequency. Provide operation control system with characteristics suitable for Project conditions, with remote-control stations, safety devices, and weatherproof enclosures; coordinate electrical requirements with building electrical system.
  - 1. Provide operator designed so motor may be removed without disturbing limitswitch adjustment and without affecting auxiliary emergency operator.
  - 2. Provide operator with UL 325 Safety Standard and the ASTM F2200 Construction Standard.
  - 3. Provide electronic components with built-in troubleshooting diagnostic feature.
  - 4. Provide unit designed and wired for both right-hand/left-hand opening, permitting universal installation.
- C. Comply with NFPA 70.
- D. UL Standard: Manufacturer and label gate operators to comply with UL 325.
- E. Emergency Access Requirements: Comply with requirements of authorities having jurisdiction for automatic gate operators on gates that must provide emergency access.

- F. Motor Characteristics: Sufficient to start, accelerate, and operate connected loads at designated speeds, within installed environment, with indicated operating sequence, and without exceeding nameplate rating or considering service factor. Comply with NEMA MG 1 and the following:
  - 1. Voltage: 24-V dc.
  - 2. Horsepower: Not less than 1/2.
  - 3. Enclosure: Manufacturer's standard.
  - 4. Duty: Continuous duty at ambient temperature of 105 deg F and at altitude of 3300 feet above sea level.
  - 5. Service Factor: 1.15 for open dripproof motors; 1.0 for totally enclosed motors.
  - 6. Phase: One.
- G. Gate Operators: Gate mounted and as follows:
  - 1. Mechanical Swing Gate Operators:
    - a. Duty: Heavy duty, commercial/industrial.
    - b. Maximum Gate Weight: 800 lb.
    - c. Frequency of Use: Continuous duty.
    - d. Operating Type: Wheel-and-rail drive with manual release.
    - e. Drive Type: Enclosed worm gear reducers, roller-chain drive.
    - f. Drive Type: V-belt and worm gear reducers, roller-chain drive.
- H. Remote Controls: Electric controls separated from gate and motor and drive mechanism, with NEMA ICS 6, Type 1 enclosure for concrete base mounting, and with space for additional optional equipment. Provide the following remote-control device(s):
  - 1. Control Station: Keyed, two-position switch with open and close function; located remotely from gate. Provide two keys per station.
  - 2. Control Station: Momentary-contact, single-button-operated with open and close function; located remotely from gate. Key switch to lock out open and close buttons.
  - 3. Radio Control: Digital system consisting of code-compatible universal receiver for each gate, located where indicated, with remote antenna with coaxial cable and mounting brackets designed to operate gates. Provide three programmable transmitter(s) with multiple-code capability permitting validating or voiding of not less than 1000 codes per channel configured for the following functions:
    - a. Transmitters: Single button operated, with open and close function.
    - b. Channel Settings: Two independent channel settings controlling separate receivers for operating more than one gate from each transmitter.

- I. Vehicle Loop Detector: System includes automatic closing timer with adjustable time delay, timer cutoff switch, and loop detector designed to hold gate open until traffic clears. System includes electronic detector with adjustable detection patterns, adjustable sensitivity and frequency settings, and panel indicator light designed to detect presence or transit of a vehicle over an embedded loop of wire and to emit a signal activating the gate operator. System includes number of loops consisting of multiple strands of wire, number of turns, loop size, and method of placement, as recommended in writing by detection system manufacturer for function indicated, at location indicated on Drawings.
- J. Obstruction Detection Devices: Provide each motorized gate with automatic safety sensor(s). Activation of sensor(s) causes operator to immediately function as follows:
  - 1. Action: Reverse gate in both opening and closing cycles, and hold until clear of obstruction.
  - 2. Action: Stop gate in opening cycle and reverse gate in closing cycle, and hold until clear of obstruction.
  - 3. Internal Sensor: Built-in torque or current monitor senses gate is obstructed.
  - 4. Sensor Edge: Contact-pressure-sensitive safety edge, profile, and sensitivity designed for type of gate and component indicated, in locations as follows. Connect to control circuit using take-up cable reel.
    - a. Along entire gate leaf leading edge.
    - b. Along entire gate leaf trailing edge.
    - c. Across entire gate leaf bottom edge.
    - d. Along entire length of gate posts.
    - e. Along entire length of gate guide posts.
    - f. Where indicated on Drawings.
  - 5. Photoelectric/Infrared Sensor System: Designed to detect an obstruction in gate's path when infrared beam in the zone pattern is interrupted.
- K. Limit Switches: Adjustable switches, interlocked with motor controls and set to automatically stop gate at fully retracted and fully extended positions.
- L. Emergency Release Mechanism: Quick-disconnect release of operator drive system of the following type, permitting manual operation if operator fails. Design system so control-circuit power is disconnected during manual operation.
  - 1. Type: Integral fail-safe release, allowing gate to be pushed open without mechanical devices, keys, cranks, or special knowledge.

#### M. Operating Features:

1. Digital Microprocessor Control: Electronic programmable means for setting, changing, and adjusting control features with capability for monitoring and

- auditing gate activity. Provide unit that is isolated from voltage spikes and surges.
- 2. System Integration: With controlling circuit board capable of accepting any type of input from external devices.
- 3. Master/Slave Capability: Control stations designed and wired for gate pair operation.
- 4. Automatic Closing Timer: With adjustable time delay before closing and timer cutoff switch.
- 5. Open Override Circuit: Designed to override closing commands.
- 6. Reversal Time Delay: Designed to protect gate system from shock load on reversal in both directions.
- 7. Maximum Run Timer: Designed to prevent damage to gate system by shutting down system if normal time to open gate is exceeded.
- 8. Clock Timer: Seven-day programmable for regular events.

#### N. Accessories:

- 1. Warning Module: Visual, strobe-light alarm sounding three to five seconds in advance of gate operation and continuing until gate stops moving; compliant with the United States Access Board's ADA-ABA Accessibility Guidelines.
- 2. Battery Backup System: Battery-powered drive and access-control system, independent of primary drive system.
  - a. Fail-Safe: Gate opens and remains open until power is restored.
  - b. Fail-Secure: Gate cycles on battery power, then fail-safe when battery is discharged.
- 3. External electric-powered magnetic lock with delay timer allowing time for lock to release before gate operates.
- 4. Fire box.
- 5. Fire siren sensor.
- 6. Instructional, Safety, and Warning Labels and Signs: Manufacturer's standard for components and features specified.
- 7. Equipment Bases/Pads: Precast concrete, 30" deep, dimensioned and reinforced according to gate operator component manufacturer's written instructions and as indicated on Drawings.

#### 2.4 COATING MATERIALS

- A. Shop Primer for Steel: Manufacturer's standard lead- and chromate-free, nonasphaltic, rust-inhibiting primer complying with MPI#79 and compatible with topcoat.
- B. Epoxy Zinc-Rich Primer for Uncoated Steel: Complying with MPI #20 and compatible with coating specified to be applied over it.
  - 1. Products: Subject to compliance with requirements, provide the following:

- a. Ameristar Montage Plus®.
- C. Epoxy Primer for Galvanized Steel: Epoxy primer recommended in writing by topcoat manufacturer.
  - 1. Products: Subject to compliance with requirements, provide the following:
    - a. Ameristar Montage Plus®.
- D. Epoxy Intermediate Coat for Uncoated Steel: Complying with MPI #77 and compatible with primer and topcoat.
  - 1. Products: Subject to compliance with requirements, provide the following:
    - a. Ameristar Montage Plus<sup>®</sup>.
- E. Intermediate Coat for Uncoated Steel: Epoxy or polyurethane intermediate recommended in writing by primer and topcoat manufacturer.
  - 1. Products: Subject to compliance with requirements, provide the following:
    - a. Ameristar Montage Plus®.
- F. Polyurethane Topcoat: Complying with MPI #72 and compatible with undercoat.
  - 1. Products: Subject to compliance with requirements, provide the following:
    - a. Ameristar Montage Plus®.

#### 2.5 MISCELLANEOUS MATERIALS

- A. Welding Rods and Bare Electrodes: Select according to AWS specifications for metal alloy welded.
  - 1. For aluminum, provide type and alloy as recommended by producer of metal to be welded and as required for strength and compatibility in fabricated items.
- B. Concrete: Normal-weight, air-entrained, ready-mix concrete complying with requirements in Section 033000 "Cast-in-Place Concrete" with a minimum 28-day compressive strength of 3000 psi, 3-inch slump, and 1-inch maximum aggregate size.
- C. Nonshrink Grout: Factory-packaged, nonstaining, noncorrosive, nongaseous grout complying with ASTM C 1107/C 1107M and specifically recommended by manufacturer for exterior applications.

#### 2.6 GROUNDING MATERIALS

- A. Grounding Conductors: Size as indicated on Drawings. Bare, solid wire for No. 6 AWG and smaller; stranded wire for No. 4 AWG and larger.
  - 1. Material above Finished Grade: Copper.
  - 2. Material on or below Finished Grade: Copper.
  - 3. Bonding Jumpers: Braided copper tape, 1-5/8 inch wide and 1/16 inch thick, woven of No. 30 AWG bare copper wire, terminated with copper ferrules.
- B. Grounding Connectors and Grounding Rods: Comply with UL 467.
  - 1. Connectors for Below-Grade Use: Exothermic-welded type.
  - 2. Grounding Rods: Copper-clad steel.
    - a. Size: 5/8 by 96 inches.

#### 2.7 STEEL FINISHES

- A. Surface Preparation: Clean surfaces according to SSPC-SP 6/NACE No. 3, "Commercial Blast Cleaning. After cleaning, apply a conversion coating compatible with the organic coating to be applied over it.
- B. Powder Coating: Immediately after cleaning, apply manufacturer's standard two-coat finish consisting of epoxy primer and TGIC polyester topcoat to a minimum total dry film thickness of not less than 8 mils. Comply with coating manufacturer's written instructions.
  - 1. Color and Gloss: As indicated by manufacturer's designations.
- C. Primer Application: Apply zinc-rich epoxy primer immediately after cleaning, to provide a minimum dry film thickness of 2 mils per applied coat, to surfaces that are exposed after assembly and installation, and to concealed surfaces.
- D. High-Performance Coating: Apply intermediate and polyurethane topcoats to prime-coated surfaces. Comply with coating manufacturer's written instructions and with requirements in SSPC-PA 1, "Paint Application Specification No. 1: Shop, Field, and Maintenance Painting of Steel," for shop painting. Apply at spreading rates recommended by coating manufacturer.
  - 1. Match approved Samples for color, texture, and coverage. Remove and refinish, or recoat work that does not comply with specified requirements.

#### 2.8 METALLIC-COATED-STEEL FINISHES

- A. Galvanized Finish: Clean welds, mechanical connections, and abraded areas and repair galvanizing to comply with ASTM A 780/A 780M.
- B. Surface Preparation: Clean surfaces of oil and other contaminants. Use cleaning methods that do not leave residue. After cleaning, apply a zinc-phosphate conversion coating compatible with the organic coating to be applied over it. Clean welds, mechanical connections, and abraded areas and apply galvanizing repair paint, complying with SSPC-Paint 20, to comply with ASTM A 780/A 780M.
- C. Powder Coating: Immediately after cleaning and pretreating, apply manufacturer's standard TGIC polyester powder-coat finish to a minimum dry film thickness of 2 mils.
  - 1. Color and Gloss: As indicated by manufacturer's designations.
- D. Powder Coating: Immediately after cleaning and pretreating, apply manufacturer's standard two-coat finish consisting of zinc-rich epoxy prime coat and TGIC polyester opcoat to a minimum dry film thickness of 2 mils. Comply with coating manufacturer's written instructions to achieve a minimum total dry film thickness of 4 mils.
  - 1. Color and Gloss: As indicated by manufacturer's designations.
  - 2. Comply with surface finish testing requirements in ASTM F 2408 except change corrosion-resistance requirement to 3000 hours without failure.
- E. High-Performance Coating: Apply epoxy primer, polyurethane intermediate coat, and polyurethane topcoat to prepared surfaces. Comply with coating manufacturer's written instructions and with requirements in SSPC-PA 1, "Paint Application Specification No. 1: Shop, Field, and Maintenance Painting of Steel," for shop painting. Apply at spreading rates recommended by coating manufacturer.
  - 1. Match approved Samples for color, texture, and coverage. Remove and refinish, or recoat work that does not comply with specified requirements.

#### PART 3 - EXECUTION

#### 3.1 EXAMINATION

- A. Examine areas and conditions, with Installer present, for compliance with requirements for site clearing, earthwork, pavement work, construction layout, and other conditions affecting performance of the Work.
- B. Do not begin installation before final grading is completed unless otherwise permitted by Architect.
- C. Proceed with installation only after unsatisfactory conditions have been corrected.

#### 3.2 PREPARATION

A. Stake locations of fence lines, gates, and terminal posts. Do not exceed intervals of 500 feet or line of sight between stakes. Indicate locations of utilities, lawn sprinkler system, underground structures, benchmarks, and property monuments.

#### 3.3 DECORATIVE FENCE INSTALLATION

- A. Install fences according to manufacturer's written instructions.
- B. Install fences by setting posts as indicated and fastening rails and infill panels to posts.
- C. Post Excavation: Drill or hand-excavate holes for posts in firm, undisturbed soil. Excavate holes to a diameter of not less than 4 times post size and a depth of not less than 24 inches plus 3 inches for each foot or fraction of a foot that fence height exceeds 4 feet.
- D. Post Setting: Set posts in concrete at indicated spacing into firm, undisturbed soil.
  - 1. Verify that posts are set plumb, aligned, and at correct height and spacing, and hold in position during setting with concrete or mechanical devices.
  - 2. Concrete Fill: Place concrete around posts and vibrate or tamp for consolidation. Protect aboveground portion of posts from concrete splatter.
    - a. Exposed Concrete: Extend 2 inches above grade. Finish and slope top surface to drain water away from post.
    - b. Concealed Concrete: Top 2 inches below grade as indicated on Drawings to allow covering with surface material. Slope top surface of concrete to drain water away from post.
  - 3. Posts Set in Concrete: Extend post to within 6 inches of specified excavation depth, but not closer than 3 inches to bottom of concrete.
  - 4. Posts Set into Concrete in Sleeves: Use galvanized-steel pipe sleeves with inside diameter at least 3/4 inch larger than outside diagonal dimension of post, preset and anchored into concrete for installing posts.
    - a. Extend posts at least 5 inches into sleeve.
    - b. After posts have been inserted in sleeves, fill annular space between post and sleeve with nonshrink grout, mixed and placed to comply with grout manufacturer's written instructions; shape and smooth to shed water. Finish and slope top surface of grout to drain water away from post.
  - 5. Posts Set into Voids in Concrete: Form or core drill holes not less than 3/4 inch larger than outside diagonal dimension of post.
    - a. Extend posts at least 5 inches into concrete.

**DECORATIVE FENCES AND GATES** 

b. Clean holes of loose material, insert posts, and fill annular space between post and concrete with nonshrink grout, mixed and placed to comply with grout manufacturer's written instructions. Finish and slope top surface of grout to drain water away from post.

6. Space posts uniformly at 95" o.c.

#### 3.4 GATE INSTALLATION

A. Install gates according to manufacturer's written instructions, level, plumb, and secure for full opening without interference. Attach hardware using tamper-resistant or concealed means. Install ground-set items in concrete for anchorage. Adjust hardware for smooth operation and lubricate where necessary.

#### 3.5 GATE OPERATOR INSTALLATION

- A. General: Install gate operators according to manufacturer's written instructions, aligned and true to fence line and grade.
- B. Excavation for Support Posts: Hand-excavate holes for bases in firm, undisturbed soil to dimensions and depths and at locations as required by gate operator component manufacturer's written instructions and as indicated.
- C. Concrete Bases: Cast-in-place or precast concrete, 30" deep as recommended by manufacturer, dimensioned and reinforced according to gate operator component manufacturer's written instructions and as indicated on Drawings.
- D. Vehicle Loop Detector System: Cut grooves in pavement and bury and seal wire loop according to manufacturer's written instructions. Connect to equipment operated by detector.
- E. Comply with NFPA 70 and manufacturer's written instructions for grounding of electric-powered motors, controls, and other devices.

#### 3.6 GROUNDING AND BONDING

- A. Comply with Section 260526 "Grounding and Bonding for Electrical Systems."
- B. Fence Grounding: Install at maximum intervals of 1500 feet except as follows:
  - 1. Fences within 100 Feet of Buildings, Structures, Walkways, and Roadways: Ground at maximum intervals of 750 feet.
    - a. Gates and Other Fence Openings: Ground fence on each side of opening.
      - 1) Bond metal gates to gate posts.

- 2) Bond across openings, with and without gates, except at openings indicated as intentional fence discontinuities. Use No. 2 AWG wire and bury it at least 18 inches below finished grade.
- C. Protection at Crossings of Overhead Electrical Power Lines: Ground fence at location of crossing and at a maximum distance of 150 feet on each side of crossing.
- D. Fences Enclosing Electrical Power Distribution Equipment: Ground as required by IEEE C2 unless otherwise indicated.
- E. Grounding Method: At each grounding location, drive a grounding rod vertically until the top is 6 inches below finished grade. Connect rod to fence with No. 6 AWG conductor. Connect conductor to each fence component at grounding location.
- F. Bonding Method for Gates: Connect bonding jumper between gate post and gate frame.
- G. Connections: Make connections so possibility of galvanic action or electrolysis is minimized. Select connectors, connection hardware, conductors, and connection methods so metals in direct contact are galvanically compatible.
  - 1. Use electroplated or hot-tin-coated materials to ensure high conductivity and to make contact points closer in order of galvanic series.
  - 2. Make connections with clean, bare metal at points of contact.
  - 3. Make aluminum-to-steel connections with stainless-steel separators and mechanical clamps.
  - 4. Make aluminum-to-galvanized-steel connections with tin-plated copper jumpers and mechanical clamps.
  - 5. Coat and seal connections having dissimilar metals with inert material to prevent future penetration of moisture to contact surfaces.
- H. Bonding to Lightning-Protection System: If fence terminates at lightning-protected building or structure, ground the fence and bond the fence grounding conductor to lightning-protection down conductor or lightning-protection grounding conductor, complying with NFPA 780.

### 3.7 FIELD QUALITY CONTROL

- A. Testing Agency: Engage a qualified testing agency to perform tests and inspections.
  - 1. Grounding-Resistance Tests: Subject completed grounding system to a megger test at each grounding location. Measure grounding resistance not less than two full days after last trace of precipitation, without soil having been moistened by any means other than natural drainage or seepage and without chemical

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treatment or other artificial means of reducing natural grounding resistance. Perform tests by two-point method according to IEEE 81.

- 2. Excessive Grounding Resistance: If resistance to grounding exceeds specified value, notify Architect promptly. Include recommendations for reducing grounding resistance and a proposal to accomplish recommended work.
- 3. Report: Prepare test reports of grounding resistance at each test location certified by a testing agency. Include observations of weather and other phenomena that may affect test results.

#### 3.8 ADJUSTING

- A. Gates: Adjust gates to operate smoothly, easily, and quietly, free of binding, warp, excessive deflection, distortion, nonalignment, misplacement, disruption, or malfunction, throughout entire operational range. Confirm that latches and locks engage accurately and securely without forcing or binding.
- B. Automatic Gate Operators: Energize circuits to electrical equipment and devices. Adjust operators, controls, safety devices, alarms, and limit switches.
  - 1. Hydraulic Operators: Purge operating system, adjust pressure and fluid levels, and check for leaks.
  - 2. Operational Test: After electrical circuitry has been energized, start units to confirm proper motor rotation and unit operation.
  - 3. Test and adjust controls, alarms, and safeties. Replace damaged and malfunctioning controls and equipment.
- C. Lubricate hardware, gate operators, and other moving parts.

#### 3.9 DEMONSTRATION

A. Train Owner's personnel to adjust, operate, and maintain gates.

#### **END OF SECTION**

CITY OF DAWSONVILLE - MAIN STREET PARK LANDSCAPE PHASE I

PLANTING IRRIGATION

## SECTION 328400 PLANTING IRRIGATION

#### PART 1 - GENERAL

#### 1.1 SUMMARY

#### A. Section Includes:

- 1. Trenching.
- 2. Pipe and fittings.
- 3. Valves.
- 4. Outlet heads, emitters, bubblers and accessories.
- 5. Control system.

#### 1.2 UNIT PRICE - MEASUREMENT AND PAYMENT

#### A. Piping:

- 1. Basis of Measurement: By the linear foot.
- 2. Basis of Payment: Includes trenching, placing pipe and fittings, valves, control box, conduit and wiring, and accessories.

#### B. Sprinkler Heads:

- 1. Basis of Measurement: By each.
- 2. Basis of Payment: Includes sprinkler head and fittings.

#### 1.3 REFERENCES

#### A. ASTM International:

- 1. ASTM B32 Standard Specification for Solder Metal.
- 2. ASTM B42 Standard Specification for Seamless Copper Pipe, Standard Sizes.
- 3. ASTM B88 Standard Specification for Seamless Copper Water Tube.
- 4. ASTM B88M Standard Specification for Seamless Copper Water Tube (Metric).
- 5. ASTM D2235 Standard Specification for Solvent Cement for Acrylonitrile-Butadiene-Styrene (ABS) Plastic Pipe and Fittings.
- 6. ASTM D2241 Standard Specification for Polyethylene (PE) Plastic Pipe (SIDR-PR) Based on Controlled Inside Diameter.
- 7. ASTM D2564 Standard Specification for Solvent Cements for Poly (Vinyl Chloride) (PVC) Plastic Piping Systems.

#### B. National Electrical Manufacturers Association:

1. NEMA 250 - Enclosures for Electrical Equipment (1000 Volts Maximum).

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PLANTING IRRIGATION

#### 1.4 SYSTEM DESCRIPTION

- A. Electric solenoid controlled underground irrigation system, with low point self drain.
- B. Source Power: per Manufacturer's recommendation.
- C. Low Voltage Controls: per Manufacturer's recommendation.

#### 1.5 SUBMITTALS

- A. Section 013300 Submittal Procedures: Requirements for submittals.
- B. Shop Drawings: Indicate piping layout to water source, location of sleeves under pavement, location and coverage of sprinkler heads, components, plant and landscaping features, site structures, schedule of outlets and fittings to be used.
- C. Product Data: Submit component and control system and wiring diagrams.
- D. Samples: Submit one outlet of each type, with housing. Accepted samples may be used in the Work.

#### 1.6 CLOSEOUT SUBMITTALS

- A. Project Record Documents: Record actual locations of concealed components, piping system, and conduit.
- B. Operation and Maintenance Data:
  - 1. Submit instructions for operation and maintenance of system and controls, seasonal activation and shutdown, and manufacturer's parts catalog.
  - 2. Submit schedule indicating length of time each valve is required to be open to deliver determined amount of water.

#### 1.7 QUALITY ASSURANCE

A. Maintain one copy of each document on site.

#### 1.8 QUALIFICATIONS

- A. Manufacturer: Company specializing in manufacturing products specified in this section with minimum three years documented experience.
- B. Installer: Company specializing in performing Work of this section with minimum 5 years documented experience and approved by manufacturer.

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#### 1.9 FIELD MEASUREMENTS

A. Verify field measurements are as instructed by manufacturer.

#### 1.10 COORDINATION

A. Coordinate the Work with site backfilling, landscape grading and delivery of plant life.

#### 1.11 EXTRA MATERIALS

#### A. Furnish the following:

- 1. Two sprinkler heads of each type and size.
- 2. Two valve keys for manual valves.
- 3. Two valve box keys.
- 4. Two keys for valve markers.
- 5. Two wrenches for each type head core and for removing and installing each type head.

#### PART 2 - PRODUCTS

#### 2.1 PIPE MATERIALS

#### A. <u>Manufacturers:</u>

- 1. Charter Plastics Inc.
- 2. <u>Endot Industries Inc.</u>
- 3. Oxford Plastics Inc.
- 4. Substitutions: Section 01630 Substitutions and Options.
- B. PVC Pipe: ASTM D2241, SDR 21 or 26; 200 psi pressure rated upstream from controls, 160 psi downstream; solvent welded sockets.
- C. Copper Tubing: ASTM B88 Type L; annealed temper.
- D. Copper Pipe: ASTM B42 Temper O61 annealed.
- E. Fittings: Type and style of connection to match pipe.
- F. Solvent Cement: ASTM D2564 for PVC pipe and fittings.
- G. Solder and Flux: ASTM B32 Alloy Grade Sb5 tin-antimony, or Alloy Grade Sn95 tin-silver lead free solder; paste flux.
- H. Sleeve Material: PVC.

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#### 2.2 OUTLETS

### A. <u>Manufacturers:</u>

- 1. Nelson, L. R. Corporation.
- 2. Rain Bird Corporation.
- 3. Toro Company (The).
- 4. Substitutions: Section 01630 Substitutions and Options.
- B. Outlets: Brass construction.
- C. Rotary Type Sprinkler Head: Pop-up type with screens; fully adjustable for flow and pressure; size as indicated on Drawings; with letter or symbol designating degree of arc and arrow indicating center of spray pattern.
- D. Spray Type Sprinkler Head: Pop-Up head with full circle/half circle/third circle/ quarter circle/square pattern.
- E. Emitter: Adjustable outlet, non-clogging, with two trickle tubes.
- F. Bubbler: Adjustable outlet.

#### 2.3 MANUAL VALVES

#### A. Manufacturers:

- 1. <u>Champion Irrigation Products.</u>
- 2. Nelson, L. R. Corporation.
- 3. <u>Orbit Irrigation Products, Inc.</u>
- 4. Rain Bird Corporation.
- 5. <u>Toro Company (The).</u>
- 6. Substitutions: Section 01630 Substitutions and Options.
- B. Gate Valves: Bronze construction, non-rising stem.
- C. Backflow Preventers: Iron body construction, double check valve type.

#### 2.4 CONTROLS AND CONTROL VALVES

#### A. <u>Manufacturers:</u>

- 1. Nelson, L. R. Corporation.
- 2. Rain Bird Corporation.
- 3. Toro Company (The).
- 4. Zurn Industries, LLC.
- 5. Substitutions: Section 01630 Substitutions and Options.

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B. Controller: Automatic controller, microprocessor solid state control with visible readout display, temporary override feature to bypass cycle for inclement weather, timer for 12 station system, programmable for 7 days in quarter hour increments, with automatic start and shutdown.

- C. Controller Housing: NEMA 250 Type 4; weatherproof, watertight, with lockable access door.
- D. Valves: Electric Solenoid; normally open; hydraulic tubing, wiring, including required fittings and accessories.
- E. Wire Conductors: Color coded. copper conductor, direct burial type.

#### 2.5 ELECTRICAL CHARACTERISTICS AND COMPONENTS

- A. Electrical Characteristics: per Manufacturer's Recommendation.
- B. Disconnect Switch: Factory mount disconnect switch in control panel.

#### PART 3 - EXECUTION

#### 3.1 EXAMINATION

- A. Verify location of existing utilities.
- B. Verify required utilities are available, in proper location, and ready for use.

#### 3.2 PREPARATION

- A. Piping layout indicated is diagrammatic only. Route piping to avoid plants, ground cover, and structures.
- B. Layout and stake locations of system components.
- C. Review layout requirements with other affected work. Coordinate locations of sleeves under paving to accommodate system.

#### 3.3 TRENCHING

#### A. Trench Size:

- 1. Minimum Width: 10 inches.
- 2. Minimum Cover Over Installed Supply Piping: 12 inches.
- 3. Minimum Cover Over Installed Branch Piping: 12 inches.
- 4. Minimum Cover Over Installed Outlet Piping: 12 inches.
- B. Trench to accommodate grade changes and slope to drains.

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C. Maintain trenches free of debris, material, or obstructions damaging to pipe.

#### 3.4 INSTALLATION

- Connect to utilities.
- B. Set outlets and box covers at finish grade elevations.
- C. Provide for thermal movement of components in system.
- D. Slope piping for self drainage to drainage pit.
- E. Use threaded nipples for risers to each outlet.
- F. Install control wiring in accordance with Manufacturer's recommendation. Provide 10 inch expansion coil at each control valve, and at 100 ft intervals. Bury tubing beside pipe. Mark valves with neoprene valve markers containing locking device. Set valve markers in 160 psi PVC pipe risers exiting from top of valve to finish grade.
- G. After piping is installed, but before outlets are installed and backfilling commences, open valves and flush system with full head of water.

#### 3.5 BACKFILLING

- A. Install 3 inch sand cover over piping.
- B. Protect piping from displacement.

#### 3.6 FIELD QUALITY CONTROL

- A. Prior to backfilling, test system for leakage for whole system to maintain 100 psi pressure for one hour.
- B. System is acceptable when no leakage or loss of pressure occurs and system self drains during test period.
- C. Provide one complete Spring season start-up and Fall season shutdown.

#### 3.7 ADJUSTING

- A. Adjust control system to achieve time cycles required
- B. Change head types for full water coverage as directed by Irrigation Consultant.

PLANTING IRRIGATION

#### 3.8 DEMONSTRATION AND TRAINING

A. Instruct Owner's personnel in operation and maintenance of system, including adjusting of sprinkler heads. Use operation and maintenance material as basis for demonstration.

**END OF SECTION** 

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## SECTION 329113

#### PART 1 - GENERAL

#### 1.1 SUMMARY

#### A. Section Includes:

- 1. Preparation of subsoil.
- 2. Soil tesing.
- 3. Placing topsoil.

#### B. Related Sections:

- 1. Section 328400 Planting Irrigation.
- 2. Section 329119 Landscape Grading: Preparation of subsoil and placement of topsoil in preparation for the Work of this section.

**SOIL PREPARATION** 

- 3. Section 329219 Seeding
- 4. Section 329223 Sodding.
- 5. Section 329300 Plants.

#### 1.2 UNIT PRICE - MEASUREMENT AND PAYMENT

#### A. Grassed Areas:

- 1. Basis of Measurement: By square foot.
- 2. Basis of Payment: Includes preparation of subsoil and topsoil.

#### 1.3 SUBMITTALS

- A. Submit minimum 10 oz sample of topsoil proposed. Forward sample to approved testing laboratory in sealed containers to prevent contamination.
- B. Test Reports: Indicate topsoil nutrient and pH levels with recommended soil supplements and application rates.
- C. Manufacturer's Certificate: Certify Products meet or exceed specified requirements.

#### 1.4 QUALITY ASSURANCE

- A. Perform Work in accordance with State and/or City of Dawsonville standard.
- B. Maintain one copy of each document on site.

SOIL PREPARATION

#### 1.5 COORDINATION

A. Coordinate with installation of underground sprinkler system piping and watering heads.

#### PART 2 - PRODUCTS

#### 2.1 SUSTAINABILITY CHARACTERISTICS

- A. Materials and Resources Characteristics:
  - 1. Regional Materials: Furnish materials extracted, processed, and manufactured within 500 miles of Project site.

#### 2.2 SOIL MATERIALS

- A. Topsoil: Fertile, agricultural soil, typical for locality, capable of sustaining vigorous plant growth, taken from drained site; free of subsoil, clay or impurities, plants, weeds and roots; pH value of minimum 5.4 and maximum 7.0.
- B. Topsoil: Excavated from site and free of weeds.

#### 2.3 ACCESSORIES

A. Edging: Galvanized steel or plastic per Owner's choice.

### 2.4 SOURCE QUALITY CONTROL

- A. Analyze to ascertain percentage of nitrogen, phosphorus, potash, soluble salt content, organic matter content, and pH value.
- B. Provide recommendation for fertilizer and lime application rates for specified seed mix as result of testing.
- C. Testing is not required when recent tests and certificates are available for imported topsoil. Submit these test results to testing laboratory. Indicate, by test results, information necessary to determine suitability.

#### PART 3 - EXECUTION

#### 3.1 EXAMINATION

A. Verify prepared soil base is ready to receive the Work of this section.

SOIL PREPARATION

#### 3.2 PREPARATION OF SUBSOIL

- A. Prepare sub-soil to eliminate uneven areas and low spots. Maintain lines, levels, profiles and contours. Make changes in grade gradual. Blend slopes into level areas.
- B. Remove foreign materials, weeds and undesirable plants and their roots. Remove contaminated sub-soil.
- C. Scarify subsoil to depth of 3 inches where topsoil is to be placed. Repeat cultivation in areas where equipment, used for hauling and spreading topsoil, has compacted subsoil.

#### 3.3 PLACING TOPSOIL

- A. Spread topsoil to minimum depth of 6 inches over area to be seeded. Rake until smooth.
- B. Place topsoil during dry weather and on dry unfrozen subgrade.
- C. Remove vegetable matter and foreign non-organic material from topsoil while spreading.
- D. Grade topsoil to eliminate rough, low or soft areas, and to ensure positive drainage.
- E. Install edging at periphery of seeded areas in straight lines to consistent depth.

**END OF SECTION** 

LANDSCAPE GRADING

# SECTION 329119 LANDSCAPE GRADING

#### PART 1 - GENERAL

#### 1.1 SUMMARY

#### A. Section Includes:

1. Final grade topsoil for finish landscaping.

# B. Related Sections:

- 1. Section 320513 Soils for Exterior Improvements.
- 2. Section 329219 Seeding and Soil Supplements
- 3. Section 329223 Sodding
- 4. Section 329300 Plants: Topsoil fill for trees, plants and ground cover.

# 1.2 UNIT PRICE - MEASUREMENT AND PAYMENT

# A. Topsoil:

- 1. Basis of Measurement: By cubic yard.
- 2. Basis of Payment: Includes excavating existing topsoil, stockpiling, preparing and scarifying substrate surface, placing where required, and rolling.

# 1.3 SUBMITTALS

- A. Section 01340 Shop Drawings, Product Data and Samples.
- B. Samples: Submit, in air-tight containers, 10 lb sample of each type of fill to testing laboratory.
- C. Materials Source: Submit name of imported materials source.
- D. Manufacturer's Certificate: Certify Products meet or exceed specified requirements.

# 1.4 QUALITY ASSURANCE

- A. Furnish each topsoil material from single source throughout the Work.
- B. Perform Work in accordance with State and City of Dawsonville's standard.
- C. Maintain one copy on site.

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#### PART 2 - PRODUCTS

#### 2.1 MATERIAL

A. Topsoil: Fill Type S2.

# **PART 3 - EXECUTION**

# 3.1 EXAMINATION

- A. Verify building and trench backfilling have been inspected.
- B. Verify substrate base has been contoured and compacted.

#### 3.2 PREPARATION

- A. Protect landscaping and other features remaining as final Work.
- B. Protect existing structures, fences, sidewalks, utilities, paving, and curbs.

# 3.3 SUBSTRATE PREPARATION

- A. Eliminate uneven areas and low spots.
- B. Remove debris, roots, branches, stones, in excess of 1/2 inch in size. Remove contaminated subsoil.
- C. Scarify surface to depth of 6 inches where topsoil is scheduled. Scarify in areas where equipment used for hauling and spreading topsoil has compacted subsoil.

#### 3.4 PLACING TOPSOIL

- A. Place topsoil in areas where seeding, sodding, and planting is required. Place topsoil during dry weather.
- B. Fine grade topsoil to eliminate rough or low areas. Maintain profiles and contour of subgrade.
- C. Remove roots, weeds, rocks, and foreign material while spreading.
- D. Manually spread topsoil close to plant material to prevent damage.
- E. Lightly compact placed topsoil.
- F. Remove surplus subsoil and topsoil from site.
- G. Leave stockpile area and site clean and raked, ready to receive landscaping.

LANDSCAPE GRADING

# 3.5 TOLERANCES

A. Top of Topsoil: Plus or minus 1/2 inch.

# 3.6 PROTECTION OF INSTALLED WORK

A. Prohibit construction traffic over topsoil.

# 3.7 SCHEDULES

# A. Compacted topsoil thicknesses:

- 1. Seeded Grass: 6 inches.
- 2. Sod: 4 inches.
- 3. Shrub Beds: 18 inches.
- 4. Flower Beds: 12 inches.
- 5. Planter Boxes: To within 3 inches of box rim.

**END OF SECTION** 

# SECTION 329219 SEEDING

#### PART 1 - GENERAL

#### 1.1 SUMMARY

#### A. Section Includes:

- 1. Fertilizing.
- 2. Seeding.
- 3. Hydroseeding.
- 4. Mulching.
- 5. Maintenance.

# B. Related Sections:

- 1. Section 320513 Soils for Exterior Improvements: Topsoil material.
- 2. Section 328400 Planting Irrigation.
- 3. Section 329113 Soil Preparation
- 4. Section 329119 Landscape Grading: Preparation of subsoil and placement of topsoil in preparation for the Work of this section.
- 5. Section 329223 Sodding.
- 6. Section 329300 Plants.

# 1.2 UNIT PRICE - MEASUREMENT AND PAYMENT

#### A. Grassed Areas:

- 1. Basis of Measurement: By square foot.
- 2. Basis of Payment: Includes seeding, watering and maintenance of areas for three months from Date of Substantial Completion.

#### 1.3 REFERENCES

## A. ASTM International:

1. ASTM C602 - Standard Specification for Agricultural Liming Materials.

# 1.4 DEFINITIONS

- A. Weeds: Include Dandelion, Jimsonweed, Quackgrass, Horsetail, Morning Glory, Rush Grass, Mustard, Lambsquarter, Chickweed, Cress, Crabgrass, Canadian Thistle, Nutgrass, Poison Oak, Blackberry, Tansy Ragwort, Bermuda Grass, Johnson Grass, Poison Ivy, Nut Sedge, Nimble Will, Bindweed, Bent Grass, Wild Garlic, Perennial Sorrel, and Brome Grass.
- B. Weeds: Vegetative species other than specified species to be established in given area.

#### 1.5 SUBMITTALS

- A. Section 01340 Section 01340 Shop Drawings, Product Data and Samples.
- B. Product Data: Submit data for seed mix, fertilizer, mulch, and other accessories.
- C. Manufacturer's Certificate: Certify Products meet or exceed specified requirements.

# 1.6 CLOSEOUT SUBMITTALS

A. Operation and Maintenance Data: Include maintenance instructions, cutting method and maximum grass height; and types, application frequency, and recommended coverage of fertilizer.

# 1.7 QUALITY ASSURANCE

- A. Provide seed mixture in containers showing percentage of seed mix, germination percentage, inert matter percentage, weed percentage, year of production, net weight, date of packaging, and location of packaging.
- B. Perform Work according to State and City of Dawsonville's standards.
- C. Maintain one copy of each document on site.

# 1.8 QUALIFICATIONS

- A. Seed Supplier: Company specializing in manufacturing Products specified in this section with minimum three years documented experience.
- B. Installer: Company specializing in performing work of this section with minimum 5 years documented experience approved by manufacturer.

# 1.9 DELIVERY, STORAGE, AND HANDLING

- A. Section 01611 Storage and Protection.
- B. Deliver grass seed mixture in sealed containers. Seed in damaged packaging is not acceptable.
- C. Deliver fertilizer in waterproof bags showing weight, chemical analysis, and name of manufacturer.

# 1.10 MAINTENANCE SERVICE

- A. Maintain seeded areas for three months from Date of Substantial Completion.
- B. Maintain seeded areas immediately after placement until grass is well established and exhibits vigorous growing condition.

#### PART 2 - PRODUCTS

#### 2.1 SEED MIXTURE

A. Furnish materials according to State and City of Dawsonville's standards.

# 2.2 ACCESSORIES

- A. Mulching Material: Oat or wheat straw, free from weeds, foreign matter detrimental to plant life, and dry. Hay or chopped cornstalks are not acceptable.
- B. Mulching Material: Hemlock species wood cellulose fiber, dust form, free of growth or germination inhibiting ingredients.
- C. Fertilizer: Commercial grade; recommended for grass; of proportion necessary to eliminate deficiencies of topsoil, as indicated in analysis.
- D. Lime: ASTM C602, Class T agricultural limestone containing a minimum 80 percent calcium carbonate equivalent.
- E. Water: Clean, fresh and free of substances or matter capable of inhibiting vigorous growth of grass.
- F. Erosion Fabric: Jute matting, open weave.
- G. Stakes: Softwood lumber, chisel pointed.
- H. String: Inorganic fiber.

# 2.3 SOURCE QUALITY CONTROL

- A. Analyze to ascertain percentage of nitrogen, phosphorus, potash, soluble salt content, organic matter content, and pH value.
- B. Provide recommendation for fertilizer and lime application rates for specified seed mix as result of testing.
- C. Testing is not required when recent tests and certificates are available for imported topsoil. Submit these test results to testing laboratory. Indicate, by test results, information necessary to determine suitability.

# PART 3 - EXECUTION

## 3.1 EXAMINATION

A. Verify prepared soil base is ready to receive the Work of this section.

**SECTION 329219** 

# 3.2 FERTILIZING

- A. Apply lime at application rate recommended by soil analysis. Work lime into top 6 inches of soil.
- B. Apply fertilizer at application rate recommended by soil analysis.
- C. Apply after smooth raking of topsoil and prior to roller compaction.
- D. Do not apply fertilizer at same time or with same machine used to apply seed.
- E. Mix fertilizer thoroughly into upper 2 inches of topsoil.
- F. Lightly water soil to aid dissipation of fertilizer. Irrigate top level of soil uniformly.

# 3.3 SEEDING

- A. Apply seed at rate of 2 lbs per 1000 sq ft evenly in two intersecting directions. Rake in lightly.
- B. Do not seed areas in excess of that which can be mulched on same day.
- C. Planting Season: spring through summer.
- D. Do not sow immediately following rain, when ground is too dry, or when winds are over 12 mph.
- E. Roll seeded area with roller not exceeding 112 lbs/linear foot.
- F. Immediately following seeding and compacting, apply mulch to thickness of 1/8 inches. Maintain clear of shrubs and trees.
- G. Apply water with fine spray immediately after each area has been mulched. Saturate to 4 inches of soil.

# 3.4 HYDROSEEDING

- A. Apply fertilizer, mulch and seeded slurry with hydraulic seeder at rate of 35 lbs per 1000 sq ft evenly in one pass.
- B. After application, apply water with fine spray immediately after each area has been hydroseeded. Saturate to 4 inches of soil and maintain moisture levels two to four inches.

## 3.5 SEED PROTECTION

A. Cover seeded slopes where grade is 4 inches per foot or greater with erosion fabric. Roll fabric onto slopes without stretching or pulling.

B. Lay fabric smoothly on surface, bury top end of each section in 6 inch deep excavated topsoil trench. Overlap edges and ends of adjacent rolls minimum 12 inches. Backfill trench and rake smooth, level with adjacent soil.

- C. Secure outside edges and overlaps at 36 inch intervals with stakes.
- D. Lightly dress slopes with topsoil to ensure close contact between fabric and soil.
- E. At sides of ditches, lay fabric laps in direction of water flow. Lap ends and edges minimum 6 inches.

# 3.6 MAINTENANCE

- A. Mow grass at regular intervals to maintain at maximum height of 2-1/2 inches. Do not cut more than 1/3 of grass blade at each mowing. Perform first mowing when seedlings are 40 percent higher than desired height.
- B. Neatly trim edges and hand clip where necessary.
- C. Immediately remove clippings after mowing and trimming. Do not let clippings lay in clumps.
- D. Water to prevent grass and soil from drying out.
- E. Roll surface to remove minor depressions or irregularities.
- F. Control growth of weeds. Apply herbicides. Remedy damage resulting from improper use of herbicides.
- G. Immediately reseed areas showing bare spots.
- H. Repair washouts or gullies.
- I. Protect seeded areas with warning signs during maintenance period.

## 3.7 SCHEDULE

- A. Front Seeded Area: Grass seed mixture specified, 3 inch top soil.
- B. Rear Seeded Area: Grass seed mixture specified except substitute Clover for Kentucky Blue Grass, 2 inch top soil.

# **END OF SECTION**

# SECTION 329223 SODDING

#### PART 1 - GENERAL

#### 1.1 SUMMARY

#### A. Section Includes:

- 1. Preparation of subsoil.
- 2. Placement of topsoil.
- 3. Fertilization.
- 4. Sod installation.
- 5. Maintenance.

# B. Related Requirements:

- 1. Section 320513 Soils for Exterior Improvements: Topsoil material.
- 2. Section 328400 Planting Irrigation: Piped underground irrigation systems.
- 3. Section 329119 Landscape Grading: Preparation of subsoil and placement of topsoil in preparation for Work of this Section.
- 4. Section 329219 Seeding: Seeding and soil supplements.
- 5. Section 329300 Plants: Interior and exterior landscaping.

#### 1.2 DEFINITIONS

- A. Weeds: Dandelion, Jimsonweed, Quackgrass, Horsetail, Morning Glory, Rush Grass, Mustard, Lambsquarter, Chickweed, Cress, Crabgrass, Canadian Thistle, Nutgrass, Poison Oak, Blackberry, Tansy Ragwort, Bermuda Grass, Johnson Grass, Poison Ivy, Nut Sedge, Nimble Will, Bindweed, Bent Grass, Wild Garlic, Perennial Sorrel, and Brome Grass.
- B. Weeds: Vegetative species other than specified species to be established in given area.

#### 1.3 UNIT PRICE - MEASUREMENT AND PAYMENT

- A. Section 01023 Measurement and Payment.
- B. Sodded Areas:
  - 1. Basis of Measurement: By square yard.
  - 2. Basis of Payment:
    - a. Includes preparation of subsoil, preparation of topsoil, and placement of topsoil.
    - b. Includes sodding and watering.
    - c. Includes maintenance based on maintenance of areas for three months from Date of Substantial Completion.

#### 1.4 REFERENCE STANDARDS

#### A. ASTM International:

1. ASTM C602 - Standard Specification for Agricultural Liming Materials.

# B. Turfgrass Producers International:

1. TPI - Guideline Specifications To Turfgrass Sodding.

### 1.5 COORDINATION

- A. Section 013000 Administrative Requirements: Requirements for coordination.
- B. Coordinate Work of this Section with installation of underground sprinkler system piping and watering heads.

# 1.6 SUBMITTALS

A. Section 013300 - Submittal Procedures: Requirements for submittals.

#### B. Product Data:

- 1. Submit sod producer's information for sod grass species.
- 2. Submit manufacturer information for fertilizer, mulch, and other accessories.

# C. Samples:

- 1. Submit two 10-oz. samples of topsoil proposed.
- 2. Forward samples to approved testing laboratory in sealed containers to prevent contamination.
- D. Manufacturer's Certificate: Certify that products meet or exceed specified requirements.
- E. Sod Producer's Certificate: Certify that sod grass meets or exceeds specified requirements.
- F. Test and Evaluation Reports: Indicate topsoil nutrient and pH levels, with recommended soil supplements and application rates.
- G. Source Quality-Control Submittals: Indicate results of shop tests and inspections.

# H. Qualifications Statements:

- 1. Submit qualifications for sod producer, manufacturer, and installer.
- 2. Submit sod producer's approval of installer.

# 1.7 CLOSEOUT SUBMITTALS

A. Operation and Maintenance Data:

CODDING

1. Submit maintenance instructions, cutting method, and maximum grass height.

2. Submit fertilizer types, application frequency, and recommended coverage.

# 1.8 QUALITY ASSURANCE

- A. Sod: Ensure root development capable of supporting its own weight without tearing when suspended vertically by holding upper two corners.
- B. Maintain a copy of each standard affecting Work of this Section on Site.

#### 1.9 QUALIFICATIONS

- A. Sod Producer: Company specializing in products as specified in this Section with minimum three years' documented experience.
- B. Manufacturer: Company specializing in manufacturing products specified in this Section with minimum three years' documented experience.
- C. Installer: Company specializing in performing Work of this Section with minimum three years' documented experience and approved by sod producer.

# 1.10 DELIVERY, STORAGE, AND HANDLING

A. Section 01610 – Transportation and Handling: Requirements for transporting, handling, storing, and protecting products.

# B. Delivery:

- 1. Deliver sod in rolls.
- 2. Do not deliver more sod than can be laid within 24 hours.
- C. Inspection: Accept materials on Site in manufacturer's original packaging and inspect for damage.
- D. Store materials according to manufacturer instructions.

#### E. Protection:

- 1. Protect materials from moisture and dust by storing in clean, dry location remote from construction operations areas.
- 2. Protect exposed roots from dehydration.
- 3. Provide additional protection according to manufacturer instructions.

## 1.11 AMBIENT CONDITIONS

A. Minimum Conditions: Do not place sod when temperature is lower than 32 deg. F.

#### PART 2 - PRODUCTS

#### 2.1 SOD

# A. Sod Growers:

1. Substitutions: As specified in Section 01630 – Substitutions and Options.

# B. Description:

- Cultivated grass sod with strong fibrous root system, free of stones and burned or bare spots.
- 2. Grade: Nursery grownown.
- 3. Type: As indicated in plant schedule on Drawings.
- 4. Weed Density: No more than five weeds per 1,000 sq. ft.

# C. Harvesting of Sod:

- 1. Machine-cut sod and load on pallets.
- 2. Cut sod in area not exceeding 1 sq. yd., with minimum 1/2-inch and maximum 1-inch topsoil base.

#### 2.2 MATERIALS

# A. Topsoil:

1. As specified in Section 320513 - Soils for Exterior Improvements.

# B. Topsoil:

- 1. Description: Fertile, agricultural soil typical for locality, capable of sustaining vigorous plant growth, and taken from drained Site.
- 2. Free of subsoil, clay, impurities, plants, weeds, and roots.
- 3. pH:
  - a. Minimum: 6.0.
  - b. Maximum: 6.5.
- C. Topsoil: Excavated from Site and free of weeds.

# 2.3 ACCESSORIES

#### A. Fertilizer:

- 1. Grade: Commercial.
- 2. Description: As recommended for grass, with 50 percent of elements derived from organic sources.
- 3. Proportions: As necessary to eliminate deficiencies of topsoil.

# 4. Proportions:

a. Balanced blend of nitrogen, phosphorous and potassium.

#### B. Lime:

- 1. Description: Agricultural limestone containing a minimum of 80 percent calcium carbonate equivalent.
- 2. Comply with ASTM C602.
- C. Water: Clean, fresh, and free of substances or matter capable of inhibiting vigorous growth of grass.
- D. Wood Pegs: Softwood, sufficient size and length to anchor sod on slope.
- E. Wire Mesh:
  - 1. Description: Interwoven hexagonal plastic mesh.
  - 2. Size: 2 inches.
- F. Edging: Galvanized steel or Plastic per Owner's preference.
- G. Herbicide: Contact local UGA County Cooperative Extension Service Agent.

#### 2.4 SOURCE QUALITY CONTROL

- A. Analysis: Ascertain pH and percentage of nitrogen, phosphorus, potash, soluble salt content, and organic matter.
- B. Provide recommendation for fertilizer and lime application rates for specified sod grass species based on testing.
- C. Prior Tests:
  - 1. Testing is not required if recent tests are available for imported topsoil.
  - 2. Submit such test results to testing laboratory.
  - 3. Indicate, based on test results, information necessary to determine suitability.

#### PART 3 - EXECUTION

# 3.1 EXAMINATION

A. Verify that prepared soil base is ready to receive Work of this Section.

# 3.2 INSTALLATION

# A. Subsoil Preparation:

1. Eliminate uneven areas and low spots.

- 2. Maintain indicated lines, levels, profiles, and contours.
- 3. Slopes:
  - a. Make gradual changes in grade.
  - b. Blend slopes into level areas.
- 4. Foreign Materials:
  - a. Remove foreign materials and undesirable plants and their roots.
  - b. Do not bury foreign materials beneath areas to be sodded.
- 5. Remove contaminated subsoil.
- 6. Scarify subsoil to depth of 4 inches where topsoil is to be placed.
- 7. Repeat cultivation in areas where equipment used for hauling and spreading topsoil has compacted subsoil.

# B. Placing of Topsoil:

- 1. Spread topsoil to minimum depth of 3 inches over area to be sodded.
- 2. Place topsoil during dry weather and on dry unfrozen subgrade.
- 3. Remove vegetable matter and foreign nonorganic material from topsoil while spreading.
- 4. Grade topsoil to eliminate rough, low, or soft areas, and to ensure positive drainage.
- 5. Install edging at periphery of sodded areas in straight lines to consistent depth.

# C. Fertilizing:

- 1. Apply lime at application rate recommended by soil analysis.
- 2. Work lime into top 6 inches of soil.
- 3. Apply fertilizer at application rate recommended by soil analysis.
- 4. Apply fertilizer after smooth raking of topsoil and prior to installation of sod.
- 5. Apply fertilizer no more than 48 hours before laying sod.
- 6. Mix fertilizer thoroughly into upper 4 inches of topsoil.
- 7. Lightly water soil to aid dissipation of fertilizer.

# D. Laying of Sod:

- 1. Moisten prepared surface immediately prior to laying sod.
- 2. Lay sod immediately after delivery to Site to prevent deterioration.
- 3. Joints:
  - a. Lay sod tightly with no open joints visible and no overlapping.
  - b. Stagger end joints minimum 12 inches.
  - c. Do not stretch or overlap sod pieces.
- 4. Lay smooth and align with adjoining grass areas.
- 5. Place top elevation of sod 1/2 inch below adjoining edging, paving, or curbs.
- 6. Slopes:
  - a. On slopes 6 in./ft. and steeper, lay sod perpendicular to slope and secure every row with wooden pegs at maximum 2 feet o.c.

- b. If using "big roll," lay sod parallel to slope.
- c. Drive pegs flush with soil portion of sod.
- d. Prior to placing sod on slopes exceeding 8 in./ft. or where indicated, place wire mesh over topsoil and securely anchor wire mesh in place with wood pegs sunk firmly into ground.

# 7. Watering:

- a. Water sodded areas immediately after installation.
- Saturate sod to 4 inches of soil.

# 8. Rolling:

- a. After sod and soil have dried, roll sodded areas to bond sod to soil and to remove minor depressions and irregularities.
- b. Roll sodded areas with sod roller.
- c. Roll before first watering.

#### 3.3 MAINTENANCE

- A. Provide service and maintenance of sodded areas for three months from date of Substantial Completion.
- B. Maintain sodded areas immediately after placement until grass is well established and exhibits vigorous growing condition.

# C. Mowing:

- 1. Mow grass at regular intervals to maintain at maximum height of 2-1/2 inches.
- 2. Do not cut more than 1/3 of grass blade at each mowing.
- 3. Neatly trim edges and hand-clip where necessary.
- 4. Immediately remove clippings after mowing and trimming.
- D. Water to prevent grass and soil from drying out.
- E. Roll surface to remove irregularities.

# F. Weed Control:

- 1. Control growth of weeds by applying herbicides.
- 2. Remedy damage resulting from improper use of herbicides.
- G. Immediately replace sod on areas showing deterioration or bare spots.
- H. Protect sodded areas with warning signs during maintenance period.

# **END OF SECTION**

PLANTS

# SECTION 329300 PLANTS

#### PART 1 - GENERAL

#### 1.1 SUMMARY

#### A. Section Includes:

- Preparation of subsoil and topsoil.
- 2. Topsoil bedding.
- 3. Trees, plants, and ground cover.
- 4. Mulch.
- 5. Fertilizer.
- 6. Pruning.
- 7. Maintenance.

#### B. Related Sections:

- 1. Section 320513 Soils for Exterior Improvements: Topsoil material.
- 2. Section 328400 Planting Irrigation.
- 3. Section 329119 Landscape Grading: Preparation of subsoil and placement of topsoil in preparation for the Work of this section.
- 4. Section 329219 Seeding and Soil Supplements.
- 5. Section 329223 Sodding.
- C. Allowances: Include under provisions of Section 01025 Measurement and Payment . Allowance includes furnishing of trees, plants and ground cover. Installation is included in this section and is part of Contract Sum/Price.

# 1.2 UNIT PRICE - MEASUREMENT AND PAYMENT

#### A. Plants:

- 1. Basis of Measurement: By each.
- 2. Basis of Payment: Includes preparation of subsoil and topsoil, planting, watering and maintenance to specified time period.

## 1.3 REFERENCES

# A. American National Standards Institute:

- 1. ANSI A300 Tree Care Operations Tree, Shrub and Other Woody Plant Maintenance Standard Practices.
- 2. ANSI Z60.1 Nursery Stock.

# B. Forest Stewardship Council:

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1. FSC Guidelines - Forest Stewardship Council Guidelines.

#### 1.4 DEFINITIONS

- A. Weeds: Include Dandelion, Jimsonweed, Quackgrass, Horsetail, Morning Glory, Rush Grass, Mustard, Lambsquarter, Chickweed, Cress, Crabgrass, Canadian Thistle, Nutgrass, Poison Oak, Blackberry, Tansy Ragwort, Bermuda Grass, Johnson Grass, Poison Ivy, Nut Sedge, Nimble Will, Bindweed, Bent Grass, Wild Garlic, Perennial Sorrel, and Brome Grass.
- B. Weeds: Vegetative species other than specified species to be established in given area.
- C. Plants: Living trees, plants, and ground cover specified in this Section, and described in ANSI Z60.1.

#### 1.5 SUBMITTALS

- A. Section 01340 Shop Drawings, Product Data and Samples: Requirements for submittals.
- B. Product Data: Submit list of plant material sources, data for fertilizer and other accessories.
- C. Submit minimum 10 oz sample of topsoil proposed. Forward sample to testing laboratory in sealed containers to prevent contamination.

#### 1.6 CLOSEOUT SUBMITTALS

A. Operation and Maintenance Data: Include pruning objectives, types and methods; types, application frequency, and recommended coverage of fertilizer.

# 1.7 QUALITY ASSURANCE

- A. Tree Pruning: ANSI A300 Pruning Standards for Woody Plants.
- B. Perform Work according to State and City of Dawsonville's standards.
- C. Maintain one copy of each document on site.

#### 1.8 QUALIFICATIONS

- A. Nursery: Company specializing in growing and cultivating plants with three years documented experience.
- B. Installer: Company specializing in installing and planting plants with 5 years documented experience.
- C. Tree Pruner: Company specializing in performing work of this section with minimum 5 years documented experience.
- 1.9 Maintenance Services: Performed by installer.

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# 1.10 DELIVERY, STORAGE, AND HANDLING

- A. Section 01610 Transportation and Handling: Requirements for transporting, handling, storing, and protecting products.
- B. Deliver fertilizer in waterproof bags showing weight, chemical analysis, and name of manufacturer.
- C. Protect and maintain plant life until planted.
- D. Deliver plant life materials immediately prior to placement. Keep plants moist.
- E. Plant material damaged as a result of delivery, storage or handling will be rejected.

# 1.11 ENVIRONMENTAL REQUIREMENTS

- A. Do not install plant life when ambient temperatures may drop below 35 degrees F or rise above 90 degrees F.
- B. Do not install plant life when wind velocity exceeds 30 mph.

#### 1.12 COORDINATION

1.13 Install plant life after and coordinate with installation of underground irrigation system piping and watering heads specified in Section 328400.

# 1.14 WARRANTY

A. Furnish one year manufacturer warranty for trees, plants, and ground cover.

### 1.15 MAINTENANCE SERVICE

- A. Maintain plant life for three months after Date of Substantial Completion.
- B. Maintain plant life immediately after placement until plants are well established and exhibit vigorous growing condition. Continue maintenance until termination of warranty period.

# C. Maintenance includes:

- 1. Cultivation and weeding plant beds and tree pits.
- 2. Applying herbicides for weed control. Remedy damage resulting from use of herbicides.
- 3. Remedy damage from use of insecticides.
- 4. Irrigating sufficient to saturate root system.
- 5. Pruning, including removal of dead or broken branches.
- 6. Disease control.
- 7. Maintaining wrapping, guys, and stakes.
- 8. Repair or replace accessories when required.
- 9. Replacement of mulch.

PLANT:

#### PART 2 - PRODUCTS

# 2.1 TREES, PLANTS, AND GROUND COVER

# A. Planting Stock:

- 1. Species: According to Standardized Plant Names, official code of American Joint Committee on Horticulture Nomenclature.
- 2. Identification: Label individual plants or each bundle of plants when tied in bundles.
- 3. Plants: No. 1 Grade conforming to "American Standard for Nursery Stock" of American Association of Nurserymen (AAN); well-branched, vigorous and balanced root and top growth; free from disease, injurious insects, mechanical wounds, broken branches, decay and other defects.
- 4. Trees: Furnish with reasonably straight trunks, well balanced tops, and single leader.
- 5. Deciduous plants: Furnish in dormant state, except those specified as container grown.
- B. Trees, Plants and Ground Cover: Species and size identifiable in plant schedule, grown in climatic conditions similar to those in locality of the Work.

#### 2.2 SOIL MATERIALS

- A. Topsoil: As specified in Section 320513.
- B. Topsoil: Excavated from site.
- C. Topsoil: Fertile, agricultural soil, typical for locality, capable of sustaining vigorous plant growth, taken from drained site; free of subsoil, clay or impurities, plants, weeds and roots; minimum pH value of 5.4 and maximum 7.0; organic matter to exceed 1.5%, magnesium to exceed 100 units; phosphorus to exceed 150 units; potassium to exceed 120 units; soluble salts/conductivity not to exceed 900 ppm/0.9 mmhos/cm in soil.

### 2.3 SOIL AMENDMENT MATERIALS

- A. When soil tests indicate soil amendment, apply soil conditioners or fertilizers to amend soil to specified conditions.
  - 1. Tree Fertilizer: Containing fifty percent of elements derived from organic sources; of proportion necessary to eliminate deficiencies of topsoil, as indicated in analysis.
- B. Peat Moss: Shredded, loose, sphagnum moss; free of lumps, roots, inorganic material or acidic materials; minimum of 85 percent organic material measured by oven dry weight, pH range of 4 to 5; moisture content of 30 percent.
- C. Bone Meal: Raw, finely ground, commercial grade, minimum of 3 percent nitrogen and 20 percent phosphorous.
- D. Lime: Ground limestone, dolomite type, minimum 95 percent carbonates.

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E. Water: Clean, fresh, and free of substances or matter capable of inhibiting vigorous growth of plants.

F. Herbicide: Contact local UGA County Cooperative Extension Service Agent.

## 2.4 MULCH MATERIALS

- A. Mulching Material: Composted, shredded hardwood bark, dark brown in color.
- B. Mulching Material: Oat or wheat straw, free from weeds, foreign matter detrimental to plant life, and dry. Hay or chopped cornstalks are not acceptable.

# 2.5 ACCESSORIES

- A. Wrapping Materials: Burlap.
- B. Stakes: Softwood lumber, pointed end.
- Cable, Wire, Eye Bolts: Non-corrosive, of sufficient strength to withstand wind pressure and resulting movement of plant life.
- D. Plant Protectors: Rubber sleeves over cable to protect plant stems, trunks, and branches.
- E. Grates: Cast iron with grillage design, 12 inch diameter/square, sized to resist pedestrian loads.
- F. Decorative Cover: Fir bark chips.
- G. Membrane: 20 mil thick, clear.
- H. Wrapping: Waterproof fabric.
- I. Tree Protectors: Plastic with galvanized rings.

# 2.6 PLANT SOIL MIX

A. Plant Soil Mix: Uniform mixture of 1 part peat moss, 1 part coarse sand, and 3 parts topsoil by volume.

# 2.7 SOURCE QUALITY CONTROL

- A. Test and analyze existing topsoil.
- B. Analyze to ascertain percentage of nitrogen, phosphorus, potash, soluble salt and organic matter; and pH value.
- C. Provide recommendation for fertilizer and soil amendment application rates for specified planting as result of testing.

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D. Testing is not required when recent tests are available for imported topsoil. Submit these test results to testing laboratory. Indicate, by test results, information necessary to determine suitability.

#### PART 3 - EXECUTION

#### 3.1 EXAMINATION

- A. Verify prepared subsoil and planters are ready to receive work.
- B. Saturate soil with water to test drainage.
- C. Verify required underground utilities are available, in proper location, and ready for use.

## 3.2 PREPARATION OF SUBSOIL

- A. Prepare subsoil to eliminate uneven areas. Maintain profiles and contours. Make changes in grade gradual. Blend slopes into level areas.
- B. Remove foreign materials, weeds and undesirable plants and their roots. Remove contaminated subsoil.
- C. Scarify subsoil to depth of 3 inches where plants are to be placed. Repeat cultivation in areas where equipment, used for hauling and spreading topsoil, has compacted subsoil.
- D. Dig pits and beds three times wider than plant root system.

#### 3.3 PLACING TOPSOIL

- A. Spread topsoil to minimum depth of 6 inches over area to be planted. Rake smooth.
- B. Place topsoil during dry weather and on dry unfrozen subgrade.
- C. Remove vegetable matter and foreign non-organic material from topsoil while spreading.
- D. Grade topsoil to eliminate rough, low or soft areas, and to ensure positive drainage.
- E. Install topsoil into pits and beds intended for plant root balls, to minimum thickness of 6 inches.

# 3.4 FERTILIZING

- A. Apply starter fertilizer at rate of 1 or 2 pounds per 1,000 square feet.
- B. Apply after initial raking of topsoil.
- C. Mix thoroughly into upper 2 inches of topsoil.
- D. Lightly water soil to aid dissipation of fertilizer.

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#### 3.5 PLANTING

- A. Place plants for best appearance.
- B. Set plants vertical.
- C. Remove non-biodegradable root containers.
- D. Set plants in pits or beds, partly filled with prepared plant mix, at minimum depth as indicated on Drawings under each plant. Remove burlap, ropes, and wires, from top half of root ball.
- E. Place bare root plant materials so roots lie in natural position. Backfill soil mixture in 6 inch layers. Maintain plant life in vertical position.
- F. Saturate soil with water when pit or bed is half full of topsoil and again when full.

#### 3.6 PLANT RELOCATION AND RE-PLANTING

- A. Relocate plants as indicated on drawings.
- B. Ball or pot removed plants when temporary relocation is required.
- C. Replant plants in pits or beds, partly filled with prepared topsoil mixture, at minimum depth as indicated on Drawings under each plant. Remove burlap, ropes, and wires, from top half of root ball.
- D. Place bare root plant materials so roots lie in natural position. Backfill soil mixture in 6 inch layers. Maintain plant materials in vertical position.
- E. Saturate soil with water when pit or bed is half full of topsoil and again when full.

## 3.7 INSTALLATION OF ACCESSORIES

- A. Place decorative cover and membrane, where indicated on Drawings.
- B. Place grates at base of trees where indicated on Drawings.
- C. Wrap deciduous shade and flowering tree trunks and place tree protectors.

#### 3.8 PLANT SUPPORT

- A. Brace plants vertically with plant protector wrapped guy wires and stakes.
- B. Tree Support Method per Tree Caliper:
  - 1. 1 Inch: One stake with one tie.
  - 2. 1 to 2 Inches: Two stakes with two ties.
  - 3. 2 to 4 Inches: Three guy wires.
  - 4. Over 4 Inches: Four guy wires.

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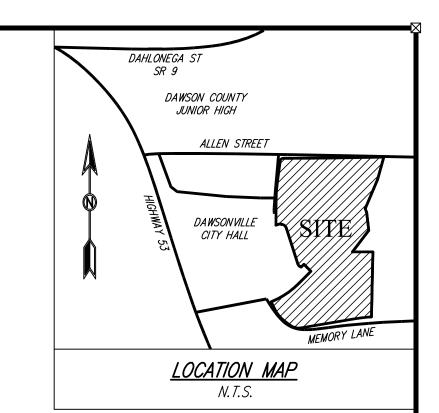
# 3.9 TREE PRUNING

A. When pruning trees is required, lightly prune trees according to ANSI A300 Maintenance Pruning Type: Crown Cleaning.

# 3.10 FIELD QUALITY CONTROL

A. Plants will be rejected when ball of earth surrounding roots has been disturbed or damaged prior to or during planting.

**END OF SECTION** 



ZONED: INST (INSTITUTIONAL DISTRICT)
PARCEL: D04-020
LAND LOTS 443 & 444, 11TH DISTRICT, 1ST SECTION
CITY OF DAWSONVILLE, GA

TOTAL AREA: ~14.14 ACRES

DES PROJECT NO. 2018-146.1

NOTIFY CITY OF DAWSONVILLE 24-HOURS BEFORE COMMENCEMENT OF CONSTRUCTION

OWNER/DEVELOPER
CITY OF DAWSONVILLE
415 HIGHWAY 53 EAST, SUITE 100
DAWSONVILLE, GA 30534
PHONE: 706-265-3256
www.dawsonville-ga.gov

CONTACT
MR. BOB BOLZ
PHONE: 706-265-3256
bob.bolz@dawsonville-ga.gov

PROJECT DESCRIPTION

CURRENTLY, THIS PROPERTY IS BEING DEVELOPED FOR A PARK FOR THE CITY OF DAWSONVILLE. THE PHASE OF THE DEVELOPMENT CONSISTS OF THE INSTALLATION OF LANDSCAPING. IRRIGATION. AND FENCING.

TOTAL AREA: ~14.14 ACRES

SITE ADDRESS: 135 MAIN STREET, DAWSONVILLE, GA 30534

BOUNDARY, TOPOGRAPHIC, AND UTILITIES INFORMATION FROM SURVEY FILES PROVIDED BY CITY OF DAWSONVILLE, PREPARED BY TRAIL AND SONS, INC. DATED 3/22/17.

DAVIS ENGINEERING AND SURVEYING, LLC IS NOT RESPONSIBLE FOR THE LOCATION OF UNDERGROUND UTILITIES.

CONTOUR INTERVAL = 2'

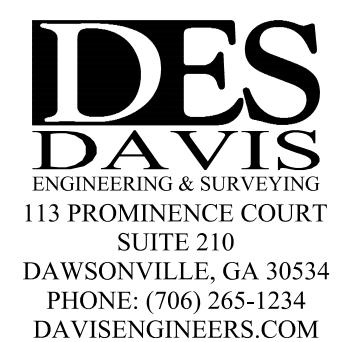
NO PORTION OF THIS SITE IS LOCATED WITHIN THE 100—YEAR FLOOD PRONE AREA AS PER FLOOD INSURANCE RATE MAP NO. 13085C011C, DATED 04/04/2018.

WATER SOURCE: CITY OF DAWSONVILLE SEWER SOURCE: CITY OF DAWSONVILLE

	SHEET INDEX
1	COVER SHEET
2	OVERALL LANDSCAPE PLAN
3	NORTH LANDSCAPE PLAN
4	SOUTH LANDSCAPE PLAN
5	CONSTRUCTION DETAILS

REVISION	DATE	DESCRIPTION
-	11-13-18	INITIAL SUBMITTAL TO CITY
1	12-26-18	CITY COMMENTS
2	2-14-18	GATE AND FENCE REVISION
3	4-26-19	BID SET

# PREPARED BY:



IF ANY CONFLICTS, DISCREPANCIES, OR ANY OTHER UNSATISFACTORY
CONDITIONS ARE DISCOVERED, EITHER ON THE CONSTRUCTION DOCUMENTS OR
FIELD CONDITIONS, THE CONTRACTOR MUST NOTIFY THE ENGINEER IMMEDIATELY
AND SHALL NOT COMMENCE FURTHER OPERATION UNTIL THE CONFLICTS,
DISCREPANCIES, OR OTHER UNSATISFACTORY CONDITIONS ARE RESOLVED.

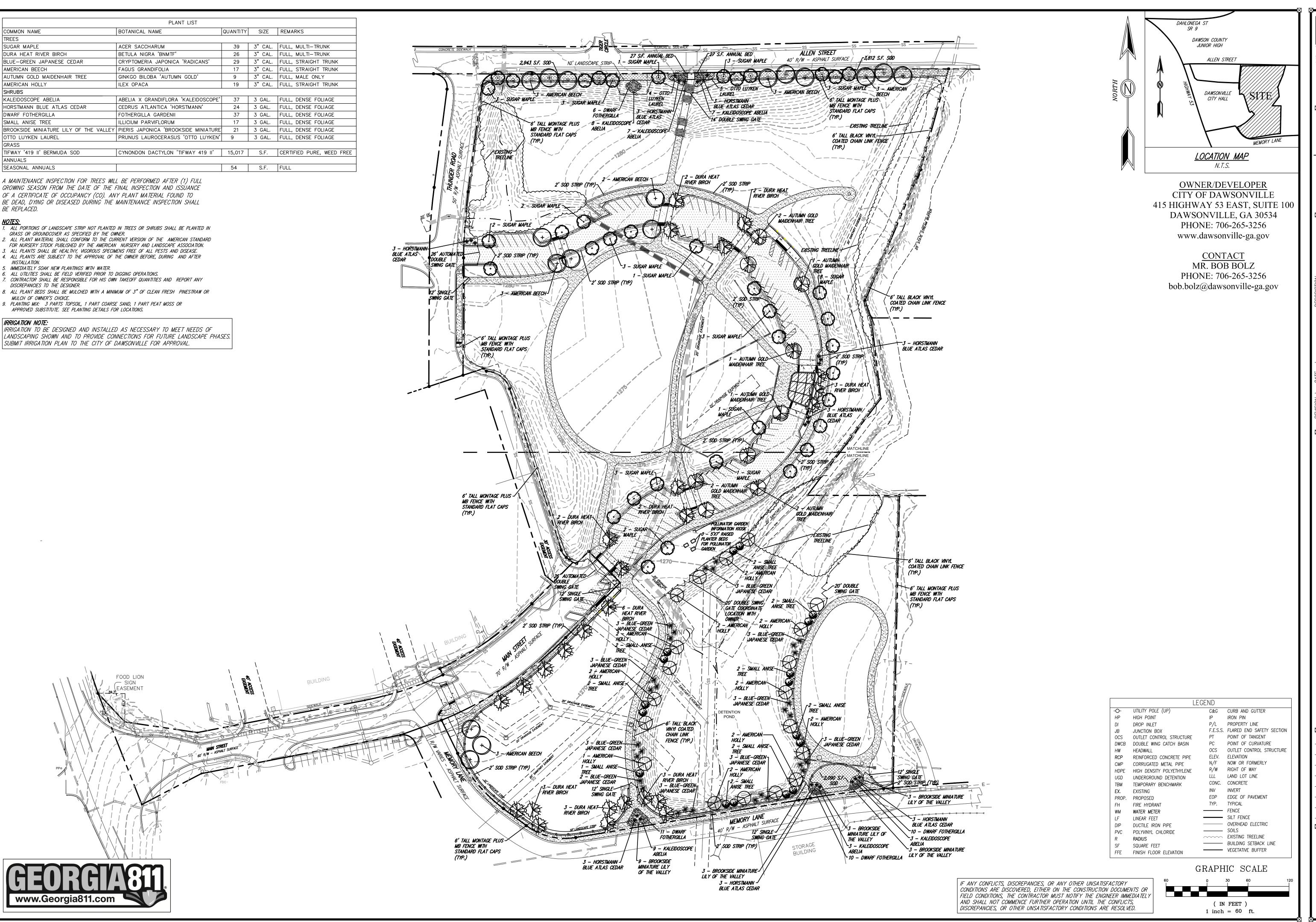


GEORGASII www.Georgia811.com

THE INSTALLATION OF EROSION CONTROL MEASURES AND PRACTICES SHALL OCCUR PRIOR TO OR CONCURRENT WITH LAND DISTURBING ACTIVITIES.

THE LOCATION OF ALL UTILITIES SHOWN ON THESE DRAWINGS ARE APPROXIMATE AND WERE OBTAINED FROM OBSERVATIONS AT THE SITE AND/OR FROM INFORMATION PROVIDED BY THE PROPERTY OR UTILITY OWNERS.

DAVIS ENGINEERING & SURVEYING, LLC DOES NOT GUARANTEE THAT THE LOCATIONS SHOWN ARE EXACT AND IT SHALL BE THE RESPONSIBILITY OF THE CONTRACTOR TO DETERMINE THE EXACT LOCATIONS OF ALL EXISTING UTILITIES, TO NOTIFY UTILITY OWNERS PRIOR TO CONSTRUCTION, AND TO COORDINATE THE RELOCATION OF ALL THE UTILITIES WHICH CONFLICT WITH THE PROPOSED IMPROVEMENTS SHOWN ON THESE DRAWINGS.



DAVIS
ENGINEERING & SURVEYING
133 PROMINENCE COURT
SUITE 210
DAWSONVILLE, GA 30534



PHONE: (706) 265-1234

DAVISENGINEERS.COM

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דס כודי	WTS	REVISION		

DESCRIPTION	INITIAL SUBMITTAL TO CITY	CITY COMMENTS	GATE AND FENCE REVISION	ES OIB		
DATE	11-13-18	12-26-18	2-14-18	4-26-19		
REVISION   DATE	ı	1	2	3		
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WSONVILLE MAIN STREET PARK PHASE 135 MAIN STREET DAWSONVILLE, GA 30534

DRAWN BY: RGB

CHECKED BY: JKD

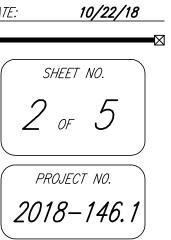
LAND LOT: 443, 444

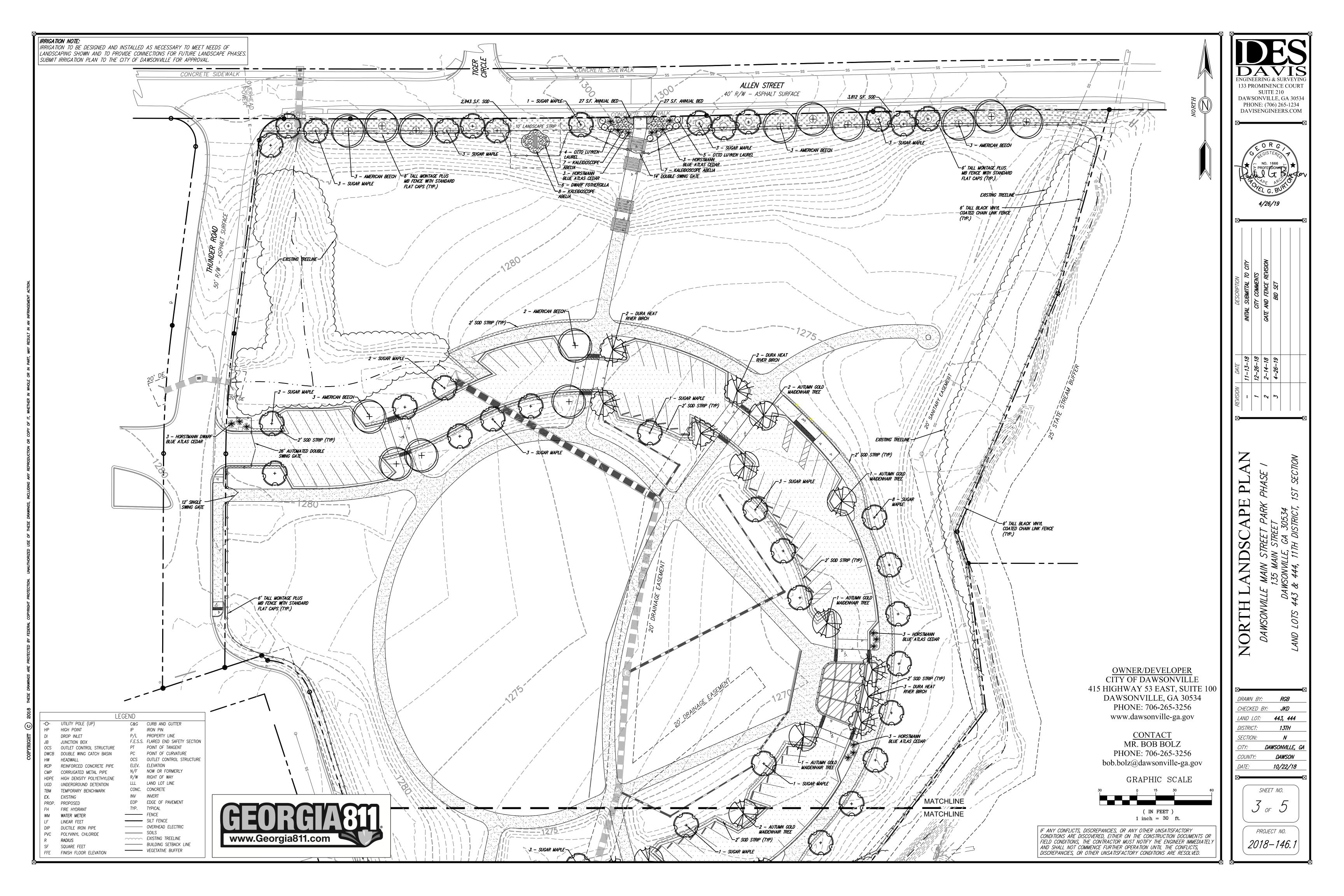
DISTRICT: 13TH

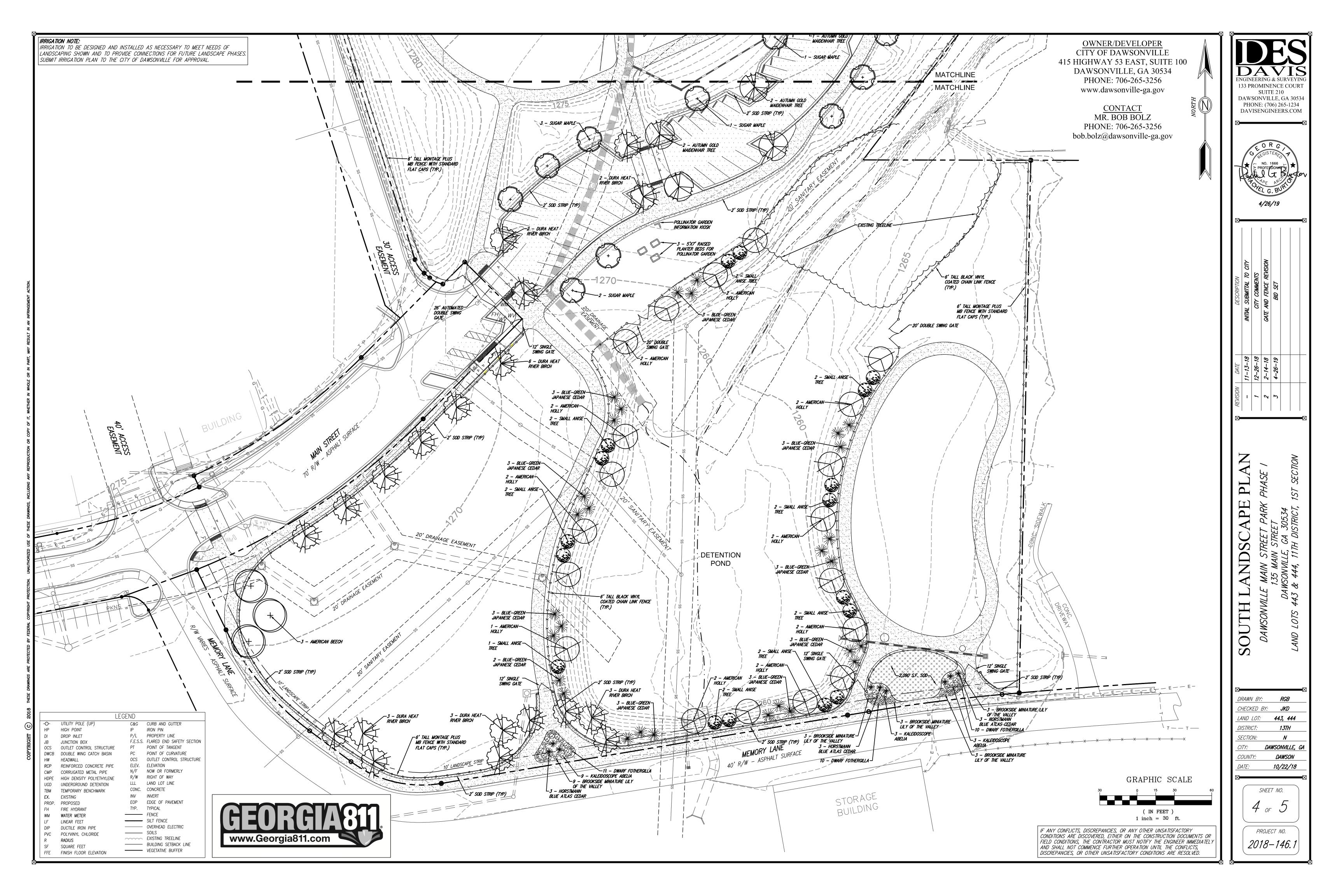
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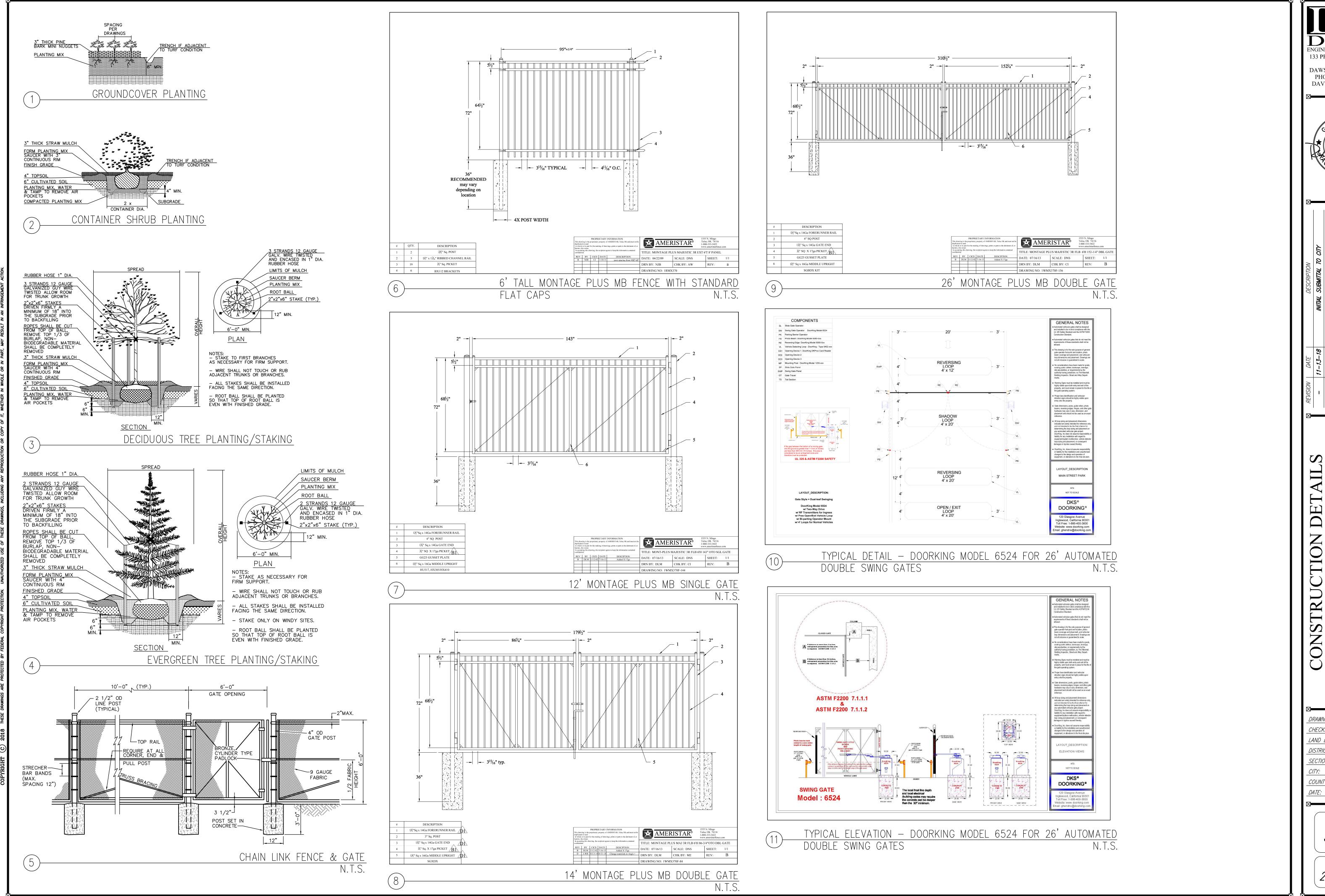
CITY: DAWSONVILLE, GA

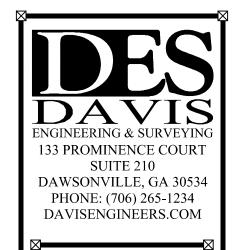
COUNTY: DAWSON

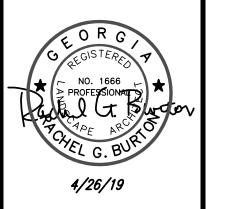


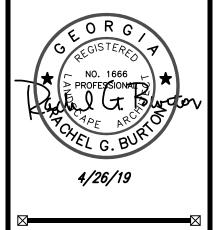












DESCRIPTION	INITIAL SUBMITTAL TO CITY	CITY COMMENTS	GATE AND FENCE REVISION	T3S OIB	
DATE	11-13-18	12-26-18	2-14-18	4-56-19	
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STREET	3	4-
GA 30534		

DRAWN BY: CHECKED BY: 443, 444 LAND LOT: DISTRICT:

DAWSONVILLE, GA COUNTY: DAWSON 10/22/18 SHEET NO.

5 OF PROJECT NO. *2018–146.*